# BALLAST WATER REPORTING FORM

**IS THIS AN AMENDED BALLAST REPORTING FORM?**

- **YES**
- **NO**

## 1. VESSEL INFORMATION

- **Vessel Name:**
- **IMO Number:**
- **Owner:**
- **Type:**
- **GT:**
- **Call Sign:**
- **Flag:**

## 2. VOYAGE INFORMATION

- **Arrival Port:**
- **Arrival Date:**
- **Agent:**
- **Last Port:**
- **Country of Last Port:**
- **Next Port:**
- **Country of Next Port:**

## 3. BALLAST WATER USAGE AND CAPACITY

**Specify Units Below (m³, MT, LT, ST)**

- **Total Ballast Water on Board:**
  - Volume:
  - Units:
  - No. of Tanks in Ballast:

- **Total Ballast Water Capacity:**
  - Volume:
  - Units:
  - Total No. of Tanks on Ship:

## 4. BALLAST WATER MANAGEMENT

- **Total No. Ballast Water Tanks to be discharged:**
- **Of tanks to be discharged, how many:**
  - Underwent Exchange:
  - Underwent Alternative Management:

Please specify alternative method(s) used, if any:

- Ballast management plan on board?  
  - **YES**
  - **NO**
  - Management plan implemented?  
    - **YES**
    - **NO**

- IMO ballast water guidelines on board [res. A.868(20)]?  
  - **YES**
  - **NO**

## 5. BALLAST WATER HISTORY: Record all tanks to be deballasted in port state of arrival:

**IF NONE, GO TO #6**

(Use additional sheets as needed)

<table>
<thead>
<tr>
<th>Tanks/ Holds</th>
<th>BW SOURCES</th>
<th>BW MANAGEMENT PRACTICES</th>
<th>BW DISCHARGES</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>DATE</td>
<td>PORT or LAT. LONG.</td>
<td>VOLUME (units)</td>
</tr>
<tr>
<td></td>
<td>DD/MM/YY</td>
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</tbody>
</table>

**Ballast Water Tank Codes:**

- **Forepeak = FP,**
- **Aftpeak = AP,**
- **Double Bottom = DB,**
- **Wing = WT,**
- **Topside = TS,**
- **Cargo Hold = CH,**
- **Other = O**

## 6. RESPONSIBLE OFFICER'S NAME AND TITLE, PRINTED AND SIGNATURE:
Vessels Bound for Great Lakes

*United States or Canadian Flag vessel bound for the Great Lakes*

Fax the form to the COTP Buffalo 315-769-5032 at least 24 hours before the vessel arrives in Montreal, Quebec.

*Any other Flag vessel bound for the Great Lakes*

Fax the form to the COTP Buffalo 315-769-5032 at least 24 hours before the vessel arrives in Montreal, Quebec, or;

Complete the ballast water information section of the St. Lawrence Seaway required “Pre-entry Information from Foreign Flagged Vessels Form” and submit it in accordance with the applicable Seaway notice.

Vessels Bound for the Hudson River North of George Washington Bridge

*Vessel bound for the Hudson River north of the George Washington Bridge*

Fax the form to the COTP New York at 718-354-4249 before the vessel enters the waters of the United States (12 miles from the baseline).

Vessels Bound for All Other United States Ports

*Vessel bound for all ports within the waters of the United States other than the Great Lakes or Hudson River north of the George Washington Bridge*

Before the vessel departs from the first port of call in the waters of the United States send the form by one of the three following methods:

- Mail the form to the U.S. Coast Guard, c/o Smithsonian Environmental Research Center (SERC), P.O. Box 28, Edgewater, MD 21037-0028;
- Transmit the form electronically to the National Ballast Water Information Clearinghouse at www.serc.si.edu/invasions/ballast.htm, or;
- Fax the form to the Commandant, U.S. Coast Guard, c/o SERC at 301-261-4319.

If any information changes, send an amended form before the vessel departs the waters of the United States.