

1 **A Two-Layer Model of the Abyssal Circulation**

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by

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**Abstract**

A two-layer Fofonoff model is used to model source-sink flow on the beta-plane as an idealized representation of deep abyssal flow generated by input from both northern and southern oceanic sources on the western boundary of the oceanic basin. The focus of the study is the manner in which boundary layer flow on the western boundary can be sustained in the absence of forced westward interior flow, a requirement of Greenspan's theorem for largely inertial dynamics.

Depending on the location of the source the circulation can generate the needed westward interior flow to support an inertial boundary current by either first penetrating into the interior before joining the boundary current, or it can generate a recirculation in the layer which has the required westward flow to support the boundary current.

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75 **1. Introduction**

76       The oceanic meridional overturning circulation is a key component of the Earth's  
77 climate system and key dynamic elements of the circulation can be masked by graphical  
78 representations of the circulation as a simple overturning flow in latitude and depth  
79 ignoring the 3-dimensional nature of the flow. In particular, the western boundary current  
80 is often thought of as a passive pipe flow connecting sources in the polar regions with  
81 sinks either at the equator in the opposite hemisphere as in the classical model of Stommel  
82 and Aarons (1960). For dynamics that are not fundamentally viscous the existence of such  
83 western boundary currents requires a sustaining interior flow directed westward  
84 (Greenspan, 1962). That presents a problem when there is no external forcing, as in the  
85 thermocline level, wind forced circulation above the abyss. Indeed, recent observations  
86 (Bower *et. al.*) suggest the flow does not proceed simply along the western boundary but  
87 intrudes into the interior before turning to feed the western boundary current on its journey  
88 southward.

89       A simple model of that process has been suggested (Pedlosky, 2018 hitherto P18).  
90 That model uses the simple Fofonoff model Fofonoff (1954) which Bretherton and  
91 Haidvogel (1976) have suggested is the asymptotic dynamical equation for the fluid  
92 whose eddy field has minimized its enstrophy as a consequence of the 2-dimensional

93 cascade of enstrophy to small enough scales where dissipation will expunge it. Their  
94 suggestions; that the result of a strong eddy field on the circulation can be modeled by the  
95 Fofonoff model, although they show is not completely accurate, presents a temptingly  
96 simple tool to suggest the resulting mean circulation. In P18 that suggestion was exploited  
97 to demonstrate the excursion of source driven flows in a single layer model of the abyss.  
98 The flow from source on the northwest corner of the basin to sink at its southwest corner  
99 naturally intruded into the interior before turning westward and building the western  
100 boundary current connecting source to sink.

101 One deficiency of the model in P18 is that it is limited to a single homogeneous layer  
102 of fluid. In order to include vertical variations at least a two-layer model would be  
103 required. In particular, we know that the overturning circulation is forced by sinking in the  
104 North Atlantic of North Atlantic Deep Water (NADW) while at the same time Antarctic  
105 Bottom Water (AABW) flows northward and greater depths. In the following  
106 development in section 2, the equations for the two-layer Fofonoff model are presented  
107 and discussed while solutions are found in section 3 for sources and sinks at different  
108 levels issuing from the same location and solutions for sources in the north in one layer  
109 and a source in the south in the second layer. A quasi-geostrophic (qg) model is utilized  
110 (Pedlosky 1987).

## 111 **2. The model**

112 The basic presumption of the Fofonoff model is that solutions of the steady,  
113 frictionless quasi-geostrophic potential vorticity equation can be easily found *if* the  
114 potential vorticity is a linear function of the geostrophic streamfunction. If  $Q_n$  is the  
115 potential vorticity in the nth layer and  $\psi_n$  is the geostrophic stream function in that layer,

116 then the relation

$$117 \quad Q_n = a_n^2 \psi_n, \quad (2.1)$$

118 automatically satisfies the quasi-geostrophic potential vorticity equation for steady,  
119 frictionless flow. The index  $n$  will refer to each of the two layers with  $n=1$  referring to the  
120 upper layer and  $n=2$  the lower layer. In the standard qg model this implies that

121

$$122 \quad q_n = \nabla^2 \psi_n + (-1)^n F_n (\psi_1 - \psi_2) + \beta y = a_n^2 \psi_n, \quad n = 1, 2 \quad (2.2)$$

123 The first term on the after the first equality sign in (2.2) is the relative vorticity,  
124 represented by the Laplacian of the streamfunction and involves second derivative in  $x$   
125 and  $y$ . The coordinate  $x$  measures distance in the east-west direction while  $y$  measures  
126 distance to the north. The basin is rectangular with a north- south distance  $L$  and an east-  
127 west length  $Lx_e$  where  $x_e$  is non dimensional. The term  $\beta y$  in (2.2) represents the  
128 meridionally variable part of the planetary vorticity.

129 The parameters  $F_n = \frac{f_o^2}{g'H_n}$ , where  $f_o$  is the constant part of the planetary vorticity,

130  $g'$  is the reduced gravity and  $H_n$  is the constant mean thickness of the  $n^{\text{th}}$  layer. The  
131 parameter  $a_n^2$  is a positive but different constant for each layer. The positivity of those two  
132 constants ensures that the solutions found will be stable to finite amplitude perturbations  
133 (Arnol'd, 1965). Note that the  $a_n^2$  while restricted to being positive, are otherwise  
134 arbitrary. In P18 these constants were related to the source strength and we will do  
135 something similar here. First, though, it will be useful to non-dimensionalize the equations.  
136 Using  $L$  to scale both  $x$  and  $y$ , and  $S_o$  to scale the streamfunction in each layer, (2.2)  
137 becomes

138 
$$\nabla^2 \psi_n + (-1)^n F_n (\psi_1 - \psi_2) + (\beta L^3 / S_o) y = a_n^2 \psi_n, \quad n = 1, 2 \quad (2.3 \text{ a,b})$$

139

140 where now the constants  $a_n^2 = a_n^{*2} L^2$  where the asterisk refers to the dimensional form of  
 141 the variable. In (2.3) we expect the beta term to be important in the dynamics as evidenced  
 142 in P18 and that the term on the right hand side specifying the dependence of the potential  
 143 vorticity on the streamfunction to be similarly important. We therefor choose a balance the  
 144 two terms in the upper layer such that,

145 
$$S_o = \beta L^3 / a_1^2 = \beta L / a_1^{*2} \quad (2.4)$$

146 The parameters measuring the stratification, i.e. the ratio of the basin scale to the  
 147 deformation radius are now non-dimensional,  $F_n = f_o^2 L^2 / g' H_n$ , so that the final form of  
 148 (2.3) becomes

149 
$$\nabla^2 \psi_1 + F_1 (\psi_1 - \psi_2) + a_1^2 y = a_1^2 \psi_1, \quad (2.5 \text{ a,b})$$

$$\nabla^2 \psi_2 + F_2 (\psi_2 - \psi_1) + a_1^2 y = a_1^2 \psi_2,$$

150 The boundary conditions for (2.5 a,b) will be the vanishing of the streamfunction on the  
 151 northern, eastern and southern boundary. Sources of fluid emanating from the northwest  
 152 and/or southwest corners of the basin are modeled by specifying constant, non zero values  
 153 of  $\psi_n = -S_n$  on he western boundary at  $x = 0$ . If the  $S_n$  are positive they represent a source  
 154 flowing southward from the northwest boundary. If a source is negative it models a source  
 155 emanating from a southern corner flowing northward.

156 For large values of the constants  $a_n^2$  the solutions are of boundary layer type. It is first  
 157 useful to determine what the interior solutions,  $\Psi_n$ , of (2.5) are outside the boundary  
 158 layers. To find those solutions we merely ignore the relative vorticity, i.e. the Laplacian

159 term. We easily obtain,

160

$$\begin{aligned} \Psi_1 &= \frac{a_1^2 y [a_2^2 + F_1 + F_2]}{[a_1^2 a_2^2 + a_1^2 F_1 + a_2^2 F_2]}, \\ \Psi_2 &= \frac{a_1^2 y [a_1^2 + F_1 + F_2]}{[a_1^2 a_2^2 + a_1^2 F_1 + a_2^2 F_2]}, \end{aligned} \quad (2.7 \text{ a,b})$$

162 representing a zonal flow, independent of  $x$  and  $y$  in each layer, moving westward.

163 The ratio of those velocities in the two layers is just a function of the  $a_n^2$  and  $F_n$ .

164 Typically, the *smaller* the ratio  $a_1^2 / a_2^2$ , the *larger* the ratio of the interior flow in the

165 upper layer is to the flow in the lower layer. In P18, in the large  $a_n^2$  limit, the flow

166 emerges from the sources in the basin corner and flows to the eastern boundary in the

167 boundary layers and only then to the western boundary and so it was evident that the

168 source strength, in that limit, should match the total westward transport in the interior.

169 That allowed a simple relationship between the source strength and the Fofonoff constant.

170 Similarly in this problem the non-dimensional transports in each layer should be related to

171 the total westward transport in each layer and that nondimensional value would equal  $S_n$

172 yielding the equalities,

173

$$\begin{aligned} S_1 &= \frac{a_1^2 [a_2^2 + F_1 + F_2]}{[a_1^2 a_2^2 + a_1^2 F_1 + a_2^2 F_2]}, \\ S_2 &= \frac{a_1^2 [a_1^2 + F_1 + F_2]}{[a_1^2 a_2^2 + a_1^2 F_1 + a_2^2 F_2]}, \end{aligned} \quad (2.8 \text{ a,b})$$

175 We can then, in principle, use (2.8 a, b) to solve for the  $a_n^2$  in terms of the  $S_n$ . That is a

176 difficult and messy task. Instead, I will take the inverse of that by specifying the  $a_n^2$  (and



177 the  $F_n$ ) which then directly yield the  $S_n$ .

178 The solution to (2.5 a,b) subject to the boundary conditions that the streamfunction  
179 vanish on the northern, southern and eastern boundaries will be found in the form

180

181 
$$\psi_n = \sum_{j=1}^N \psi_{jn}(x) \sin j\pi y, \quad n=1,2 \quad (2.9)$$

182 where  $N$  is chosen larger enough (typically  $N = 100$ ). The problem for the  $\psi_{jn}$  becomes,

183

$$\frac{d^2\psi_{1j}}{dx^2} - K_{1j}^2\psi_{1j} = -F_1\psi_{2j} + 2a_1^2(-1)^j / j\pi, \quad (2.10 \text{ a,b})$$

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$$\frac{d^2\psi_{2j}}{dx^2} - K_{2j}^2\psi_{2j} = -F_2\psi_{1j} + 2a_2^2(-1)^j / j\pi$$

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186

187 where  $K_{jn}^2 = j^2\pi^2 + F_n + a_n^2$ . It is convenient to write the solution in terms of an  $x$

188 independent portion that balances the final term on the right hand side of (2.10 a,b) plus a

189 homogeneous solution, viz.,

$$\psi_{j1} = -\frac{2a_1^2(-1)^j}{j\pi} \left( \frac{K_{2j}^2 + F_1}{K_{1j}^2 K_{2j}^2 - F_1 F_2} \right) + A_j \frac{\sinh \alpha_j x}{\sinh \alpha_j x_e} + B_j \frac{\sinh \alpha_j [x - x_e]}{\sinh \alpha_j x_e} \\ + C_j \frac{\sinh \beta_j x}{\sinh \beta_j x_e} + D_j \frac{\sinh \beta_j [x - x_e]}{\sinh \beta_j x_e},$$

$$190 \quad \psi_{j2} = -\frac{2a_1^2(-1)^j}{j\pi} \left( \frac{K_{1j}^2 + F_2}{K_{1j}^2 K_{2j}^2 - F_1 F_2} \right) - A_j \frac{(\alpha_j^2 - K_{1j}^2) \sinh \alpha_j x}{F_1 \sinh \alpha_j x_e} - B_j \frac{(\alpha_j^2 - K_{1j}^2) \sinh \alpha_j [x - x_e]}{F_1 \sinh \alpha_j x_e} \quad (2.11 \text{ a,b}) \\ - C_j \frac{(\beta_j^2 - K_{1j}^2) \sinh \beta_j x}{F_1 \sinh \beta_j x_e} - D_j \frac{(\beta_j^2 - K_{1j}^2) \sinh \beta_j [x - x_e]}{F_1 \sinh \beta_j x_e},$$

191

192 In the above equation

$$\alpha_j^2 = \frac{(K_{1j}^2 + K_{2j}^2)}{2} + \frac{1}{2} [(K_{1j}^2 - K_{2j}^2)^2 + 4F_1 F_2]^{1/2},$$

193

(2.12 a,b)

$$\beta_j^2 = \frac{(K_{1j}^2 + K_{2j}^2)}{2} - \frac{1}{2} [(K_{1j}^2 - K_{2j}^2)^2 + 4F_1 F_2]^{1/2}$$

194 In all cases the constants  $\alpha_j^2$  and  $\beta_j^2$  are positive. Satisfying the homogeneous boundary

195 conditions on the streamfunction in both layers on  $x = x_e$ , and the condition that the

196 streamfunction matches  $S_1$  and  $S_2$  on  $x=0$  determines the constants  $A_j, B_j, C_j, D_j$  in

197 (2.11) a,b and those relations are given in Appendix A.

198 To calculate the circulation pattern,  $a_1^2$  and  $a_2^2$  must be given from which the Source

199 strengths are calculated using (2.8 a,b). The stratification parameters  $F_1, F_2$  are also given.

200 A range of values has been used and typical examples will be discussed in the next

201 section. For positive values of the sources  $S_1, S_2$  the flow emanates from the northwest

202 corner of the basin and exits through the southwest corner. We can also choose a source  
203 strength to be negative which implies that for that layer the source is at the southwest  
204 corner and the sink at the northwest corner. Recall that the scale for the source strength by  
205 which the nondimensional strengths  $S_1$  and  $S_2$  must be multiplied is

$$206 \quad S_0 = \frac{\beta_* L^3}{a_1^2} .$$

### 207 **3. Results**

208 Figure 1 shows a typical case where the source in both layers is in the northwest  
209 corner with the absorbing sink in the southwest corner. The flow predicted by the theory is  
210 typical for such arrangements. Some of the streamfunction values are given to show the  
211 flow direction. The flow in each layer shown in panels 1a and 1b enters the basin in the  
212 northwest corner and rather than proceeding directly down the western boundary, flows  
213 into the interior of the basin and then sweeps clockwise to form a anti-cyclonic gyre that  
214 feeds a western boundary current as it then flows southward to the sink. The source-sink  
215 flow generates its own westward interior flow as in P18 to satisfy Greenspan's theorem  
216 allowing a western boundary current south of the source that gradually increases in  
217 strength. The flow strength and direction differ somewhat from layer to layer and figure 1c  
218 shows the difference,  $\psi_2 - \psi_1$  which is proportional to the deformation of the interface  
219 between the two layers. It is suggestively similar to the circulation observed by Bower *et*  
220 *al.*(2009) insofar as the southward flow is not limited to the western boundary current.  
221 There is a weak western boundary current near the source that increases in strength with  
222 distance from the source southward. Mathematically this occurs because the interior  
223 streamfunction near  $y=1$  is, by construction, close to matching the boundary condition on  
224  $x=0$  in each layer leaving little discrepancy to be filled by the western boundary current

225 and this is true for both layers by construction.

226 The situation becomes radically different if the boundary condition in the lower layer  
227 is altered. If the source in the lower layer is in the *southwest* corner and the boundary  
228 current flows northward then

229

$$\begin{aligned} S_1 &= \frac{a_1^2 [a_2^2 + F_1 + F_2]}{[a_1^2 a_2^2 + a_1^2 F_1 + a_2^2 F_2]}, \\ S_2 &= -\frac{a_1^2 [a_1^2 + F_1 + F_2]}{[a_1^2 a_2^2 + a_1^2 F_1 + a_2^2 F_2]}, \end{aligned} \quad (3.1a,b)$$

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232 So that the value of the streamfunction on the boundary in lower layer is negative to  
233 indicate flow *entering* the lower layer in the southwest corner to flow northward. The  
234 solution in this case is shown in Figure 2. The flow in the lower layer is entirely different  
235 in structure. The mass flux in the source now flows northward entirely in the western  
236 boundary current with no excursion for the boundary layer flow into the interior. This is  
237 typical for all parameter values examined. To satisfy Greenspan's theorem an interior  
238 *recirculation* in the lower layer has been produced that at all latitudes has, near the  
239 western boundary, a westward component as required. Recall that the governing equations  
240 we are employing are the result of an enstrophy cascade by eddies and it is natural to  
241 consider the recirculation as the physical consequence of the associated eddy field.  
242 Mathematically, our model has produced this difference due to the mismatch between the  
243 interior flow solution (2.7b) and the boundary value (3.1a,b) of the streamfunction.

244

245 **3. Discussion**

246           The Fofonoff model, as shown by Bretherton and Haidvogel (1976), is suggested as  
247 the governing equations for the mean flow of a turbulent fluid on the beta plane whose  
248 enstrophy has been minimized by the turbulent cascade of entropy to high wave numbers  
249 where it is dissipated. The simplicity of the model allows analytical solutions of complex  
250 flows that would otherwise require complex numerical calculations. In the case we have  
251 examined the equations have been used to model source sink flow on the beta plane as a  
252 simple representation of the deep flow in an eddy rich ocean for parameter values for  
253 which the dynamics of the western boundary current is largely inertial rather than viscous.  
254 The constraint of Greenspan's theorem manifests itself in a twofold fashion. In one case,  
255 as when both layers have sources in the northwest region of the deep basin, the resulting  
256 flow intrudes into the interior of the basin rather than flowing directly from those sources  
257 to their sinks in the southwest corner of the basin. This is reminiscent of the observations  
258 of floats placed in the North Atlantic Deep Water entering the Grand Banks region that  
259 shows an analogous behavior. When, instead, the lower layer had its source in the  
260 southwest corner and flows northward, the boundary current remains attached to the  
261 western boundary but the model does so by producing a basin-wide clockwise  
262 recirculation that provides the required interior westward flow near the western boundary.

263           The sources have been placed in all cases on the western boundary to emphasize the  
264 fact that the inertial western boundary current, must for fundamental dynamical reason, be  
265 more than a passive north-south pipe flow. Either the boundary current itself must flow  
266 into the interior to provide the sustaining interior westward flow or, as in the case of the  
267 southern source, a interior recirculation must provide that requirement. Consistent with the  
268 interpretation of the Fofonoff model as the end state of an eddy driven flow we identify

269 the recirculation in Figure 2 as eddy driven.

270       There is an element of arbitrariness in model that is a weakness. In principle, the beta  
271 term in (2.5 a,b) is determined up to constant that  $\beta y$  could be replaced by  $\beta(y-1)$  in  
272 which case the roles of the sources in the north and south could be switched and the  
273 resulting behavior altered so that it is the southern source that leads in interior intrusions  
274 and the northern source in the lower layer that requires the recirculation. Indeed, the beta  
275 term could be replaced by any term of the form  $\beta(y - y_r)$  generating a family of solutions.

276       I have chosen the current arrangement as being the more suggestively realistic one. In  
277 particular, it has the virtue of emphasizing that the abyssal circulation, i.e. the lower  
278 branch of the overturning circulation is a 3-dimensional flow with all the richness  
279 normally to be expected. When the source in the lower layer is from the south it is  
280 obviously not a model of flow entering the basin from the southern hemisphere since the  
281 qg model does not include cross equatorial dynamics. It is instead an illustration of an  
282 alternative western boundary current driven by a source that does *not* penetrate into the  
283 interior but is instead sustained by a interior eddy recirculation that provides the needed  
284 western flow in the interior at the western boundary.

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## Appendix A

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Coefficients in solution (2.11 a,b)

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$$D_j = \frac{2a_1^2(-1)^j}{j\pi} \left[ \frac{K_{1j}^2 + F_2}{K_{1j}^2 K_{2j}^2 - F_1 F_2} F_1 + \frac{(\alpha_j^2 - K_{1j}^2)(K_{2j}^2 + F_1)}{K_{1j}^2 K_{2j}^2 - F_1 F_2} \right] \frac{1}{(\beta_j^2 - \alpha_j^2)} +$$

$$+ (-1)^j F_1 S_2 \frac{(1 - (-1)^j)}{j\pi(\beta_j^2 - \alpha_j^2)} + S_1 \frac{(\alpha_j^2 - K_{1j}^2)}{j\pi(\beta_j^2 - \alpha_j^2)} \frac{(1 - (-1)^j)}{j\pi(\beta_j^2 - \alpha_j^2)}$$

295

(A.1)

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$$B_j = \frac{-2a_1^2(-1)^j}{j\pi} \left[ \frac{K_{2j}^2 + F_1}{K_{1j}^2 K_{2j}^2 - F_1 F_2} \right] - 2S_1 \frac{(1 - (-1)^j)}{j\pi} - D_j,$$

297

(A.2)

$$A_j = \left[ \frac{(\beta_j^2 - K_{1j}^2)}{F_1} \frac{(K_{2j}^2 + F_2)}{K_{1j}^2 K_{2j}^2 - F_1 F_2} + \frac{(K_{1j}^2 + F_2)}{K_{1j}^2 K_{2j}^2 - F_1 F_2} \right] \frac{1}{(\beta_j^2 - \alpha_j^2)}$$

298

(A.3)

299

$$C_j = -A_j + \frac{2a_1^2(-1)^j}{j\pi} \frac{[K_{2j}^2 + F_1]}{(K_{1j}^2 K_{2j}^2 - F_1 F_2)}$$

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(A.4)

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### Figure Captions

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339 **Figure 1.** The circulation with a source in the northwest corner and a sink in

340 the southwest corner,  $a_1^2 = 10\pi^2$ ,  $a_2^2 = 50\pi^2$ ,  $S_1 = 0.92773$ ,  $S_2 = 0.21445$

341  $F_1 = 10$ ,  $F_2 = 10$ .

342 a)  $\psi_1$  b)  $\psi_2$  and c)  $\psi_2 - \psi_1$ .

343

344 **Figure 2.** For the same parameter values as in Figure 1 except that  $S_2$  is negative

345 indicating northward flow of the source on the western boundary. Note that now

346 the boundary current flows at full strength from the southern source to the north

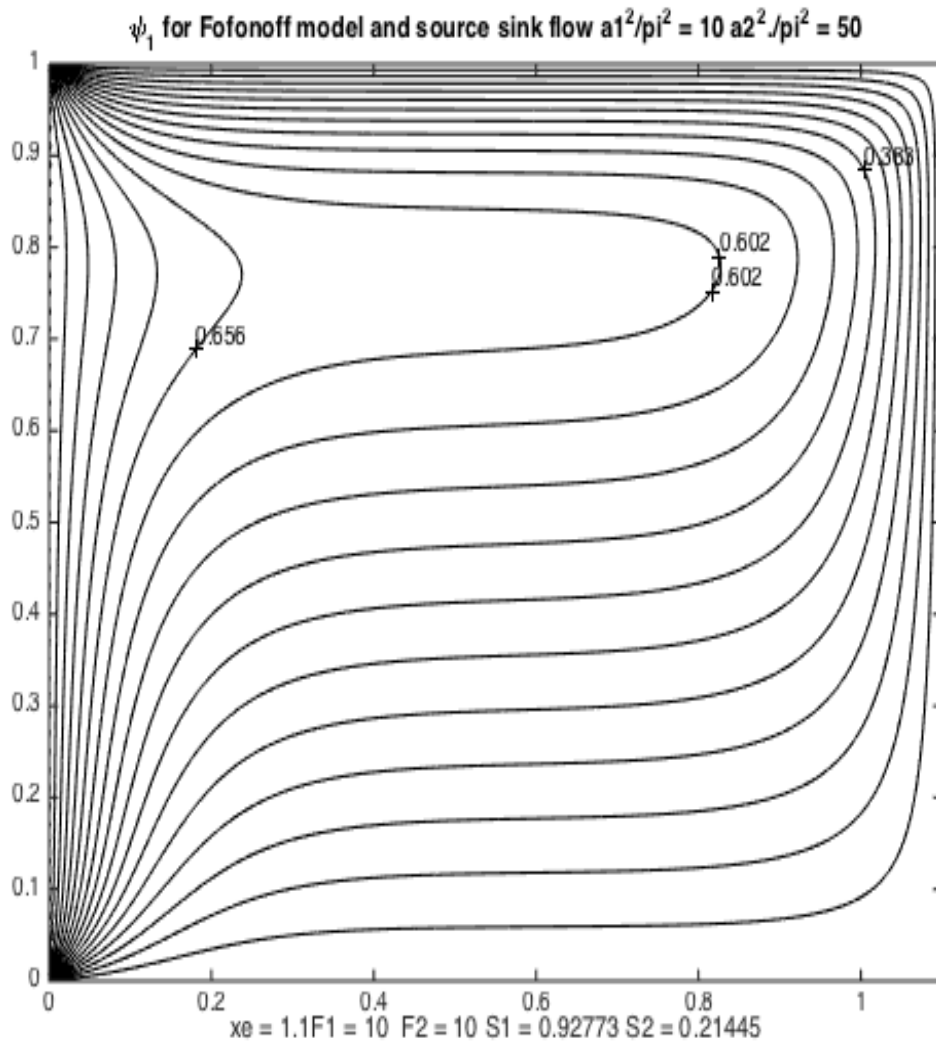
347 and is supported at each latitude by an interior flow that is a pure recirculation.

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### Figures

350 a)



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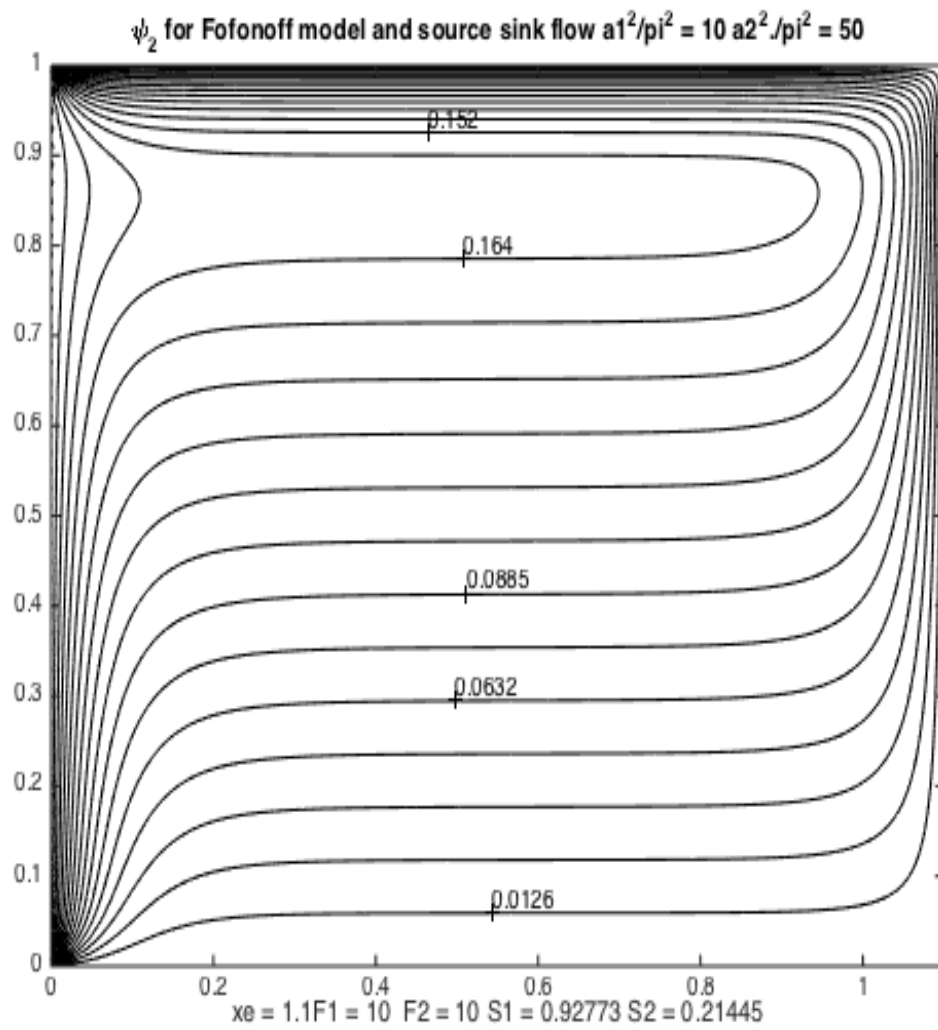
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361 **b)**



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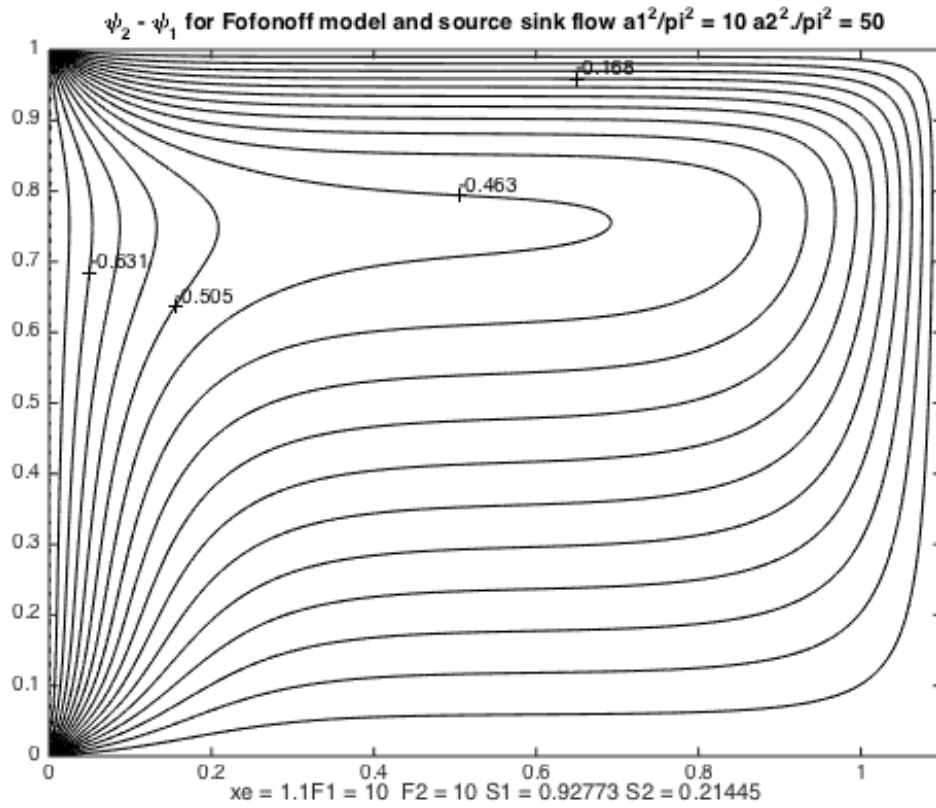
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368 c)

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**Figure 1. The circulation with a source in the northwest corner and a sink in**

373 **the southwest corner,  $a_1^2 = 10\pi^2, a_2^2 = 50\pi^2, S_1 = 0.92773, S_2 = 0.21445, F_1 = 10, F_2 = 10.$**

374 **a)  $\psi_1$  b)  $\psi_2$  and c)  $\psi_2 - \psi_1.$**

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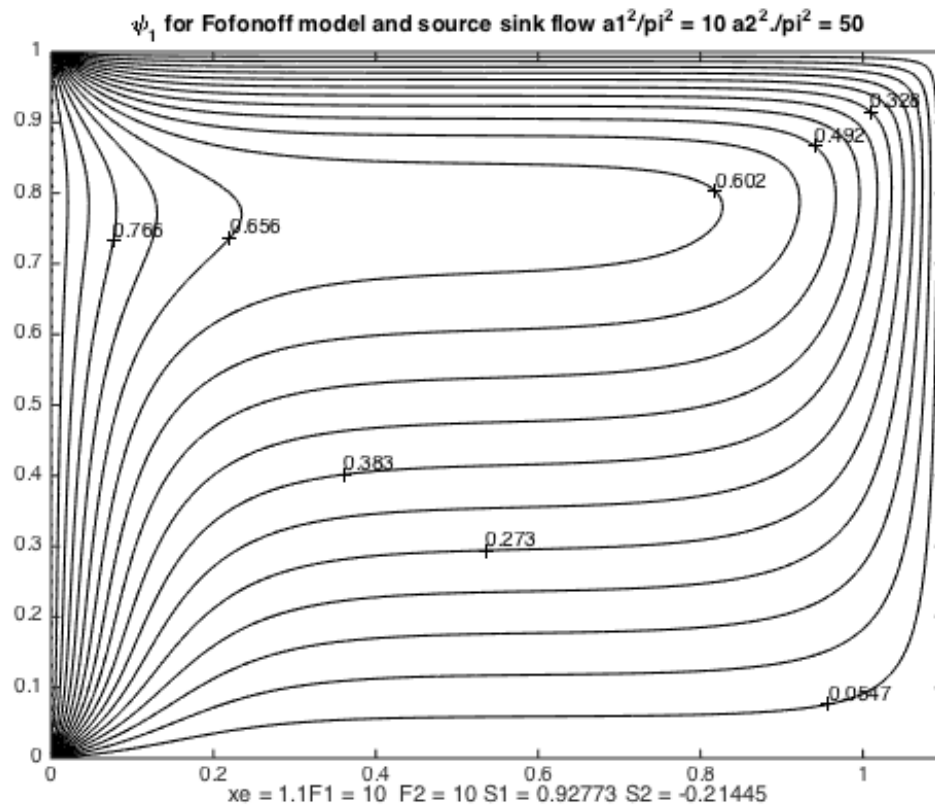
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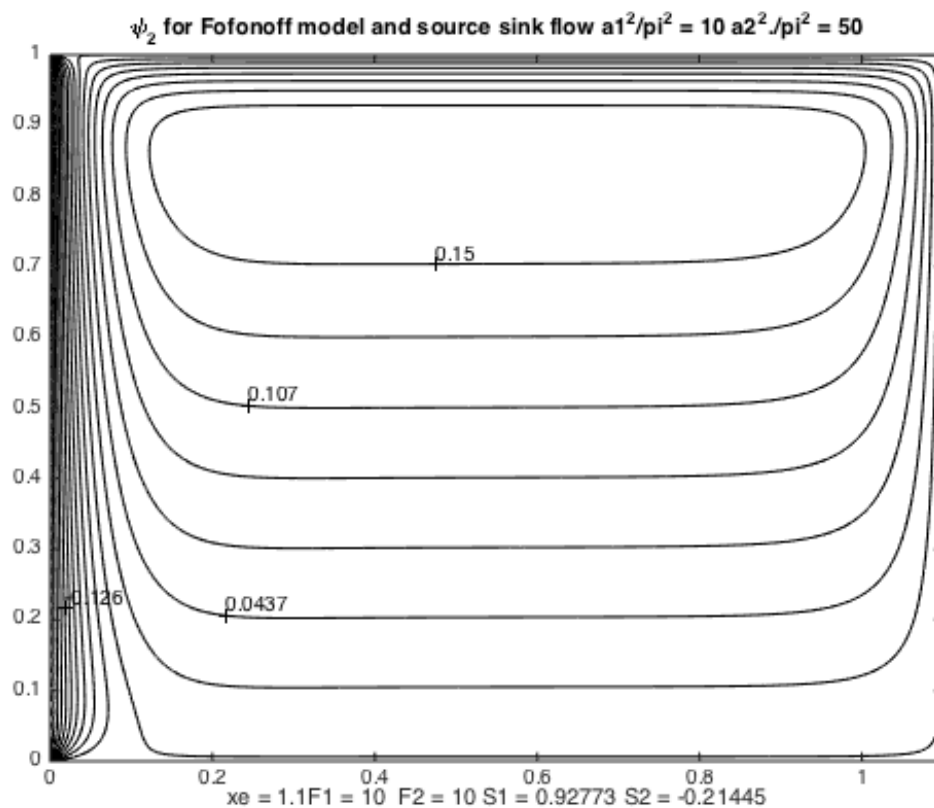
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b)



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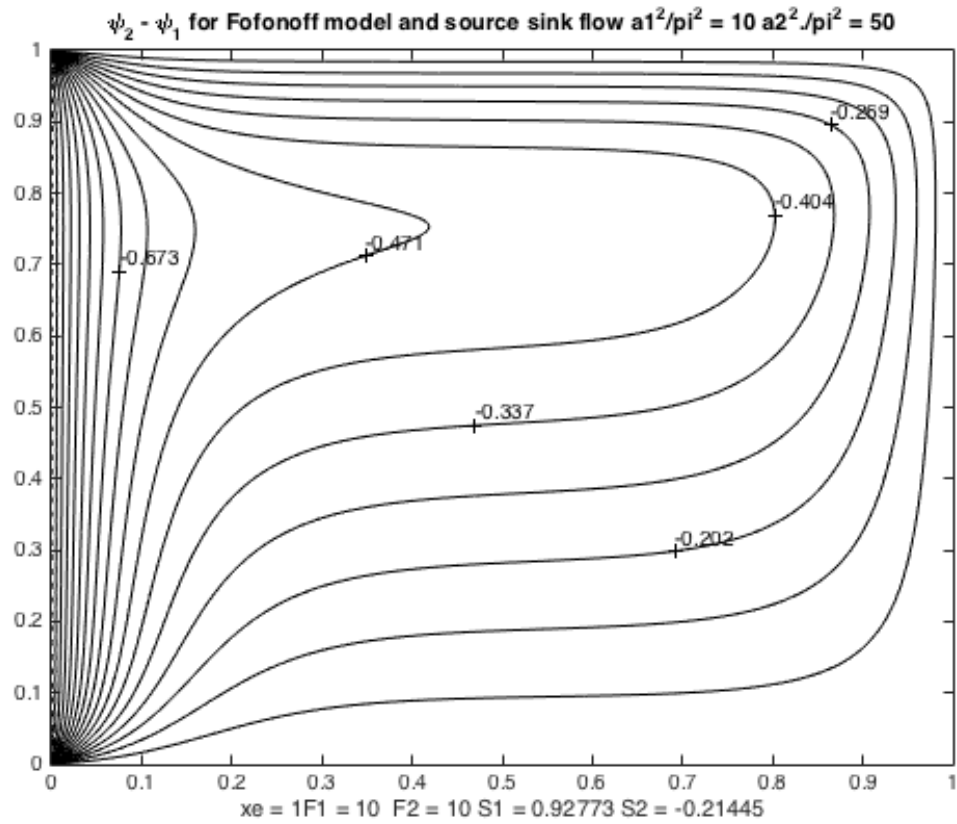
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413 Figure 2 For the same parameter values as in Figure 1 except that  $S_2$  is negative indicating  
414 northward flow of the source on the western boundary. Note that now the boundary current flows at full  
415 strength from the southern source to the north and is supported at each latitude by an interior flow that is a  
416 pure recirculation.

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