# The Genome of the Diatom Thalassiosira Pseudonana: Ecology, Evolution, and Metabolism

E. Virginia Armbrust, 1\* John A. Berges, 2 Chris Bowler, 3,4
Beverley R. Green, 5 Diego Martinez, 6 Nicholas H. Putnam, 6
Shiguo Zhou, 7 Andrew E. Allen, 8,4 Kirk E. Apt, 9 Michael Bechner, 7
Mark A. Brzezinski, 10 Balbir K. Chaal, 5 Anthony Chiovitti, 11
Aubrey K. Davis, 12 Mark S. Demarest, 10 J. Chris Detter, 6
Tijana Glavina, 6 David Goodstein, 6 Masood Z. Hadi, 13
Uffe Hellsten, 6 Mark Hildebrand, 12 Bethany D. Jenkins, 14
Jerzy Jurka, 15 Vladimir V. Kapitonov, 15 Nils Kröger, 16
Winnie W. Y. Lau, 1 Todd W. Lane, 17 Frank W. Larimer, 18,6
J. Casey Lippmeier, 9,19 Susan Lucas, 6 Mónica Medina, 6
Anton Montsant, 3,4 Miroslav Obornik, 5†
Micaela Schnitzler Parker, 1 Brian Palenik, 12 Gregory J. Pazour, 20
Paul M. Richardson, 6 Tatiana A. Rynearson, 1 Mak A. Saito, 21
David C. Schwartz, 7 Kimberlee Thamatrakoln, 12 Klaus Valentin, 22
Assaf Vardi, 4 Frances P. Wilkerson, 23 Daniel S. Rokhsar 6,24\*

Diatoms are unicellular algae with plastids acquired by secondary endosymbiosis. They are responsible for ~20% of global carbon fixation. We report the 34 million-base pair draft nuclear genome of the marine diatom Thalassiosira pseudonana and its 129 thousand-base pair plastid and 44 thousand-base pair mitochondrial genomes. Sequence and optical restriction mapping revealed 24 diploid nuclear chromosomes. We identified novel genes for silicic acid transport and formation of silica-based cell walls, high-affinity iron uptake, biosynthetic enzymes for several types of polyunsaturated fatty acids, use of a range of nitrogenous compounds, and a complete urea cycle, all attributes that allow diatoms to prosper in aquatic environments.

Diatoms are unicellular, photosynthetic, eukaryotic algae found throughout the world's oceans and freshwater systems. They form the base of short, energy-efficient food webs that support large-scale coastal fisheries. Photosynthesis by marine diatoms generates as much as 40% of the 45 to 50 billion metric tons of organic carbon produced each year in the sea (1), and their role in global carbon cycling is predicted to be comparable to that of all terrestrial rain forests combined (2, 3). Over geological time, diatoms may have influenced global climate by changing the flux of atmospheric carbon dioxide into the oceans (4).

A defining feature of diatoms is their ornately patterned silicified cell wall, or frustule, which displays species-specific nanostructures of such fine detail that diatoms have long been used to test the resolution of optical microscopes. Recent attention has focused on biosynthesis of these nanostructures as a paradigm for future silica nanotechnology (5). The long history (more than 180 million years) and domi-

nance of diatoms in the oceans is reflected by their contributions to vast deposits of diatomite, most cherts, and a considerable fraction of current petroleum reserves (6).

As photosynthetic heterokonts, diatoms reflect a fundamentally different evolutionary history from the higher plants that dominate photosynthesis on land. Higher plants and green, red, and glaucophyte algae are derived from a primary endosymbiotic event in which a nonphotosynthetic eukaryote acquired a chloroplast by engulfing (or being invaded by) a prokaryotic cyanobacterium. In contrast, dominant bloom-forming eukaryotic phytoplankton in the ocean, such as diatoms and haptophytes, were derived by secondary endosymbiosis whereby a nonphotosynthetic eukaryote acquired a chloroplast by engulfing a photosynthetic eukaryote, probably a red algal endosymbiont (Fig. 1). Each endosymbiotic event led to new combinations of genes derived from the hosts and endosymbionts (7).

Before this project, relatively few diatom genes had been sequenced, few chromosome numbers were known, and genetic maps did not exist (8). The ecological and evolutionary importance of diatoms motivated our sequencing and analysis of the nuclear, plastid, and mitochondrial genomes of the marine centric diatom *Thalassiosira pseudonana*.

#### Whole-Genome Sequencing and Architecture

The *T. pseudonana* genome was sequenced by using a whole-genome shotgun approach, with 14-fold sequence coverage (9) (table S1). Genomic DNA was isolated from a clonal culture produced by repeated mitotic divisions of a single diploid founder cell. Finished quality circular mitochondrial and plastid genomes were derived from the whole-genome shotgun data and from organelle-enriched DNA. This whole-genome shotgun project has been deposited at DNA Data Bank

<sup>1</sup>School of Oceanography, University of Washington, Seattle, WA 98195, USA. <sup>2</sup>Department of Biological Sciences, University of Wisconsin-Milwaukee, Milwaukee, WI 53201, USA. <sup>3</sup>Laboratory of Molecular Plant Biology, Stazione Zoologica, Villa Comunale, I 80121 Naples, Italy. Organismes Photosynthétiques et Environnement, Département de Biologie, <sup>4</sup> Ecole Normale Supérieure, 75230 Paris, France. <sup>5</sup>Department of Botany, University of British Columbia, Vancouver, B.C., Canada, V6T 1Z4. <sup>6</sup>Department of Energy Joint Genome Institute, Walnut Creek, CA, 94598, USA. <sup>7</sup>Departments of Genetics and Chemistry, University of Wisconsin-Madison, Madison, WI 53706, USA. <sup>8</sup>Department of Geosciences, Princeton University, Princeton, NJ 08540, USA. <sup>9</sup>Martek Biosciences Corp, 6480 Dobbin Road, Columbia, MD 21045, USA. <sup>10</sup>Department of Ecology, Evolution, and Marine Biology and Marine Science Institute, University of California, Santa Barbara, CA 93106, USA. <sup>11</sup>School of Botany, University of Melbourne, Victoria 3010, Australia. <sup>12</sup>Scripps Institution of Oceanography, University of California San Diego, La Jolla, CA 92093, USA. <sup>13</sup>Lockheed Martin Corporation, Sandia National Laboratory, Post Office Box 969, MS-9951, Livermore, CA 94551, USA. <sup>14</sup>Ocean Sciences Department, University of California Santa Cruz, Santa Cruz, CA 95064, USA. <sup>15</sup>Genetic Information Research Institute, Mountain View, CA 94043, USA. 16 Lehrstuhl Biochemie I, Universität Regensburg, D-93053, Regensburg, Germany. <sup>17</sup>Biosystems Research Department, Sandia National Laboratories, Livermore, CA 94551-0969. <sup>18</sup>Genome Analysis Group, Oak Ridge National Laboratory, Oak Ridge, TN 37831, USA. <sup>19</sup>Department of Biological Sciences, University of Hull, Hull HU6 7RX, UK. 20 Program in Molecular Medicine, University of Massachusetts Medical School, Worcester, MA 01605, USA. <sup>21</sup>Department of Marine Chemistry and Geochemistry, Woods Hole Oceanographic Institution, Woods Hole, MA 02543, USA. <sup>22</sup>Alfred Wegener Institute, 27570 Bremerhaven, Germany. <sup>23</sup>Romberg Tiburon Center, San Francisco State University, Tiburon, CA 94920, USA. <sup>24</sup>Center for Integrative Genomics, University of California at Berkeley, Berkeley, CA,

\*To whom correspondence should be addressed. E-mail: armbrust@ocean.washington.edu (E.V.A.), dsrokhsar@lbl.gov (D.S.R.)

†Present address: Institute of Parasitology, Academy of Sciences of the Czech Republic, Branisovska 31, 370 05 Ceske Budejovice, Czech Republic.

of Japan (DDBJ)/European Molecular Biology Laboratory (EMBL)/GenBank under the project accession AAFD00000000. The version described in this paper is the first version, AAFD01000000.

Sequence polymorphisms between nuclear chromosome copies were easily detected as discrepancies between aligning reads supported by two or more reads for each of two alternate sequences. This analysis showed that 0.75% of the nucleotides in the nuclear genome are polymorphic (fig. S1), which is comparable to levels seen previously in marine animals (10, 11). Only two haplotypes were observed, consistent with descent from a single diploid founder.

Twenty-four pairs of nuclear chromosomes ranging in size from 0.34 to 3.3 Mb were characterized by optical restriction site (Nhe I) mapping, for a total of 34.5 Mb. Over 90% of the sequence can be assigned to individual chromosomes, including a 350-kb cluster of ~35 copies of ribosomal DNA repeats (Fig. 2). Nine chromosome pairs can be separated into their respective haplotypes on the basis of restriction site polymorphisms, and seven of these pairs can be further distinguished from one another by insertions or inverted duplications ranging from tens of kilobases to a megabase in size. Surprisingly, DNA sequence and optical maps indicate that chromosome 23 is nearly identical to a comparably sized segment of chromosome 21. Chromosome 23 was likely created by an extremely recent duplication whose divergence is less than the haplotypic variation in the genome, possibly since the isolation of this laboratory strain. The plastid genome appears in the optical map as concatemers of similar restriction patterns. which suggests that several variants of the plastid genome are present. The mitochondrial genome at ~44 kbp is too small for accurate optical mapping.

A majority of interspersed repeats in the nuclear genome are relics of transposable elements that constitute as much as 2% of the genome (table S2). Among the most common transposable elements are longterminal repeat retrotransposons, including Copia (Copia1-9\_TP) and gypsy; both categories of diatom retrotransposons appear to self-prime reverse transcription in a manner not previously observed (fig. S2). A potentially novel superfamily of nonautonomous transposable elements was observed that is derived from gypsy but that encodes only integrase and is flanked by terminal inverted repeats (TE1\_TP). The presence of multiple autonomous transposons suggests that transposon tagging may hold future promise for generating diatom mutants (12).

#### Overview of Gene and Protein Features

A total of 11,242 protein-coding genes are predicted in the diatom nuclear genome (9),

along with 144 plastid-encoded and 40 mitochondrial-encoded genes (fig. S3 and Table 1). Of the total predicted nuclear proteins, 5916 are supported by homology (score > 200, E-value < 1 e-20) with public database proteins, 7007 have recognizable Interpro domains, and 6799 can be assigned to a eukaryotic cluster of orthologous groups (fig. S4). The unusual assortment of Interpro protein domains (Table 2) provides insights into regulatory mechanisms underlying gene expression, intracellular signaling, and transport that can now be tested experimentally.

The major categories of transcription factor in T. pseudonana are of the heatshock family, which is relatively rare in other eukaryotes. Transcription factors containing homeobox and Rel homology regions (RHR) are also well represented, although the latter lack the MADS-box domains found in higher plants. The importance of chromatinlevel control of gene expression can be inferred from identification of many putative proteins with characteristic domains such as bromodomains, chromodomains, histone deacetylases, SET, high mobility group (HMG), and regulator of chromosome condensation 1 domains (RCC1) (Table 2). Regulation by RNA processing is also suggested by the high proportion of gene models encoding RNA processing proteins with DEAD box helicase domains (Table 2 and fig. S4).

Unlike many other eukaryotes, the diatom does not appear to rely heavily on receptor kinases or leucine-rich repeat (LRR)—containing receptors, because neither of the large categories of proteins containing kinase domains or LRRs (Table 2) possess obvious transmembrane domains. Furthermore, no G protein—coupled receptors (GPCR) were found, which suggests that the major class of diatom receptor awaits discovery.

From the high numbers of kinase-encoding domains in the genome (Table 2), phosphorelay-based signal transduction systems appear to be commonly used in *T. pseudonana*. Of these, bacterial two-component histidine kinase–based signaling represents

an important subclass, because sensor and response regulator domains were detected in a range of configurations. The most notable examples are a phytochrome with sensor and kinase domains that apparently functions as a light-regulated histidine kinase and members of a prokaryotic nitrate/nitrite sensing system (NifR3, NarL) (13) with a receiver domain and a DNA binding output domain, which would be the first example of such a nitrogensensing system in eukaryotes. The lack of obvious Hpt proteins suggests that diatoms employ novel relay systems.

The diatom contains a dramatically reduced number of major facilitator family (MFS) transporters relative to other eukaryotes (Table 2). A relatively high proportion of the identified transporters are similar to ones known to confer multidrug resistance or to transport excess metals out of cells or into vacuoles, which indicates that diatoms likely avoid toxicity by exporting potential toxins out of the cytoplasm.

#### **Establishment of Secondary Endosymbiosis**

The secondary endosymbiosis hypothesis (Fig. 1) [reviewed in (7)] predicts different possible origins for nuclear-encoded diatom genes: nuclear or mitochondrial genomes of the secondary heterotrophic host, and nuclear, plastid, or mitochondrial genomes of the red algal endosymbiont. To infer gene origins in the modern diatom, we compared its proteome with that of two extant photosynthetic eukaryotes (the green plant *Arabidopsis thaliana* and the red alga *Cyanidioschyzon merolae*) and one heterotrophic eukaryote (*Mus musculus*).

Almost half the diatom proteins have similar alignment scores to their closest homologs in plant, red algal, and animal genomes (Fig. 3 and fig. S5), underscoring the evolutionarily ancient divergence of Plantae (red algae, green algae, and plants), Opisthokonta (animals/fungi), and the unknown secondary host that gave rise to the heterokont (diatom) lineage. Interestingly, 806 diatom proteins align with mouse

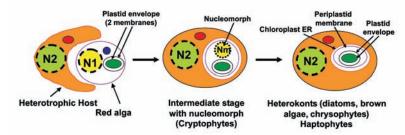


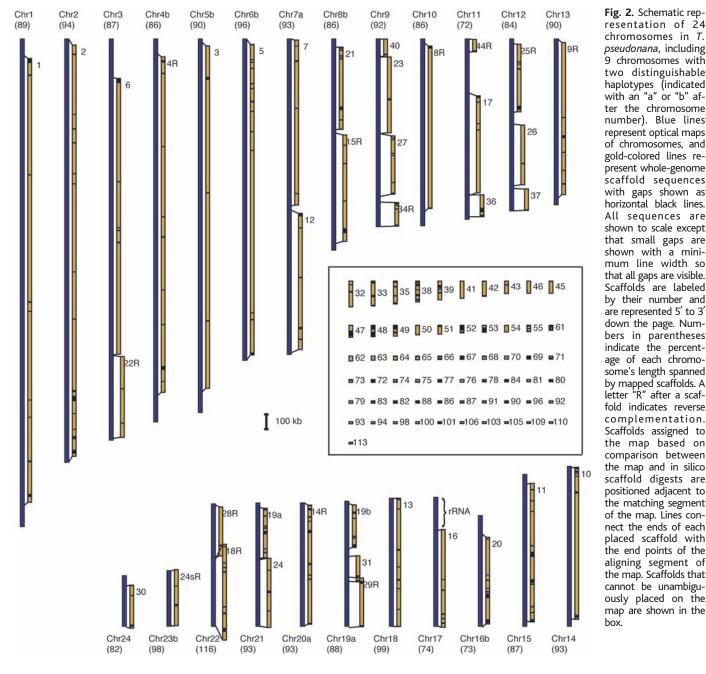
Fig. 1. Origin of chloroplasts by secondary endosymbiosis involving a red algal endosymbiont. The endosymbiont nucleus (N1) disappeared after the transfer of many genes to the host nucleus (N2), except in cryptophyte algae, which have a relict nucleus [nucleomorph (Nm)] between the plastid and the two new membranes derived from the red algal plasma membrane (periplastid membrane) and the endomembrane system of the host (chloroplast ER). Not shown are ribosomes attached to the outer surface of the chloroplast ER or the continuity of chloroplast ER with the rest of the ER system. Nuclear-encoded plastid proteins are translated on these ribosomes and must therefore cross four membranes. The red algal mitochondrion (small blue circle) was also lost.

proteins but not with green plant or red alga proteins (score ≥ 100 with BLOSUM62 matrix, or E-value < 1e-5) (Fig. 3A). The most straightforward interpretation is that these "animal-like" genes were derived from the heterotrophic secondary host, although scenarios involving gene loss in the plant/red algal lineage cannot be ruled out. Many could encode components of the flagellar apparatus and basal bodies, as has recently been shown for proteins shared by animals and the green alga Chlamydomonas but not shared with A. thaliana (14). Contrary to expectation, 182 diatom proteins have matches only to red algal proteins, whereas 865 align only with plant proteins. This is probably because the A. thaliana proteome is more than four times as large as the Cyanidioschyzon merolae proteome (Table 2). A similar comparison that included the cyanobacterium *Nostoc* sp. PCC 7120 emphasizes the large number of proteins shared by all groups of photosynthetic eukaryotes (Fig. 3B and fig. S5), many of which are likely involved in chloroplast functions.

The assemblage of genes identified in our modern diatom representative reflects largescale transfers of genes between genomes during establishment of the endosymbiosis (7, 15). In addition to C. merolae, we compared proteins encoded by the nucleomorph genome (remnant red algal symbiont nucleus) of the cryptophyte Guillardia theta (16) with the T. pseudonana nuclear-encoded proteome. Six nuclear diatom genes are most

closely related to nucleomorph genes [dhm, cbbX, cpn60 (groEL), ftsZ, hcf136, and hli]. Four of these genes are also found on red algal plastid genomes (cbbX, cpn60, ftsZ, and hli), thus demonstrating successive stages in gene transfer from red algal plastid to red algal nucleus (nucleomorph) to heterokont host nucleus.

In contrast to the situation in higher plants (17) and dinoflagellates (18), no evidence was found for recent large-scale transfers of T. pseudonana plastid or mitochondrial DNA to the nuclear genome. However, closely related copies of the psbW gene (psb28), which encodes a photosystem II protein, were found on both plastid and nuclear genomes, suggesting at least one



resentation of 24 chromosomes in T. pseudonana, including 9 chromosomes with two distinguishable haplotypes (indicated with an "a" or "b" after the chromosome number). Blue lines represent optical maps of chromosomes, and gold-colored lines represent whole-genome scaffold sequences with gaps shown as horizontal black lines. All sequences are shown to scale except that small gaps are shown with a minimum line width so that all gaps are visible. Scaffolds are labeled by their number and are represented 5' to 3' down the page. Numbers in parentheses indicate the percentage of each chromosome's length spanned by mapped scaffolds. A letter "R" after a scaffold indicates reverse complementation. Scaffolds assigned to the map based on comparison between the map and in silico scaffold digests are positioned adjacent to the matching segment of the map. Lines connect the ends of each placed scaffold with the end points of the aligning segment of the map. Scaffolds that cannot be unambiguously placed on the map are shown in the box.

plastid-to-nucleus transfer "in progress." Only a plastid copy of the psbW gene is found in C. merolae, which supports the recent nature of this transfer. No red algal mitochondrial genes could be detected in T. pseudonana (E-value < 1e-5), and phylogenetic analysis of multiple mitochondrial

genes supported the inclusion of diatom and red algal sequences in separate clades (fig. S6). Both results are consistent with the hypothesis that red algal mitochondria were lost by the ancestral heterokont, with only the heterotrophic host mitochondria retained (Fig. 1).

Table 1. General features of T. pseudonana genomes.

Feature		Value
	Nuclear genome	
Size (bp)	3	34.5 million
Chromosome number		24
Chromosome size range (bp)		360,000 to 3,300,000
G + C content (overall %)		47
G + C content (coding %)		48
Transposable elements (overall %)		2
Protein-coding genes		11,242
Average gene size (bp)		992
Average number introns per gene		1.4
Gene density (bp per gene)		3,500
tRNAs		131 (includes at least 1 per codon)
	Plastid genome	
Size (bp)		128,813
G + C content (overall %)		31
Protein-coding genes		144
Gene density (bp per gene)		775
tRNAs		33
	Mitochondrial genome	
Size (bp)		43,827
G + C content (overall %)		30.5
Protein-coding genes		40
Gene density (bp per gene)		1137.5
tRNAs		22

Establishment of a stable secondary endosymbiosis required evolution of a protein import system to allow cytoplasmically synthesized proteins to traverse the two additional membranes that surround the plastid (Fig. 1). An N-terminal signal peptide sequence directs proteins across the endoplasmic reticulum (ER) membrane, which is continuous with the outermost plastid membrane in most heterokonts (19), but the mechanism of transit across the next three membranes remains unclear. A majority of putative plastid transit sequences examined (57/67) have a Phe at the +1 position (after the predicted signal cleavage site), frequently followed by a Pro at position +3, +4, +5, or +7 (fig. S7 and table S3). The first 17 to 18 positions are enriched in Ser, Thr, and Arg and have few negatively charged amino acids, similar to transit sequences of higher plants (20) but unlike those of the alveolate parasite Plasmodium falciparum (21). Tic 110 (with limited similarity to C. merolae Tic 110) was the only identified member of the Tic and Toc proteins that make up the chloroplast protein translocation system in plants (22), which suggests that novel changes to the import system have occurred. Homologs of plant stromal-processing and thylakoid-processing peptidases were identified, as were components of the Sec, Tat, and SRP thylakoid translocation-insertion machinery, which suggests that once proteins arrive

**Table 2.** Protein domains (based on Interpro matches) in *T. pseudonana* and comparison with four other eukaryotes. Estimated proteome size is given in parentheses under the name of each organism.

Interpro ID	T. pseudonana (11,242)		C. merolae (6,229)		A. thaliana (26,187)		N. crassa (10,082)		M. musculus (27,098)		
	Proteins	Rank	Proteins	Rank	Proteins	Rank	Proteins	Rank	Proteins	Rank	Interpro name
			Ten i	nost abi	undant don	nains in T	. pseudona	na			
IPR000719	202	1	71	4	1055	1	122	4	594	4	Protein kinase 1
IPR002290	136	2	61	5	228	2	101	7	351	6	Serine/threonine protein kinase 1
IPR003593	135	3	86	2	314	10	93	8	136	31	AAA ATPase
IPR001680	116	4	81	3	267	12	121	5	325	8	G protein beta WD-40 repeats
IPR001245	111	5	52	8	43	163	90	10	250	7	Tyrosine protein kinase
IPR001440	105	6	27	21	137	33	37	25	165	29	TPR repeat
IPR002110	101	7	10	32	156	38	49	19	292	17	Ankyrin
IPR001410	97	8	57	6	153	28	74	13	119	44	DEAD/DEAH box helicase
IPR001611	96	9	10	32	544	4	14	44	257	19	Leucine-rich repeat
IPR001650	95	10	56	7	147	40	71	14	107	62	Helicase, C terminal
			Addit		undant don	nains in '	T. pseudona	ana			
IPR003590	32	41	2	>50	0	_	2	>50	0	_	Leucine-rich repeat ribonuclease
IPR002341	75	16	4	>50	23	>200	2	>50	36	>200	HSF/ETS DNA binding
IPR000232	65	20	3	>50	24	>200	3	>50	5	>200	Heat shock factor
IPR006671	51	27	7	>50	51	117	9	49	41	182	Cyclin, N terminus
IPR000595	39	35	1	>50	29	>200	4	>50	0	_	Cyclic nucleotide binding
IPR006663	62	21	14	>50	78	72	14	44	52	111	Thioredoxin domain 2
IPR006662	50	29	9	>50	78	82	10	48	45	163	Thioredoxin
IPR000408	39	36	12	>50	52	134	13	45	46	157	Regulator of chromosome condensation (RCC)
IPR005123	43	31	3	>50	114	46	10	48	0	_	20G-Fe (II) oxygenase
IPR007090	58	26	1	>50	332	11	0	_	0	_	Leucine-rich repeat, plant
					ented dom		•				
IPR001810	2	>50	6	>50	648	4	36	26	82	81	Cyclin-like F-box
IPR007087	13	>50	17	36	182	28	90	10	730	4	Zn-finger, C2H2 type
IPR004827	10	>50	5	>50	74	93	24	34	62	116	Basic-leucine zipper (bZIP)
IPR007114	32	39	12	>50	87	71	117	6	95	67	MFS (transporter)

in the plastid stroma, machinery derived from the cyanobacterial ancestor directs them to their final destination.

#### Metabolic Adaptations to Life in Aquatic Environments

The frustule: Life in a glass house. The most distinctive aspect of diatoms is the frustule, which consists of hydrated silicon dioxide (silica) and a small amount of organic material. The strength of the frustule is hypothesized to help protect diatoms from being crushed during predation (23). The frustule is composed of two unequally sized halves connected by a series of overlapping siliceous girdle bands (24). Replication of the frustule during mitotic divisions creates two differently sized daughter cells, one of which is smaller than the parent cell. Cell size is ultimately restored through sexual reproduction (24). In generating and maintaining their frustules, diatoms control biogenic cycling of silicic acid in the ocean to such an extent that every atom of silicon entering the oceans is incorporated into diatom frustules about 40 times before its burial in sea-floor sediments (25).

Silicon biochemistry is largely uncharacterized in any organism. We identified three genes that encode transporters for active uptake of silicic acid (26). Silica precipitates within a silica deposition vesicle (SDV) in a process controlled by long-chain polyamines and a family of Lys- and Ser-rich phosphoproteins (silaffins) that are embedded within the forming silica frustule (27). Five silaffins were identified on the basis of genome sequence and N-terminal sequences of biosilica-associated T. pseudonana proteins (28). No matches were found to the silaffin gene sil-1 of the diatom Cylindrotheca fusiformis or to silicateins that initiate silica precipitation in sponges (29). Long-chain polyamine biosynthesis presumably requires spermidine and spermine synthase-like enzymes (Fig. 4), and T. pseudonana has at least four times as many copies of genes encoding these enzymes as any other organism sequenced to date.

Dissolution of the frustule in water is minimized because it is surrounded by an organic casing that includes glycoproteins with high levels of rhamnose and xylose, two sugars rarely found in other eukaryotic glycans. Based on the presence of highly conserved calcium-binding domains (30), four new frustulins (casing glycoproteins) were identified, but no pleuralins, which are associated with terminal girdle bands in *C. fusiformis* (31). Consistent with a high content of hydroxylated amino acids found in the organic casing (32), one of the more abundant gene families in *T. pseudonana* encodes prolyl-4 hydroxylases.

Staying afloat. Diatoms must compensate for their dense silica frustule to maintain position within the illuminated portion of the water column. Some diatoms, including members of *Thalassiosira*, apparently increase drag and thus decrease sinking rates by extruding chitin fibers from pores in the frustule (24). These fibers can represent as much as 40% of total cell biomass and 20% of total cellular nitrogen (33). Enzymes for chitin biosynthesis and at least 22 putative chitinases were identified. This suggests that chitin fiber length may be dynamic and perhaps regulated at different life-cycle stages or in response to a balance between a need for flotation and a need for enhanced nutrient delivery; a reduction in chitin fiber length will decrease the thickness of the boundary layer around a cell, thus enhancing nutrient flux to the cell surface. The multiple chitinases could also play roles in defense against fungal infection. Identification of a chitooligosaccharide N-deacetylase indicates that T. pseudonana also generates chitin-based oligosaccharides.

Nitrogen metabolism/urea cycle. The genome encodes multiple transporters for nitrate and ammonium, the primary inorganic nitrogen sources for diatoms, and a single copy of a plastid-localized nitrite transporter (Fig. 4). The presence of multiple transporters for essential inorganic nutrients (nitrate, ammonium, phosphate, sulfate, silicic acid) likely reflects differential regulation and/or substrate affinities. We also found evidence for uptake and use of organic forms of nitrogen and for catabolism of amino acids (e.g., transaminases and glutamate dehydro-

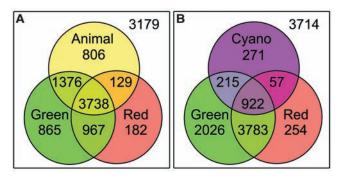
genase) and purines (e.g., uricase and allantoicase), which indicates that *T. pseudonana* uses multiple forms of nitrogen.

Identification of enzymes necessary for a complete urea cycle was unanticipated, because this pathway has not previously been described in a eukaryotic photoautotroph. Unlike other organisms with a urea cycle, diatoms are unlikely to excrete "waste" urea because they posses an active urease and can grow on urea as a sole nitrogen source. In T. pseudonana, the enzyme that catalyzes the first step of the urea cycle [carbamovl phosphate synthase (CPS III)] appears to be targeted to mitochondria (table S4), as in other organisms with a urea cycle. Higher plants possess a plastid-localized version of CPS that uses glutamine rather than NH<sub>4</sub>+ and is required for the first step of pyrimidine biosynthesis. The diatom has lost this form of CPS but has a second CPS III-type gene without any organellar targeting sequence, which suggests that pyrimidine biosynthesis occurs in the cytoplasm of diatoms as in heterotrophs, rather than in plastids as in higher plants/green algae (Fig. 4). This observation raises the intriguing question of how pyrimidines are transported across the four plastid membranes.

The urea cycle appears to be fully integrated into diatom metabolism in ways not previously suspected. Two intermediates of the urea cycle, arginine and ornithine, feed into other pathways present in the diatom. Ornithine is used to make spermine and spermidine, the polyamines required for diverse functions in all eukaryotes (34), and probably also long-chain polyamines required for silica precipitation during frustule formation. Ornithine can also be converted directly to proline by ornithine cyclodeaminase. The genome encodes many proline-rich proteins and proteins containing proline-binding motifs. Arginine is used for the synthesis of the signaling molecule nitric oxide through nitric oxide synthase, which in higher plants plays a role in pathogen defense. We also find evidence for generation of the energy-storage molecule, creatine phosphate, by a urea cycle branch pathway originating from arginine (Fig. 4) that is absent from A. thaliana, C. reinhardtii, and C. merolae. Urea can also serve as an osmolyte, although this seems less likely in T. pseudonana because enzymes involved in synthesis of the more common osmolytes, betaine and mannose, as well as two plantlike halotolerance proteins, were identified.

Carbon fixation. Despite the global importance of diatom carbon fixation, considerable debate still surrounds how diatoms concentrate and deliver CO<sub>2</sub> to the active site of the carbon-fixation enzyme Rubisco. Reinfelder and colleagues have championed the hypothesis that diatoms rely on a

Fig. 3. Diatom proteins with homologs (BLAST scores ≥ 100) in other organisms. (A) Venn diagram of the distribution of *T. pseudonana* proteins with homology to proteins from *A. thaliana* (green), *C. merolae* (red) or *M. musculus* (animal). (B) Same as (A), but with *Nostoc* sp. PCC 7120 instead of *M. musculus*. Number outside the circles indicates the num-



ber of T. pseudonana proteins with no homology to the examined proteomes.

carbonic-anhydrase-dependent C4-like carbonconcentrating mechanism (35). We identified complete cytoplasmically localized glycolytic and gluconeogenic pathways, as well as additional enzymes necessary for interconversions and production of C4 compounds (Fig. 4). However, we found no evidence for plastid localization of decarboxylating enzymes required for delivery of CO2 to Rubisco. The multiple carbonic anhydrases identified here catalyze interconversions between CO2 and bicarbonate, but all appear to localize to the cytoplasm rather than the plastid as in C3 plants and green algae. This in silico analysis should help guide future experimentation.

Energy stores. Diatoms accumulate fixed carbon primarily as chrysolaminaran (1,3-β-D-glucan) during nutrient-replete conditions. This carbohydrate is metabolized rapidly during dark periods, and both an endo- and an exo-1,3-β-D-glucanase were identified. In

addition, putative sugar transporters were identified, which would enable uptake of reduced carbon from the environment.

As in metazoans, longer-term storage of reduced carbon in diatoms involves lipids (36), which have the additional advantage of enhancing buoyancy. All plastid-containing organisms examined to date, including P. falciparum and now T. pseudonana, carry out de novo biosynthesis of fatty acids within the plastid via a type II fatty acyl synthase, followed by export of fatty acids to the cytoplasm (37). At least 25% of the fatty acids synthesized by T. pseudonana are polyunsaturated (38), including the nutritionally important eicosapentaenoic (EPA) and docosahexaenoic (DHA) acids. We identified a complete pathway for polyunsaturated fatty acid biosynthesis, such as several microsomal elongases and desaturases (including a putative  $\Delta 4$  desaturase) that successively modify simpler fatty acids. Additional lipid-related components identified include a deduced sterol biosynthetic pathway that should produce cholesterol, cholestanol, and epibrassicasterol (Fig. 4) and a C-24(28) sterol reductase, presumably involved in the synthesis of 24-methylene sterols.

Two pathways for β oxidation of fatty acids are present in T. pseudonana. One pathway is localized to mitochondria, because a full set of the required enzymes possess predicted mitochondrial transit peptides (Fig. 4 and table S4). The second pathway appears to be localized to peroxisomes because it includes an acyl-CoA oxidase that is restricted to peroxisomes in other organisms (39, 40), and potential peroxisomal targeting motifs (40) were found for enzymes known to be specific for β oxidation of polyunsaturated fatty acids, including a 2, 4-dienoyl-CoA reductase and a  $\Delta 3$ , 5- $\Delta 2$ , 4-dienoyl-CoA isomerase. The peroxisomal pathway is expected to generate

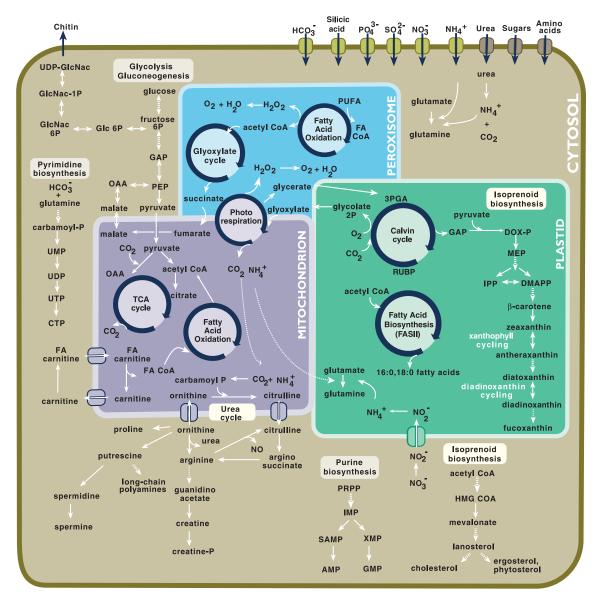


Fig. 4. Novel combinations of metabolic pathways and key components of nutrient transport in T. pseudonana. Metabolic steps are represented by arrows. Solid arrows indicate direct steps in a pathway, dashed arrows indicate that known multiple steps in a pathway are not shown, and dotted arrows represent hypothesized steps. Transporters or pathways localized to mitochondrion and plastid are supported by identification of targeting presequences. Pathways localized to peroxisome are based primarily on similar localization in other organisms. Transporters of unknown localization are shown in the outer membrane.

substantial quantities of  $H_2O_2$ , and a gene for catalase/peroxidase was found, although its protein localization could not be predicted. As with higher plants, peroxisomal pathway products in *T. pseudonana* presumably feed into the glyoxylate cycle and ultimately into gluconeogenesis for carbohydrate production (Fig. 4). Thus, diatoms appear to use stored lipids for both metabolic intermediates and generation of adenosine 5'-triphosphate (ATP), which likely explains how diatoms can withstand long periods of darkness and begin growing rapidly upon a return to the light.

Light harvesting, photoprotection, and photoperception. Diatoms commonly dominate in well-mixed water columns where they must cope with dramatic changes in intensity and spectral quality of light over relatively short time frames. Our in silico analyses indicate that diatoms likely perceive blue and red light, but not green light. We identified putative homologs of cryptochromes, which function as blue-light photoreceptors in other eukaryotes (41), and phytochrome, which is consistent with an earlier report hypothesizing that diatoms can perceive red/far-red light (42). No obvious matches to phototropins or rhodopsins (putative blue and green receptors) were identified. The absence of a detectable green light receptor was a surprise because green light persists to the greatest depth in coastal waters, whereas red light and blue light are both absorbed at relatively shallow depths. This combination of photoreceptors may help diatoms perceive their proximity to the surface and/or detect red chlorophyll fluorescence from neighboring cells (43).

The light-harvesting complex (LHC) family in T. pseudonana includes at least 30 fucoxanthin-chlorophyll a/c proteins that absorb light and transfer it to photosynthetic reaction centers. No relicts of red algal phycobiliprotein genes were detected. No evidence was found for the PsbS protein essential for operation of the photoprotective xanthophyll cycle in higher plants (44). This is unexpected because the major mechanism for dissipation of excess light energy in diatoms is an augmented xanthophyll cycle that involves the interconversion of diadinoxanthin and diatoxanthin in addition to the wellknown violaxanthin-zeaxanthin interconversion found in higher plants (Fig. 4). No genes were found for other photoprotective members of the LHC superfamily (e.g., Elips, Seps) except for two small Hli proteins hypothesized to protect against damage from reactive oxygen species in cyanobacteria.

Damage from reactive oxygen species generated during photosynthesis could also be minimized by the two Fe-type and two Mntype superoxide dismutases (SODs). Similar to *P. falciparum*, no obvious match to a Cu/Zntype SOD was found, nor was a match found

for a Ni-containing SOD recently discovered in marine cyanobacteria (45). Components of several pathways associated with use of the antioxidants glutathione, ascorbate, and alphatocopherol were also identified.

Iron uptake. Productivity of major regions of the modern surface ocean is limited by low iron levels (46). Diatoms frequently dominate phytoplankton blooms created during large-scale iron fertilization experiments, which emphasizes their important role in the marine carbon cycle (47, 48). We identified components of a high-affinity iron-uptake system (49) composed of at least two putative ferric reductases that contain the required heme and cofactor binding sites necessary for activity. In addition, a multicopper oxidase and two iron permeases were identified that together could deliver Fe<sup>3+</sup> to cells by reduction to ferrous iron. Diatoms may also use iron transport proteins found in cyanobacteria, and they possess genes that appear to encode key enzymes necessary for the synthesis of enterobactin, an iron-scavenging siderophore. Genes for metallothioneins and for phytochelatin synthases, which play important roles in metal homeostasis and detoxification, were also identified.

#### **Conclusions**

Sequence and optical mapping of the *T. pseudonana* genome showed that it is diploid with 24 chromosome pairs, data that could not be obtained by conventional cytological techniques. Analysis of predicted coding sequences demonstrated that it possesses a full complement of transporters for the acquisition of inorganic nutrients and a wide range of metabolic pathways, as expected for a highly successful photoautotroph. Its origin by secondary endosymbiosis is supported by evidence for gene transfer from the nucleus of the red algal endosymbiont and by the presence of ER signal sequences on chloroplast-targeted proteins.

About half the genes in the diatom cannot be assigned functions on the basis of similarity to genes in other organisms, in part because diatoms have distinctive features that cannot be understood by appeal to model systems. Diatoms are unusual in the way they metabolize silicon to form their characteristically ornate silica frustule; protein transport into plastids is a more complicated system than is currently understood; the way CO<sub>2</sub> is delivered to RubisCo remains unclear; the high proportion of polyunsaturated fatty acids produced and their oxidation to feed intermediate metabolism is unusual among eukaryotes; even the receptors required to integrate environmental signals remain unknown. The presence of the enzymatic complement of the urea cycle is unexpected, because there was no reason to suspect its presence; there is no current

information about metabolic fluxes through the pathway. The unusual assortment of protein domains may reflect novel mechanisms of gene regulation.

The genomic information provided by this project suggests starting points for a number of experimental investigations of the biology of these globally important organisms and their interaction with the marine environment in which they thrive. The use of genome sequence to infer ocean ecology provides a powerful approach to exploring ecosystem structure.

#### References and Notes

- 1. D. M. Nelson, P. Tréguer, M. A. Brzezinski, A. Leynaert, B. Quéguiner, *Global Biogeochem. Cycles* **9**, 359 (1995).
- C. B. Field, M. J. Behrenfeld, J. T. Randerson, P. G. Falkowski, Science 281, 237 (1998).
- 3. D. G. Mann, Phycologia 38, 437 (1999).
- M. A. Brzezinski et al., Geophys. Res. Lett. 29, 10.1029/2001GL014349 (2002).
- 5. J. Parkinson, R. Gordon, Trends Biotechnol. 17, 190 (1999).
- 6. J. S. S. Damste et al., Science 304, 584 (2004).
- 7. P. G. Falkowski et al., Science 305, 354 (2004).
- A. Falciatore, C. Bowler, Annu. Rev. Plant Biol. 53, 109 (2002).
- Materials and methods are available as supporting material on Science Online.
- 10. P. Dehal et al., Science 298, 2157 (2002).
- 11. S. Aparicio et al., Science 297, 1301 (2002).
- 12. V. Walbot, Curr. Opin. Plant Biol. 3, 103 (2000)
- 13. V. Stewart, P. J. Bledsoe, J. Bacteriol. 185, 2104 (2003).
- 14. J. B. Li et al., Cell 117, 541 (2004).
- J. M. Archibald, M. B. Rogers, M. Toop, K.-I. Ishida, P. J. Keeling, Proc. Natl. Acad. Sci. U.S.A 100, 7678 (2003).
- 16. S. Douglas *et al.*, *Nature* **410**, 1091 (2001).
- 17. W. Martin, *Proc. Natl. Acad. Sci. U.S.A.* **100**, 8612 (2003).
- 18. J. D. Hackett et al., Curr. Biol. 14, 213 (2004).
- 19. P. G. Kroth, Int. Rev. Cytol. 221, 191 (2002).
- 20. X.-P. Zhang, E. Glaser, Trends Plant Sci. 7, 14 (2002).
- 21. B. J. Foth et al., Science 299, 705 (2003).
- K. Cline, in Light-Harvesting Antennas in Photosynthesis, vol.13, Advances in Photosynthesis and Respiration, B. R. Green, W. W. Parson, Eds. (Kluwer Academic Publishers, Dordrecht, Netherlands, 2003), pp. 353–372.
- 23. C. E. Hamm et al., Nature 421, 841 (2003).
- F. E. Round, R. M. Crawford, D. G. Mann, The Diatoms: Biology and Morphology of the Genera (Cambridge Univ. Press, Cambridge, 1990).
- 25. P. Tréguer et al., Science 268, 375 (1995).
- M. Hildebrand, B. E. Volcani, W. Gassmann, J. L. Schroeder, *Nature* 385, 688 (1997).
- 27. M. Sumper, N. Kröger, J. Mater. Chem. 14, 2059 (2004).
- 28. N. Poulsen, N. Kröger, J. Biol. Chem., in press.
- K. Shimizu, J. Cha, G. D. Stucky, D. E. Morse, *Proc. Natl. Acad. Sci. U.S.A.* 96, 361 (1998).
- N. Kröger, C. Bergsdorf, M. Sumper, EMBO J. 13, 4676 (1994).
- 31. N. Kröger, R. Wetherbee, Protist 151, 263 (2000).
- B. E. Volcani, in Silicon and Siliceous Structures in Biological Systems, R. Simpson, B. E. Volcani, Eds. (Springer, New York, 1981), pp. 157–200.
- J. McLachlan, A. G. McInnes, M. Falk, Can. J. Bot. 43, 707 (1965).
- 34. P. Coffino, *Proc. Natl. Acad. Sci. U.S.A.* **97**, 4421 (2000). 35. J. R. Reinfelder, A. M. L. Kraepiel, F. M. M. Morel,
- Nature **407**, 996 (2000). 36. G. A. Dunstan, J. K. Volkman, S. M. Barrett, C. D. Garland, *J. Appl. Phycol.* **5**, 71 (1993).
- 37. R. F. Waller et al., Proc. Natl. Acad. Sci. U.S.A. 95, 12352 (1998).
- G. A. Dunstan, J. K. Volkman, S. M. Barrett, J. M. Leroi,
   S. W. Jeffrey, *Phytochemistry* 35, 155 (1994).
- M. Fulda, J. Shockey, M. Werber, F. P. Wolter, E. Heinz, *Plant J.* 32, 93 (2002).
- A. T. J. Klein, M. van den Berg, G. Bottger, H. F. Tabak,
   B. Distel, J. Biol. Chem. 277, 25011 (2002).

- 41. M. Yanovsky, S. Kay, *Nature Rev. Mol. Cell Biol.* **4**, 265 (2003).
- 42. C. Leblanc, A. Falciatore, C. Bowler, *Plant Mol. Biol.* **40**, 1031 (1999).
- 43. M. Ragni, M. Ribera, J. Plankton Res. 26, 433 (2004).
- 44. X.-P. Li et al., Nature 403, 391 (2000).
- 45. B. Palenik et al., Nature 424, 1037 (2003).
- J. K. Moore, S. C. Doney, D. M. Glover, I. Y. Fung, Deep-Sea Res. II 49, 463 (2002).
- 47. P. W. Boyd et al., Nature 407, 695 (2000).
- 48. K. H. Coale et al., Science 304, 408 (2004).

- N. J. Robinson, C. M. Procter, E. L. Connolly, M. L. Guerinot, *Nature* 397, 694 (1999).
  - This work was performed under the auspices of the U.S. Department of Energy's (DOE) Office of Science, Biological, and Environmental Research Program and by the University of California, Lawrence Livermore National Laboratory under Contract No. W-7405-Eng-48, Lawrence Berkeley National Laboratory under contract No. DE-ACO3-765F00098, and Los Alamos National Laboratory under contract No. W-7405-ENG-36; and DOE (DE-FG03-02ER63471 to E.V.A.), European Union Margens (QLRT-2001-01226

to C.B.), the CNRS Atip program (2JE144 to C.B.), and the U.S. Environmental Protection Agency (R827107-01-0, Basic to B.P., M.H.).

#### Supporting Online Material

www.sciencemag.org/cgi/content/full/306/5693/79/DC1 Materials and Methods

Figs. S1 to S7 Tables S1 to S4 References

7 June 2004; accepted 17 August 2004

### REPORTS

# The Kondo Effect in the Presence of Ferromagnetism

Abhay N. Pasupathy, <sup>1</sup> Radoslaw C. Bialczak, <sup>1</sup> Jan Martinek, <sup>2</sup> Jacob E. Grose, <sup>1</sup> Luke A. K. Donev, <sup>1</sup> Paul L. McEuen, <sup>1</sup> Daniel C. Ralph <sup>1\*</sup>

We measured Kondo-assisted tunneling via  $C_{60}$  molecules in contact with ferromagnetic nickel electrodes. Kondo correlations persisted despite the presence of ferromagnetism, but the Kondo peak in the differential conductance was split by an amount that decreased (even to zero) as the moments in the two electrodes were turned from parallel to antiparallel alignment. The splitting is too large to be explained by a local magnetic field. However, the voltage, temperature, and magnetic field dependence of the signals agree with predictions for an exchange splitting of the Kondo resonance. The Kondo effect leads to negative values of magnetoresistance, with magnitudes much larger than the Julliere estimate.

Measurements on individual quantum dots have in recent years provided a detailed understanding of the Kondo effect (1-7): the coupling between a localized spin and conduction electrons that serves as a fundamental model for understanding correlated-electron physics (8). Itinerant-electron ferromagnetism is an alternative correlated-electron state that can arise from Coulomb interactions between electrons. These two states ordinarily compete with each other; in heavy fermion systems, a phase transition is thought to exist between the Kondo and magnetic ground states (9). Calculations for the consequences of coupling between a Kondo-regime quantum dot and ferromagnetic electrodes have produced conflicting predictions (10–14). Experimentally, Kondo physics has not previously been

<sup>1</sup>Laboratory of Atomic and Solid State Physics, Cornell University, Ithaca, NY 14853, USA. <sup>2</sup>Institut für Theoretische Festkörperphysik, Universität Karlsruhe, 76128 Karlsruhe, Germany; Institute for Materials Research, Tohoku University, Sendai 980–8577, Japan; and Institute of Molecular Physics, Polish Academy of Sciences, 60–179 Poznań, Poland.

\*To whom correspondence should be addressed. E-mail: ralph@ccmr.cornell.edu studied in quantum dots with magnetic electrodes, despite work with both normal-metal (1-6) and superconducting (7) systems, because of difficulty in achieving sufficiently strong coupling between the dot and magnetic materials. We demonstrate here that  $C_{60}$  molecules can be strongly coupled to nickel (Ni) electrodes so as to exhibit the Kondo effect. Ferromagnetism can suppress Kondo-assisted tunneling, but Kondo correlations are still present within the ferromagnetic electrodes, and in particular situations the strong-coupling limit of the Kondo effect can still be achieved.

First, we compare measurements made using (magnetic) Ni and (nonmagnetic) gold (Au) electrodes, building on studies of single-electron transistors made using  $C_{60}$  (15) and previous measurements of Kondo-assisted tunneling using  $C_{60}$  in contact with Au electrodes (6). Our devices are made by using electron-beam lithography and liftoff to create electrodes that are 30 nm high and 50 nm wide at their narrowest point. After cleaning the electrodes in an oxygen plasma (0.25 W/cm² for 2 min), we deposit 50  $\mu$ l of a dilute solution ( $\approx$ 100  $\mu$ M) of  $C_{60}$  in toluene

over a 30-mm<sup>2</sup> area of the chip and allow the solvent to evaporate. We cool the chip to 1.5 K and then use an electromigration procedure (16) to create a nanometer-scale break in the wire. One or more molecules of  $\mathrm{C}_{60}$  can bridge this gap. For  $\mathrm{C}_{60}$  with nonmagnetic Au electrodes, some samples show featureless tunnel conduction or conventional high-resistance Coulomb blockade characteristics (15), but in approximately 20% of 100 junctions the differential conductance  $[G(V) \equiv dI/dV \equiv 1/R(V)]$  curves display a peak at V = 0 (Fig. 1A) (6) (V, voltage; I, current; R, resistance). This peak can be split by applying a magnetic field B, with an average g factor  $\approx 2$ . These features are signatures of the Kondo effect in a spin-1/2 quantum dot (1-6). The Kondo signals were absent in a set of 40 control samples that underwent the same fabrication procedure, but without the  $C_{60}$  deposition.

For magnetic Ni electrodes, the shapes of the two electrodes were designed to give them different magnetic anisotropies, so that they undergo magnetic reversal at different values of B(17). We have experimented with several combinations of shapes and have had good success with the pattern shown in Fig. 1B. The magnetic properties of test electrodes were checked in the absence of any molecules, by measuring the magnetoresistance of tunnel junctions created by electromigration (Fig. 1C). The curves display hysteretic switching features familiar from previous studies of magnetic tunnel junctions (18), demonstrating that we can control the relative orientation of the magnetic moments in the two electrodes between parallel (P) and approximately antiparallel (AP) alignment. We can compare the magnitude of the junction magnetoresistance (JMR) to the Julliere estimate (19): JMR =  $(R_{AP} - R_P)$ /  $R_{\rm p} = 2P^2/(1 - P^2)$ , where  $R_{\rm p}$  is the resistance when the magnetizations are parallel,  $R_{\rm AP}$  is the resistance when the magnetizations are antiparallel, and P is the tunneling spin polarization. Using P = 0.31 measured for thin films of Ni with aluminum oxide tunnel