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ABSTRACT

We use a sector configuration of an ocean general circulation model to examine the sensitivity 6 of circumpolar transport and meridional overturning to changes in Southern Ocean wind 7 stress and global diapycnal mixing. We find that at eddy-permitting, and finer, resolution, 8 the sensitivity of circumpolar transport to forcing magnitude is drastically reduced. At 9 sufficiently high resolution, there is little or no sensitivity to wind stress, even in the limit of 10 no wind. In contrast, the meridional overturning circulation continues to vary with Southern 11 Ocean wind stress, but with reduced sensitivity in the limit of high wind stress. We find that 12 both the circumpolar transport and meridional overturning continue to vary with diapycnal 13 diffusivity at all model resolutions. The circumpolar transport becomes less sensitive to 14 changes in diapychal diffusivity at higher resolution, although sensitivity always remains. 15 In contrast, the overturning circulation is more sensitive to change in diapycnal diffusivity 16 when the resolution is high enough to permit mesoscale eddies. 17

18 1. Introduction

The Southern Ocean encircles Antarctica and connects the major ocean basins through 19 the agency of the Antarctic Circumpolar Current (ACC) and its associated Meridional Over-20 turning Circulation (MOC). Cold abyssal waters, enriched in carbon and nutrients, upwell 21 in the Southern Ocean amidst a complex interleaving of water masses, giving its circula-22 tion a global significance (Rintoul et al. 2001; Meredith et al. 2011). As the cross-roads of 23 the oceans, understanding the Southern Ocean circulation, and how that circulation might 24 change, is thus crucial to understanding both the past and future climate of the Earth 25 system. 26

The simple model due to Gnanadesikan (1999) (henceforth G99) heuristically links the global pycnocline depth, and thus the circumpolar transport of the ACC (T_{ACC}) through thermal wind balance (Gnanadesikan and Hallberg 2000; Munday et al. 2011), to four processes:

i. Southern Ocean wind forcing;

³² ii. the eddy bolus transport, via baroclinic instability, in the Southern Ocean;

³³ iii. deep water formation at Northern high latitudes;

³⁴ iv. global diapycnal mixing.

The southern hemisphere westerly winds may have been significantly different from the 35 present day mean climate at times in the past (see, for example, Otto-Bliesner et al. 2006). 36 Similarly, estimates of tidal mixing for the Last Glacial Maximum (LGM) suggest that 37 diapycnal mixing was higher (Egbert et al. 2004), particularly in the North Atlantic (Green 38 et al. 2009). However, obtaining robust estimates of global palaeoceanographic circulations, 39 whether at the LGM or otherwise, remains difficult due to a paucity of data (Wunsch 2003). 40 As a result, numerical and analytical models of varying complexity must be used to assess 41 how such changes might have impacted the Southern Ocean circulation and global climate. 42

Projections of future climate also suggest that changes in both the magnitude and position of
the southern hemisphere westerlies are plausible (IPCC AR4 WG1 2007). The consequences
for Southern Ocean circulation and the potential for climate feedbacks have yet to be robustly
determined.

In the context of the G99 model, the response of the Southern Ocean circulation to changing forcing has been investigated using general circulation models for wind forcing (Saenko et al. 2002; Fyfe and Saenko 2006; Delworth and Zeng 2008; Allison et al. 2010; Wang et al. 2011), diapycnal diffusivity (Gnanadesikan and Hallberg 2000; Munday et al. 2011), and northern sinking (Fučkar and Vallis 2007). However, these models are usually non-eddy-resolving, necessitating the use of an eddy parameterisation for the entire mesoscale eddy field.

Recent results indicate that the response of an eddy-resolving ocean model to changes 54 in wind forcing differs from that of a non-eddy-resolving ocean model with parameterised 55 eddies. In terms of the circumpolar transport, resolving the eddy field leads to a much lower 56 sensitivity to increased wind forcing (Hallberg and Gnanadesikan 2001; Tansley and Mar-57 shall 2001; Hallberg and Gnanadesikan 2006). Such lack of sensitivity was first suggested 58 by Straub (1993), and continues to be observed in a growing range of eddy-resolving models 59 (Hogg and Blundell 2006; Meredith and Hogg 2006). This phenomenon has become known as 60 eddy saturation and can be thought of as a marginally critical balance being maintained by 61 the tendency for near-surface Ekman transport to steepen isopycnals and baroclinic eddies 62 to flatten them. Investigations into the *eddy saturation* behaviour of numerical models have 63 recently been extended to primitive equation models using realistically complex geometry 64 (Farneti et al. 2010; Farneti and Delworth 2010). Results indicating a prevailing eddy sat-65 *uration*-type regime for the Southern Ocean and ACC continue to accrue. Several authors 66 (see Hofmann and Morales-Maqueda 2011, for a recent example) have expressed the opinion 67 that *eddy saturation* must begin at some finite, non-zero wind stress. This has yet to be 68 tested in the limit of zero wind stress. 69

Consideration of the impact of the Southern Annular Mode (SAM) in an eddy-resolving model also shows a characteristically different response to that of a model with parameterised eddies (Screen et al. 2009). Changes in eddy kinetic energy (EKE) and eddy heat flux take place with a characteristic time-scale of 2-3 years, roughly equivalent to that seen in simpler numerical models (Hogg et al. 2008). Furthermore, this timescale is corroborated by that seen in the observational record (Böning et al. 2008; Meredith et al. 2004).

Attention has recently moved to the response of the Southern Ocean MOC to changing 76 wind forcing, which can be broadly decomposed into the wind-driven Eulerian upwelling and 77 the downwelling eddy bolus flux (Johnson and Bryden 1989; Marshall 1997; Marshall and 78 Radko 2003). The net Residual MOC (RMOC) is then a subtle balance between these two 79 opposing contributions (Watson and Naveira Garabato 2006). It is the RMOC that is the 80 most relevant circulation when considering, for example, the transport of temperature, nutri-81 ents, and other climatically important tracers. Use of the RMOC to describe the upwelling 82 in the Southern Ocean eliminates the spurious "Deacon cell" and its unphysical overestimate 83 of net upwelling (Danabasoglu et al. 1994, also see Döös and Webb, 1994) 84

Hallberg and Gnanadesikan (2006) describe the MOC response to changes in wind forcing 85 in their eddy-permitting hemispherical isopycnal model as "attenuated" with respect to 86 a coarse resolution version of the same model with parameterised eddies. This reduced 87 response of the RMOC to wind anomalies, when compared to the linear change in the Ekman 88 overturning contribution, was christened eddy compensation by Viebahn and Eden (2010) 89 and, like *eddy saturation*, has been observed in a growing range of ocean models (Henning 90 and Vallis 2005; Spence et al. 2009; Farneti et al. 2010). In the idealised study of Abernathey 91 et al. (2011) the degree of *eddy compensation* was found to be crucially dependent upon the 92 form of the surface buoyancy condition, with a fixed flux surface buoyancy forcing resulting 93 in reduced sensitivity to changes in wind stress when compared with a restoring condition. 94 Regardless, in all cases the increase in both upper and lower cells of the RMOC was always 95 much less than the increase in the Eulerian upwelling expected from changes in the surface 96

⁹⁷ layer's Ekman transport.

The response of an ocean general circulation or coupled climate model to changes in the 98 wind stress felt by the ocean component is crucially dependent upon the way the model's 99 eddy parameterisation is formulated. Most non-eddy-resolving general circulation models 100 of the ocean and coupled climate models use a derivative of the parameterisation due to 101 Gent and McWilliams (1990, henceforth GM). In models with a constant GM coefficient 102 (usually referred to as a thickness diffusivity, but more correctly a quasi-Stokes diffusivity, 103 see Kuhlbrodt et al. 2012), the response to altered wind stress is a strongly correlated 104 change in circumpolar transport and associated changes in stratification and overturning 105 (Fyfe and Saenko 2006). However, a GM-style parameterisation formulated such that the 106 GM coefficient can vary with the ocean state, such as that due to Visbeck et al. (1997), 107 breaks this correlation (Kuhlbrodt et al. 2012). 108

Farneti and Gent (2011) and Gent and Danabasoglu (2011) use different coupled climate 109 models in which the ocean component has a GM-style parameterisation where the coefficient 110 can vary in both space and time. This results in an ocean that demonstrates a degree of eddy111 compensation, such that the response of the overturning to a wind perturbation is reduced 112 with respect to a control run of the same model. Similar results are achieved by Hofmann 113 and Morales-Maqueda (2011) in an ocean-only model using the GM formulation of Visbeck 114 et al. (1997). However, in all three models, the GM parameterisation is formulationed such 115 that increases in the GM coefficient are tied to steeper isopycnal slopes. Hence, the models 116 may be *eddy compensated*, but this comes at the expense of a changing isopycnal slope and 117 circumpolar transport, i.e. they are not *eddy saturated*. 118

The perturbed coupled climate models of Farneti and Gent (2011) and Gent and Danabasoglu (2011) were run for 100 - 200 model years, starting from previous experiments. This is short with respect to the spin-up time of the ocean, which may extend to multi-millennial time-scales (Wunsch and Heimbach 2008; Allison et al. 2011; Jones et al. 2011). The oceanonly model of Hofmann and Morales-Maqueda (2011) was run for substantially longer (a

3000 year control run with 1000 year perturbation experiments), which should place it closer 124 to its final equilibrium state. It is difficult to assess how the circumpolar transport in the 125 perturbed models might vary at statistical steady state. However, based on the increase in 126 circumpolar transport seen by Hofmann and Morales-Maqueda (2011, a 35Sv increase for a 127 doubling of wind stress) and the continued upwards trajectory of the transport in Fig. 9 of 128 Farneti and Gent (2011) for their experiments with increased wind stress, it seems unlikely 129 that *eddy saturation* would eventually occur. The extent to which these phenomena occur 130 concurrently in an eddying ocean is therefore crucial in guiding future developments of eddy 131 parameterisations. 132

Eddy saturation and eddy compensation are not the same phenomenon (Meredith et al. 133 2012), and the connection between them remains the subject of ongoing research. However, 134 taken together they present an exciting possibility regarding climate change. The close 135 link between stratification and transport, highlighted by the model of G99, indicates that 136 eddy saturation is not just an argument regarding circumpolar transport; an eddy saturated 137 circumpolar current would have very close to invariant isopycnal slope/stratification. A 138 totally eddy compensated ocean would also show little change in up-/down-welling along 139 those isopycnals. Hence, modification of global climate by increased upwelling of carbon-140 rich water in the Southern Ocean, as hypothesised by Toggweiler et al. (2006), might well 141 have only limited applicability to the real climate system. 142

The wind-stress perturbations applied in the experiments discussed above have typically 143 been modest, and so the full range of parameter space has not been sampled. Furthermore, 144 to capture all the timescales inherent to ocean adjustment, the full meridional extent of 145 the ocean must be simulated (Allison et al. 2011), and the model integrated to equilibrium 146 to enable a robust understanding of the results (Treguier et al. 2010). Current ocean-147 only and coupled climate models are run with a wide range of diapycnal diffusivities, and 148 little is really known regarding the sensitivity of an eddy-resolving model to this parameter. 149 Whilst the degree of sensitivity to the globally-integrated diapycnal diffusivity is crucially 150

dependent upon the presence or absence of wind (Munday et al. 2011), assessment of changes in this sensitivity in eddy-resolving models has yet to be carried out over the same swath of parameter space. Here we investigate both of these problems in an eddy-permitting ocean model (MITgcm) that has been integrated to as close to equilibrium as we can afford.

We begin in Section 2 by describing our computational domain and some of the numerical choices and compromises made. The key results from a wide range of numerical experiments are introduced in Section 3. A more detailed discussion of the experiments begins with a summary of the basic model state at a range of grid spacings in Section 4. Sections 5 and 6 discuss two separate sets of experiments in which the surface wind stress and diapycnal diffusivity have been independently varied. We close with a summary of our conclusions and a discussion of their significance in Section 7.

¹⁶² 2. Model numerics and domain

In order to investigate the effects of eddy saturation and eddy compensation at equilib-163 rium, we employ the MITgcm (Marshall et al. 1997a,b) in an idealised modelling framework. 164 This allows us to conduct many experiments under different combinations of forcing and 165 parameter choices. Geometrically, the model domain takes the form of the narrow sector 166 shown in Fig. 1 in spherical polar coordinates, with the implied full variation of the Coriolis 167 parameter. Latitudinally this domain extends from 60°S to 60°N, but is only 20° wide in 168 longitude. At the southern end of the sector, a re-entrant channel of 20° latitudinal width 169 allows for the formation of a circumpolar current. The use of such model geometry has a 170 considerable provenance within the ocean modelling literature as a computationally efficient 171 means to investigate, for example, the meridional overturning circulation (Bryan 1986; von 172 der Heydt and Dijkstra 2008; Wolfe and Cessi 2009), the parameter sensitivity of general cir-173 culation models (Bryan 1987), the processes setting ocean stratification (Henning and Vallis 174 2004, 2005; Wolfe and Cessi 2010), and the impact of ocean circulation on ocean carbon 175

storage and atmospheric carbon dioxide concentration (Ito and Follows 2003, 2005). Key
model parameters, which are discussed in more detail below, are given in Table 1.

The model domain's meridional extent is dictated by the desire to produce an inter-178 hemispheric overturning of the type currently thought to take place in the North Atlantic. 179 This prevents a vanishingly small residual overturning in the deep ocean caused by a solid 180 boundary at the equator. It also avoids the use of artificial deepening of the stratification 181 on the northern boundary of the circumpolar channel using, for example, northern bound-182 ary restoring zones (Hallberg and Gnanadesikan 2006; Viebahn and Eden 2010; Abernathey 183 et al. 2011; Morrison et al. 2011) or increased diapycnal diffusivity (Hogg 2010). Extend-184 ing the domain beyond the equator includes important adjustment timescales, which might 185 otherwise be excluded, and allows for deep water formation at the northern boundary and 186 diapycnal mixing to play an important role in the volume budget of the global pycnocline in 187 a G99-style budget (Allison et al. 2011). It comes at an enhanced computational cost due 188 to the increase in the size of the domain; this disadvantage is more than offset by the ability 189 of the stratification and RMOC to evolve together dynamically. 190

Achieving equilibrium is important in understanding the role of eddies in ocean models 191 (Treguier et al. 2010), but remains elusive in costly global model simulations. Our decision 192 to use a longitudinally narrow sector is dictated by the desire to integrate experiments with 193 a fine enough grid spacing to permit/resolve eddies to thermodynamic and biogeochemical 194 equilibrium. By restricting the domain in this way, to roughly a factor of 10-20 times smaller 195 than the actual longitudinal extent of the ocean, we are able to sufficiently speed up our 196 model runs to attain this goal. Early tests with a narrower sector indicate that 20° is 197 sufficiently wide to prevent undue influence on the eddy field of the domain width. 198

The meridional extent of the circumpolar channel is several times that of Drake Passage, although it remains narrower than the width of the Southern Ocean south of Australia and New Zealand. Initial low resolution testing demonstrated that a channel of this width was required to obtain a circumpolar transport of the same order as that observed for the ACC (not shown). Similarly wide circumpolar channels are often used in other sector model
applications (see, for example, Henning and Vallis 2005; Ito and Follows 2005).

The bathymetry of the model is idealised to a flat-bottom over the majority of the domain. Throughout the main 20° wide sector, the ocean has a depth of 5000m. However, a 1° (or 1 grid box, whatever is the greater) region of half depth is appended to the domain within the confines of the circumpolar channel (see Fig. 1). This ridge blocks all contours of f/H, where f is the Coriolis frequency and H is the depth, and ensures that the zonal momentum input by the wind is balanced by bottom form stresses (Munk and Palmén 1951; Johnson and Bryden 1989).

In the vertical, the model domain has 42 unevenly spaced levels. The surface layer is the thinnest, at 10m, whilst the bottom 10 levels have a common thickness of 250m (the maximum used). All model resolutions use a constant grid spacing, in degrees, in the zonal direction. However, to ensure accurate representation of the eddy field, the grid spacing in the meridional direction is scaled by the cosine of latitude. This means that, regardless of latitude, the grid boxes are approximately square.

All model resolutions use the Gent-McWilliams (GM) parameterisation of mesoscale ed-218 dies (Gent and McWilliams 1990; Gent et al. 1995) via the skew-flux formulation of Griffies 219 (1998), which also includes the rotation of "vertical" diffusivity into the diapycnal direction 220 (Redi 1982). At coarse grid spacing, $\Delta = 2^{\circ}$ or coarser, GM is used as a parameterisation 221 of the entire eddy field with the coefficient ($\kappa_{\rm GM}$) set to a large value of 1000m²s⁻¹, typical 222 of that used in general circulation models¹. At a grid spacing of $\Delta = 1/2^{\circ}$ and finer, GM 223 is used as an adiabatic sub-gridscale closure for the turbulent dissipation of potential tem-224 perature and salinity, as per the argument of Roberts and Marshall (1998). The coefficient 225 value is chosen such that the contribution of GM to the meridional heat transport is small 226

¹Initial low resolution tests with a variable $\kappa_{\rm GM}$, using the Visbeck et al. (1997) formulation, were little different to the results presented here. Typically, the scheme selected a $\kappa_{\rm GM} \sim 500 - 1500 {\rm m}^2 {\rm s}^{-1}$, regardless of the forcing/parameter choice.

with respect to the other terms. See Table 2 for the values of $\kappa_{\rm GM}$ used at different gridspacings. No other forms of buoyancy dissipation are otherwise needed. Near the surface the parameterisation is tapered as per Gerdes et al. (1991) with a maximum isopycnal slope of 2×10^{-3} applied. This combination was chosen in order to prevent the shutdown of high latitude convection via GM "taking over" in the near surface layers at coarse resolution.

We use the vector invariant form of the momentum equations with the wet point method 232 of Jamart and Ozer (1986) applied to the Coriolis terms. Potential temperature and salinity 233 are advected using the one-step monotonicity-preserving 7th order advection scheme due 234 to Daru and Tenaud (2004). This reduces numerical diapychal mixing to a low level (Hill 235 et al. 2012). Many tests were carried out at eddy-permitting grid spacings in order to 236 choose the most appropriate tracer advection scheme. These tests had to be run for several 237 hundred model years in order to give a reliable indication of the level of numerical diapycnal 238 diffusivity. Side and bottom boundary conditions are no-slip. Convective adjustment is 239 carried out by increasing the vertical diffusivity to $100 \text{m}^2 \text{s}^{-1}$ whenever static instability is 240 present. Viscous dissipation closures for the momentum equations are resolution dependent, 241 with harmonic friction being used for grid spacings of 2° and coarser, and biharmonic friction 242 at grid spacings of $1/2^{\circ}$ and $1/6^{\circ}$ Regardless of grid spacing, viscosity is specified via a 243 grid-scale Reynolds number, which ensures that as resolution and time-step are co-varied, 244 the viscosity also changes appropriately. As with the choice of tracer advection scheme, 245 appropriate specification of this parameter is essential in minimising numerical diapycnal 246 mixing (Ilicak et al. 2012). As with the choice of tracer advection scheme, many tests 247 had to be performed in order to choose the most appropriate viscosity. The model uses 248 the nonlinear equation of state of Jackett and McDougall (1995) with temporally constant 249 reference pressure. Model parameters that are independent of grid spacing are given in Table 250 1, with resolution dependent model parameters in Table 2. 251

In keeping with the idealised geometry, we choose similarly idealised surface forcing and boundary conditions. Wind forcing is applied as an idealised jet with a peak value of 0.2Nm⁻² $_{254}$ at 45°S. The shape of this jet is given by

$$\tau(\theta) = \begin{cases} \tau_0 \sin^2(\pi(\theta + 60)/30) & \text{if } \theta < -30, \\ 0 & \text{if } \theta > -30. \end{cases}$$
(1)

where θ is the latitude and τ_0 is the peak wind stress. The wind stress field used in the 255 basic state experiments is shown graphically in Fig. 2a. There is no wind significantly north 256 of the re-entrant channel in order to emphasise the role of the Southern Ocean winds in 257 ventilating the deep ocean and driving Southern Ocean circulation. Due to the narrowness 258 of the sector, gyres generated by wind to the north would be severely reduced in transport 259 with respect to, for example, the observed North Atlantic sub-tropical gyre. Furthermore, 260 the use of wind stress to the north could potentially introduce a basin contribution to the 261 circumpolar transport, as identified by Nadeau and Straub (2009, 2012). For these reasons, 262 we choose to exclude such gyres from the forcing entirely. This wind forcing is very similar 263 to that used by Viebahn and Eden (2010). The key difference is the presence of some wind 264 stress curl just to the north of the circumpolar channel, which helps connect the overturning 265 within the channel to that of the basin. The off-centre position of the peak wind forcing, 266 with respect to the centre of the channel, places the model ACC towards the northern edge 267 of the channel. There is considerable precedent for the use of such abbreviated wind stress, 268 including Viebahn and Eden (2010), Morrison et al. (2011), Morrison and Hogg (2012), and 269 Nikurashin and Vallis (2011, 2012). Furthermore, in experiments with coupled models in 270 which the wind stress is externally altered, such as those due to Farneti et al. (2010), it is 271 typically the wind stress only in the circumpolar belt that is substantially modified. 272

For potential temperature (henceforth temperature), we choose a simple restoring condition with an idealised profile similar to that used in many multiple equilibria studies (see, for example, von der Heydt and Dijkstra 2008). This condition broadly mimics the observed surface temperature distribution of the Atlantic, with cold water at the northern/southern boundary and warm water at the equator. The functional form of the surface restoring ²⁷⁸ temperature is given by

$$T(\theta) = \begin{cases} T_{S} + \Delta T \sin(\pi (\theta + 60) / 120) & \text{if } \theta < 0, \\ T_{N} + (\Delta T + T_{S} - T_{N}) \sin(\pi (\theta + 60) / 120) & \text{if } \theta > 0, \end{cases}$$
(2)

where T_S is the temperature at the southern boundary, ΔT is the southern boundary-toequator temperature difference, and T_N is the temperature at the northern boundary. For the experiments reported here, we choose $T_S = 0^{\circ}$ C, $\Delta T = 30^{\circ}$ C, and $T_N = 5^{\circ}$ C, which is broadly similar to the observed temperature distribution of the Atlantic (although with an exaggerated surface temperature at the equator). This temperature restoring condition is illustrated in Fig. 2b. This basic state forcing is comparable to the warm pole experiment of Wolfe and Cessi (2009).

As with temperature, we take a simple restoring approach to the surface salinity of our model ocean. The condition is chosen to give increased salinity in the Tropics, broadly consistent with the observed surface distribution of salinity. By placing the highest salinity at the equator, rather than displaced to the north and south as in the North Atlantic, we ensure that salt is exported from the Tropical regions. The profile of restoring salinity is given by

$$S(\theta) = \begin{cases} S_S + \frac{1}{2}\Delta S \left(1 + \cos\left(\pi\theta/240\right) \right), & \text{if } \theta < 0, \\ S_N + \frac{1}{2} \left(\Delta S + S_S - S_N \right) \left(1 + \cos\left(\pi\theta/240\right) \right), & \text{if } \theta > 0. \end{cases}$$
(3)

where S_S is the salinity at the southern boundary, ΔS is the southern boundary-to-equator 292 salinity difference, and S_N is the salinity at the northern boundary. For the experiments 293 reported here, we take $S_S = 34$, $\Delta S = 3$, and $S_N = 34$, so that the salinity at the northern 294 and southern boundary is the same. The meridional profile of salinity restoring condition 295 is shown in Fig. 2c. More correctly we should prescribe the surface freshwater flux, but by 296 retaining a restoring condition, we allow ourselves the luxury of being able to determine 297 the surface density, and thus the stratification and overturning regime, of the sector model 298 a priori (see below for details.). We also reduce the possibility of a salt advection feed-299

³⁰⁰ back operating on the meridional overturning, leading to multiple equilibria (Stommel 1961;
³⁰¹ Rahmstorf and Ganopolski 1999; Johnson et al. 2007).

The combination of the above surface restoring conditions for temperature and salinity 302 gives rise to the surface-referenced potential density (henceforth density) restoring profile 303 illustrated in Fig. 2d. These profiles have been chosen such that the restoring density at the 304 northern boundary lies between the restoring density at the southern boundary and that at 305 the northern edge of the re-entrant channel (as shown by the dotted line in Fig. 2d). The 306 relative densities of the water masses formed at these three latitudes then results in southern 307 boundary water forming an abyssal water mass that serves as a model analogue of Antarctic 308 Bottom Water (AABW). Arrayed vertically above this abyssal water mass, northern bound-309 ary water forms an analogue of North Atlantic Deep Water (NADW), whilst water from the 310 northern edge of the re-entrant channel forms Antarctic Intermediate Water (AAIW) at the 311 shallowest depth. The overturning associated with this stratification looks like the North 312 Atlantic; there is deep water formation at both northern and southern boundaries and two 313 cells, much like those described by Toggweiler et al. (2006) (see Section 4c). 314

By perturbing the surface density restoring profile, typically by setting S_N to values 315 higher or lower than S_S , it is possible to produce two other broad categories of stratification. 316 A sufficient increase in S_N will create the densest water in the domain at the northern 317 boundary. This shuts down the bottom (AABW) cell of the RMOC and produces a warm, 318 salty abyssal water mass almost solely made up of model analogue NADW. Water from the 319 southern end of the domain becomes surface trapped, forming a cold, fresh surface layer 320 within the circumpolar channel. In contrast, if S_N is selected such that the water at the 321 northern boundary is less dense than any of the water within the channel, the model analogue 322 NADW becomes surface trapped; the entire domain becomes dominated by model analogue 323 AABW and AAIW. 324

Regardless of the surface restoring conditions, it is possible to obtain qualitatively similar RMOCs/stratifications to these alternative states by perturbing the model parameters. For example shutting off the wind or significantly increasing κ_v will both produce the second type of RMOC/stratification described above (see Sections 5b and 6b, respectively). The RMOCs/stratifications described here are much like those of Vallis (2000), and Henning and Vallis (2004, 2005), and indicate that the gross parameters of the ocean circulation that select for the particular oceanic RMOC/stratification remain the same regardless of whether the simulated ocean is eddying in nature.

In the Sections that follow we discuss several suites of model experiments that use grid 333 spacings of 2° , $1/2^{\circ}$, and $1/6^{\circ}$. The coarsest of these grid spacings is non-eddy-resolving, 334 whilst at $1/2^{\circ}$ and $1/6^{\circ}$ closed vortices and filaments of vorticity/fronts in temperature and 335 salinity are generated, respectively (see Section 4a for details). The $1/6^{\circ}$ grid does not resolve 336 scales finer than the first Rossby deformation radius. However, in this case the grid is fine 337 enough to resolve the eddies themselves, if not the scale at which they form at, and fronts 338 and filaments of vorticity have started to appear in the model results (see Section 4). At 339 each grid spacing, two suites of experiments are discussed. In the first, the peak wind stress 340 (τ_0) is varied, around the basic state value of 0.2Nm^{-2} , from 0Nm^{-2} to 1Nm^{-2} . In the second 341 suite, the diapycnal diffusivity is varied over the range $1 - 30 \times 10^{-5} \text{m}^2 \text{s}^{-1}$, a range typical 342 of that used in non-eddy-resolving models for a variety of applications. Regardless of model 343 resolution, the basic state experiments use a diapycnal diffusivity of $3 \times 10^{-5} \text{m}^2 \text{s}^{-1}$. In order 344 to shorten the integration time required, each suite was initialised from the final stratification 345 of a previous, lower resolution set of experiments interpolated to the new grid spacing. The 346 2° were initialised from a set of very coarse 4° experiments and the $1/2^{\circ}$ experiments were 347 then initialised from the result of the 2° experiments. After 1000 years, the $1/2^{\circ}$ results were 348 then interpolated to $1/6^{\circ}$, and these experiments begun.² Where time-average results are 349 discussed, the 2° experiments have been averaged over 1000 years, the $1/2^{\circ}$ over 100 years, 350

²For reasons of numerical stability it was found to be easier to initialise the $1/6^{\circ}$ diapycnal diffusivity experiments from the 4° experiments used to initialise the 2° experiments. In some cases, this leads to a noticeable lag between the $1/6^{\circ}$ basic state and the 12 experiments that make up the rest of the $1/6^{\circ}$ diapycnal diffusivity suite.

and the $1/6^{\circ}$ over 10 years.

352 **3. Key results**

The key results of our numerical experiments are summarised in Fig. 3, where the rela-353 tionship between the time-mean "circumpolar" transport (the zonal transport through the 354 re-entrant channel) and the strength of the wind forcing (Fig. 3a) and diapycnal diffusivity 355 (Fig. 3b) are shown. Different averaging periods are used for each grid spacing; 1000 years 356 for 2° , 100 years for $1/2^{\circ}$, and 10 years for $1/6^{\circ}$. The bars represent two standard deviations 357 of the instantaneous monthly transport about the mean. They indicate the instantaneous 358 variability of the circumpolar current, rather than the standard error in the mean, which is 359 extremely small due to the large number of sample values in the averaging period. 360

Examination of Fig. 3a demonstrates that the non-eddy-resolving model $(2^{\circ}, \text{ blue line})$ 361 behaves like other global climate models employing a constant GM coefficient, i.e. the 362 circumpolar transport changes strongly with the wind stress (Fyfe and Saenko 2006). Even 363 with no wind at all $(\tau_0 = 0 \text{Nm}^{-2})$ a significant T_{ACC} of ~ 50Sv occurs. This transport occurs 364 for the reasons elucidated by Munday et al. (2011), i.e. that the pycnocline to the north 365 of the ACC is deepened by diapychal mixing, even in the absence of wind. This then leads 366 to a considerable circumpolar transport via thermal wind shear. The increase in T_{ACC} with 367 wind forcing continues across the extreme range considered here, which reaches a peak wind 368 stress of 1.0 Nm⁻², compared to the basic state value of 0.2 Nm⁻². The increase in transport 369 does not remain linear with wind stress, although it is close to this limit across many of the 370 experiments. The reader should note that no error bars are shown on the $\Delta = 2^{\circ}$ line of Fig. 371 3a as the variability is so low that they would be smaller than the plotted symbol in most 372 cases. 373

When the grid spacing is refined to $1/2^{\circ}$ (red line), and again to $1/6^{\circ}$ (green line), the model behaves like the high resolution numerical models discussed in Section 1. In other

words, T_{ACC} "saturates" at some finite value of wind stress and ceases to increase with 376 further increases in wind stress. Indeed, for the first time our $1/6^{\circ}$ experiments demonstrate 377 that such saturation may take place with no wind at all, since the increase in variability 378 effectively makes the green line on Fig. 3a indistinguishable from flat. The extreme range of 379 wind forcing considered in the experiments presented here also demonstrates that an increase 380 in wind stress cannot overwhelm the eddy processes responsible for the *eddy saturation* 381 phenomenon and that it can be expected to be present even outside of the observed wind 382 stress range. Further refinement of the model grid spacing seems unlikely to lead to a change 383 in this conclusion. 384

The circumpolar transport of the zero wind stress case at both $1/2^{\circ}$ and $1/6^{\circ}$ is sub-385 stantial; for both of these grid spacings it is $\sim 2 \times$ the transport at 2°. This is due to the 386 way a resolved, or at least permitted, eddy field can respond to changes in wind forcing. At 387 2° , the GM parameterisation is as capable of flattening the isopycnals at zero wind as it is 388 at 5× the wind, since the coefficient is constant. At $1/2^{\circ}$ and $1/6^{\circ}$, the eddy field weakens 389 as the wind stress decreases, and so the isopycnals are not flattened quite as much. Hence, 390 the thermal wind across the channel, which is fixed due to the restoring condition, and the 391 transport of the circumpolar current, is much higher than in the 2° zero wind case. 392

The increase in variability with increasing wind for the $1/2^{\circ}$ and $1/6^{\circ}$ experiments in 393 Fig. 3a shows that as the wind stress increases, the extra energy from the increased surface 394 wind work is transferred into the variability of the system, rather than the mean state. 395 This makes it difficult to assess the saturation state of a given circumpolar current by 396 examining instantaneous snapshots. For example, at the standard wind forcing (equivalent 397 to a peak wind stress of 0.2Nm^{-2}) at a grid spacing of $1/6^{\circ}$ the circumpolar transport 398 may instantaneously reach ~ 200 Sv, or be as low as ~ 0 Sv, even though the long-term 399 average transport is ~ 100 Sv. This occurs due to the movement of eddies across the virtual 400 "mooring" of the line of latitude used to calculate the transport. When taking into account 401 the extreme variability present in the experiments with higher wind stress, it is easy to 402

appreciate that the resulting trend line may have an instantaneous gradient spanning a wide 403 range of magnitudes and be of either sign. As a result, monitoring of the ACC to determine 404 its saturation state may require many years of records, in order to generate a robust long-term 405 average and to further determine whether this average is changing. Although the extreme 406 variability for the strongest wind forcing is well outside of the estimated variability of the 407 ACC (see, for example, Meredith et al. 2011, and references therein), we note that Nadeau 408 and Straub (2009) also see a large increase in variability of circumpolar transport in a two-409 layer quasi-geostrophic model. In the case of low bottom drag, Nadeau and Straub (2012) 410 attribute this to the presence of a basin mode in their solution and large-scale barotropic 411 Rossby waves breaking at the western boundary. In the quasi-geostrophic results of Nadeau 412 and Straub (2009, 2012), the circumpolar transport is not eddy saturated from the zero wind 413 limit, unlike in our experiments. 414

Altering the diapycnal diffusivity used by the model causes T_{ACC} to vary as shown in 415 Fig. 3b. The range of diapycnal diffusivity used in these experiments is similar to that 416 used in a range of general circulation models for a variety of purposes, such as ocean-417 only investigations of the ocean's general circulation and the response of a coupled ocean-418 atmosphere climate model to increased concentrations of greenhouse gases. Over this range of 419 diffusivity, the model gives a significant variation in mean circumpolar transport for all three 420 model resolutions. In contrast to the wind experiments, the 2° and $1/2^{\circ}$ sets of experiments 421 exhibit approximately the same sensitivity to changes in diapycnal diffusivity. There is 422 increased variability in the $1/2^{\circ}$ experiments, relative to the 2° experiments, due to the 423 generation of vortices by undamped instability and an accompanying increase in internal 424 variability. 425

As with the wind experiments, the model exhibits a clear separation between the behaviour of the mean T_{ACC} at 1/6° and that at 2° and 1/2°. There is also an increase in the variability of the instantaneous circumpolar transport, although, it is not sufficient to argue that the 1/6° line is effectively flat. However, the gradient of the line is clearly reduced with respect to the other grid spacings. This begs the question: if the grid spacing
was sufficiently refined, would the model demonstrate complete insensitivity of the mean
circumpolar transport in response to changes in diapycnal mixing?

In summary, at the highest resolution used, a grid spacing of $1/6^{\circ}$, the model shows 433 different behaviour in response to perturbations in wind stress and diapycnal diffusivity. 434 Under changing wind forcing, it demonstrates complete *eddy saturation* from zero wind 435 stress upwards. In contrast, when the diapychal diffusivity is varied, there is still significant 436 variation in the mean circumpolar transport. We emphasise that *eddy saturation* is a steady 437 state/time-mean argument; at $1/2^{\circ}$ and $1/6^{\circ}$ grid spacing, the model always exhibits intense 438 variability in the instantaneous T_{ACC} . Furthermore, the extent to which the circumpolar 439 currents are *eddy compensated* is also dependent upon both the type and magnitude of the 440 applied forcing perturbation. See Sections 5 and 6 for further details. 441

442 4. Overview of the basic state

443 a. Mean flow and stratification

The transition from non-eddy-resolving (2°) , through $1/2^{\circ}$, to eddy-permitting $(1/6^{\circ})$ 444 grid spacings alters both the instantaneous and long-term time-average flow. At 2° grid 445 spacing, the flow is viscous and dissipative. The Eddy Kinetic Energy (EKE, shown in Fig. 446 4a) is not due to the propagation of mesoscale eddies, but rather to large-scale, low frequency 447 variations of the geostrophic flow. The result is an EKE field at least four orders of magnitude 448 smaller than that of the higher model resolutions used. This variability does increase with 449 both wind forcing and diapycnal diffusivity, but always remains about 10^4 times smaller than 450 the $1/2^{\circ}$ EKE. The circumpolar current is very broad and consists of a single "jet" extending 451 over $5-10^{\circ}$ of latitude. Inspection of individual snapshots of the barotropic streamfunction 452 shows that they are all much like the 1000 year average shown in Fig. 4a, with no closed 453 contours of streamfunction forming due to the absence of strong vortices. Similarly, the 454

instantaneous temperature field always resembles the surface temperature shown in Fig. 4d,
once a statistical steady state has been reached.

At both $1/2^{\circ}$ and $1/6^{\circ}$, the EKE is truly due to the presence of a vigorous mesoscale 457 eddy field. The EKE increases significantly between these two grid spacings, both in terms 458 of magnitude and spatial extent, as the $1/6^{\circ}$ grid can resolve more of the mesoscale eddy 459 field. At $1/6^{\circ}$ the viscous dissipation of momentum is now sufficiently low for the steepness 460 of the isopycnals near the northern boundary to lead to undamped instability processes and 461 the generation of a vigorous eddy field, as shown by the surface temperature field in Figs. 4e 462 and 4f. Examination of the instantaneous temperature and salinity fields also reveals that 463 the very low strength western boundary currents, formed via a thermal wind response to the 464 meridional variation of stratification and resulting inflow/outflow into the western boundary 465 region at low/high latitudes, also become unstable. While here the focus is on Southern 466 Ocean/southern boundary processes, these regions could potentially lead to important eddy 467 fluxes of both buoyancy and biogeochemical tracers elsewhere in the domain. At $1/6^{\circ}$, 468 Fig. 4f also demonstrates the increase in variability at low latitudes, as Rossby waves are 460 continuously excited at the eastern boundary and propagate westwards. 470

The contours of barotropic streamfunction in Figs. 4b and 4c also reveal the qualitative 471 change in form that the barotropic flow undergoes as the grid spacing is refined. Even at $1/2^{\circ}$, 472 the circumpolar flow is concentrated into a much narrower jet across the northern edge of the 473 channel. At $1/6^{\circ}$, the jet is narrower still. The small region of negative streamfunction to the 474 north of the channel is due to the curl of the wind stress creating a very small gyre. At the 475 eddying resolutions, the mean jet also shows the presence of a standing wave pattern, which 476 becomes stronger for the finer grid. By $1/6^{\circ}$ the standing wave is strong enough to create 477 closed contours of time-mean streamfunction. The instantaneous barotropic streamfunction 478 at $1/2^{\circ}$ and $1/6^{\circ}$ shows considerable deviation from the time-mean picture of Figs. 4b and 479 4c, since the circumpolar current itself can migrate and the eddies propagate. In addition to 480 general instability throughout the channel, the standing wave undergoes instability processes 481

and barotropic eddies, visible as closed contours of barotropic streamfunction, are able to propagate southwards along the edge of the step within the channel (not shown). The instantaneous surface temperature in the $1/2^{\circ}$ and $1/6^{\circ}$ simulations, shown in Figs. 4e and 4ff respectively, show many of these features. In particular, Fig. 4e indicates that large-scale closed vortices are generated at $1/2^{\circ}$. At $1/6^{\circ}$, Fig. 4f indicates the generation of fronts in the temperature field, which can be seen as filaments in the vorticity field (not shown).

It is notable in Fig. 3 that there is a systematic reduction in T_{ACC} between the $1/6^{\circ}$ 488 experiments and the coarser grid spacings. This is due to a reduction in the thermal wind 489 shear, which ultimately determines much of the circumpolar transport due to the very weak 490 bottom flow. This is dominated by changes in global pychocline depth, although a slight 491 warming of the abyss also contributes. The two isopycnals drawn in Fig. 5 for all three 492 basic states indicate that whilst the light density layers are at approximately the same depth 493 for each grid spacing, the depth of the heavier water masses can vary considerably between 494 grid spacings. In particular, we see that the effect of the strong surface restoring is to pin 495 the density outcrops to roughly the same latitude, but that in the $1/6^{\circ}$ experiment the 496 1036.5 kgm⁻³ isopycnal is shallower at the northern edge of the channel. The reduced slope 497 of this isopycnal across the channel and thus smaller meridional density gradients, gives rise 498 to a weaker thermal wind shear and lower circumpolar transport for the $1/6^{\circ}$ basic state, and 499 the other $1/6^{\circ}$ experiments (see Fig. 3a). The layering of the water masses that form the 500 vertical stratification is as described at the end of Section 2, i.e. analogues of AABW and 501 AAIW form at the southern boundary and the northern edge of the re-entrant channel, with 502 an analogue of NADW intruding between them from the northern boundary. The use of a 503 restoring condition for salinity does limit the strength of any comparison with the observed 504 North Atlantic. Notably, it prevents the NADW from having high salinity, and so this water 505 mass does not appear as a salinity maximum in the vertical stratification. 506

⁵⁰⁷ In the absence of any continental barriers, the vertically and zonally integrated (indicated ⁵⁰⁸ by chevrons) zonal momentum budget of the ocean can be written as (Johnson and Bryden ⁵⁰⁹ 1989; Stevens and Ivchenko 1997)

$$\langle \tau \rangle = \langle \tau_b \rangle = \langle \mathbf{F} \left(\mathbf{u_b} \right) \rangle + \left\langle p_b \frac{\partial H}{\partial x} \right\rangle,$$
 (4)

where τ is the surface wind stress, $\langle \tau_b \rangle$ is the (total) bottom stress, $\mathbf{F}(\mathbf{u_b})$ is the bottom 510 drag³, p_b is the bottom pressure, and $\partial H/\partial x$ is the bottom slope. The last term on the 511 righthand-side is the form stress caused by the variation of pressure across bathymetry. 512 In our model, the presence of the shelf in the re-entrant channel blocks all of the f/H513 contours,. This prevents the model from developing a strong bottom flow and results in T_{ACC} 514 being determined almost purely by the stratification (Wolff et al. 1991; Marshall 1995). 515 As a result, $\langle \mathbf{F}(\mathbf{u}_{\mathbf{b}}) \rangle$ in Eq. (4) is small and the prevailing momentum balance has the 516 zonal momentum input from the wind balanced by form stress across the shelf. This is a 517 fundamentally different balance to that in Viebahn and Eden (2010) and Abernathey et al. 518 (2011), whose flat-bottom allows for the development of strong bottom flow and an absence 519 of bottom form stress. 520

⁵²¹ b. Adjustment timescales

Treguier et al. (2010) make the point that understanding an eddying ocean's response to 522 Southern Annular Mode (SAM) wind events requires that these models be at thermodynamic 523 equilibrium. Reaching such an equilibrium is a major motivation for the use of an idealised 524 geometry such as ours. In order to assess any remaining drift in the model experiments, 525 we have examined a number of metrics, including domain average temperature/salinity and 526 the circumpolar transport. Typically, there is no question as to the steady state nature of 527 the 2° simulations, as they can be trivially run for as long as required, in this case 20 000 528 years. However, determination of the steady state nature, or otherwise, of the $1/2^{\circ}$ and 529

³The model configuration used here has a no-slip bottom boundary condition, rather than an applied linear or quadratic drag. Hence, the bottom drag term is a function of the vertical viscosity, bottom velocity, etc, that is conveniently summarised in this way.

 $1/6^{\circ}$ experiments is, at least partly, subjective. For this reason, Figs. 6a and 6b present the domain average potential temperature as a function of time for the $1/2^{\circ}$ and $1/6^{\circ}$ basic states, respectively, as well as the extreme wind stress experiments.

The $1/2^{\circ}$ experiments have all been run for 4000 years. As Fig. 6a demonstrates, the 533 domain average temperature shows little meaningful drift by this point. For the more weakly 534 forced experiments, here characterised by the zero wind stress case, the required spin-up 535 time is significantly extended. Indeed, after 1000 years, the zero wind stress experiment is 536 clearly still adjusting. This long centennial-to-millennial adjustment timescale is predicted 537 by conceptual models (Allison et al. 2011; Jones et al. 2011) and is a consequence of the 538 time it takes for a small change in the net volume transport out of the Southern Ocean to 539 alter the properties of the large volume of water to the north of the circumpolar latitudes. 540 In the case of the $1/6^{\circ}$ experiments, the difference between the initial and final model state 541 is smaller than for both 2° and $1/2^{\circ}$, i.e. a smaller modification is required. Hence, there is 542 a noticeable decrease in the spin-up time as resolution increases. 543

The spinup of the $1/6^{\circ}$ experiments is characterised in Fig. 6b. Again, the more strongly 544 forced experiments show considerably faster adjustment, at least during the initial phase. Af-545 ter 400 years, the point at which the results here are shown, the domain average temperature 546 is still varying. However, we have continued the 3 experiments in Fig. 6b, and the experi-547 ments with the highest and lowest diapycnal diffusivities $(30 \times 10^{-5} \text{m}^2 \text{s}^{-1} \text{ and } 1 \times 10^{-5} \text{m}^2 \text{s}^{-1})$ 548 respectively), for a further 400 years, for a total of 800 years of model integration. By this 549 point the domain average temperature is typically drifting by $\sim \pm 0.01^{\circ} {\rm C/century},$ or less, 550 with the experiment with five times the basic state wind drifting at about twice this rate. 551

⁵⁵² Of more relevance to our main conclusions (see Section 3) is the degree to which the mean ⁵⁵³ circumpolar transport is still varying. The instantaneous variation of this diagnostic, as a ⁵⁵⁴ series of monthly values, is shown for the century/decade averaging period of the $1/2^{\circ}$ and ⁵⁵⁵ $1/6^{\circ}$ experiments in Figs. 7a and 7b, respectively. This demonstrates the clear separation ⁵⁵⁶ between the different experiments at $1/2^{\circ}$, but the over-lapping nature of T_{ACC} with different wind stresses at $1/6^{\circ}$. Table 3 indicates that over the second 400 years of the extended model run, the mean T_{ACC} does change in all 5 of the experiments. However, it is only the zero wind stress experiment that doesn't have its mean T_{ACC} after 400 years within one standard deviation of the mean T_{ACC} after 800 years. The extended run of the $1/6^{\circ}$ basic state and 4 extreme experiments indicate that our conclusions regarding the straightness of the $1/6^{\circ}$ line in Fig. 3a and the curvature present in Fig. 3b are not altered by the low degree of transience still present in the stratification.

⁵⁶⁴ c. Residual meridional overturning circulation

In an eddying ocean the circulation that actively transports tracers is not simply the Eulerian-mean of the velocity field. Rather, it includes a "bolus" component, which can be derived from the thickness-weighted mean flow (Gent et al. 1995; Lee et al. 1997) and is equivalent to the residual velocity in a transformed Eulerian-mean formulation (McIntosh and McDougall 1996; Marshall 1997). Care must be taken as to the method by which the residual circulation is calculated, with quantitatively different circulations resulting from different methods of averaging (Nurser and Lee 2004a,b).

The RMOC is here diagnosed from the model results using the thickness-weighted averaging method of Abernathey et al. (2011), i.e. the following integral is calculated at every timestep

$$\Psi_{\rm res}(y,\theta) = -\frac{1}{\Delta t} \int_{t_0}^{t_0+\Delta t} \int_0^{L_x} \int_{\rho_0}^{\rho} (hv) \, d\rho \, dx \, dt,$$
(5)

where $h = \partial z / \partial \rho$ is the layer thickness in potential density referenced to the 30th model level (at ~ 2000m depth, henceforth "potential density"). The integral is calculated using 241 discrete layers that are 0.025kgm⁻³ thick. It is this streamfunction that describes the circulation of tracers most accurately, eliminating the "Deacon cell" in the Southern Ocean (Danabasoglu et al. 1994), and closely resembling the structure of the circulation in an isopycnal model (see, for example, Hallberg and Gnanadesikan 2006). Using this method of calculation combines the Eulerian-mean circulation caused by surface Ekman transport and
 eddy-induced bolus flux into a single diagnostic.

Broadly speaking, the residual overturning for each of the different model resolutions is 583 similar. In all cases, a model analogue of the upper North Atlantic Deep Water (NADW) 584 cell overlies a lower Antarctic Bottom Water (AABW) cell. As seen in Fig. 8a-c, the upper 585 cell does not significantly change topology or strength with finer grid spacing. The potential 586 density at which water flows into the re-entrant channel does lighten slightly at $1/6^{\circ}$, but is 587 otherwise relatively invariant, given the large differences in EKE between the experiments. 588 The upper cell leads to upwelling within the confines of the re-entrant channel (shown by 589 the dashed line), with subduction actively occurring at the northern edge. This gives rise 590 to a model analogue of the Antarctic Intermediate Water (AAIW) cell, which is very much 591 surface trapped. At $1/6^{\circ}$ this corresponds to a local peak in the convective index (not 592 shown), demonstrating shallow, local convection driving this third cell to form mode waters. 593 Notably, the bottom cell strengthens with increasing model resolution. We attribute this 594 to the increase in strength of the southwards eddy flux of heat across the geostrophically-595 blocked band of latitudes. This gives rise to a large increase in surface heat flux, due to the 596 restoring condition on temperature, and deep convection, which takes place within the last 597 few grid boxes next to the southern boundary, and thus drives a stronger circulation. In 598 general, the bottom cell remains weak, due to the absence of significant deep water formation 599 to the south of "Drake Passage", in this model set-up. 600

The thick grey contour in Fig. 8a-c is the time- and zonal-average surface potential density. This contour shows little variation between resolutions due to the salinity and temperature restoring conditions applied at the surface. The presence of streamlines of the RMOC above the time and zonal mean potential density contour in Fig. 8a-c is an indication that, in an instantaneous sense, important diabatic transformations take place at densities lower than the time-zonal-mean. In areas where eddy processes are strong, such as in the reentrant channel, these transformations are stronger and/or more frequent, resulting in more streamlines above the grey contour. Near the northern boundary the advection of potential
 density anomalies anti-clockwise around the basin can also lead to this effect.

5. Sensitivity to wind forcing

As the numerical grid spacing is decreased in the narrow sector model, the circumpolar transport eventually becomes invariant to changes in wind stress (see Section 3). However, other aspects of the ocean circulation, such as the residual overturning circulation or the statistical properties of the eddy field, may remain sensitive to changes in wind forcing. Here we examine such aspects of the model's circulation for the experiments in which the wind stress is varied, i.e. those used in the production of Fig. 3a.

617 a. Eddy kinetic energy

In Fig. 4 it is clear that as the model grid spacing is refined, EKE increases, due to 618 improved resolution of mesoscale instability processes and the eddies they generate. This 619 is symptomatic of the more vigorous mesoscale eddy field and is common in most general 620 circulation models of the ocean as the eddy-resolving threshold is passed (see, for example, 621 Roberts et al. 2004; Farneti et al. 2010). Typically this increase in EKE is seen as an 622 improvement in realism, with respect to observations of EKE in the real ocean by, for 623 example, satellite altimetry. As Fig. 9a demonstrates, increasing the wind, at a fixed grid 624 spacing, leads to an increase in EKE. The large separation between the EKE of equivalent 625 $1/2^{\circ}$ and $1/6^{\circ}$ experiments remains, although the EKE of the $1/2^{\circ}$ experiment with five times 626 the basic state wind (peak wind stress of 1.0Nm^{-2} compared to 0.2Nm^{-2}) has comparable 627 EKE to the $1/6^{\circ}$ basic state. The EKE of 2° experiments always remains at least three 628 orders of magnitude lower than the $1/2^{\circ}$ experiments, and so is not shown. For the $1/6^{\circ}$ 629 experiments, the growth of the EKE with the wind forcing is slightly faster than linear. 630

Fig. 9a demonstrates that once closed vortices, and internal variability, begin to occur

at 1/2°, there is a strong correlation between surface wind forcing and the domain average EKE. When combined with Fig. 3a, it shows that the increasing rate of wind work at the surface acts to increase the eddy kinetic energy, rather than the mean kinetic energy. This is unsurprising, given that the dominant mechanical energy budget, for a zonal channel in the adiabatic limit of weak time-mean bottom flow, is given by (Cessi et al. 2006; Cessi 2008; Abernathey et al. 2011)

$$\langle \tau \overline{u_s} \rangle = \mathbf{G} \left(\frac{1}{2} \overline{\mathbf{u_b}' \mathbf{u_b}'} \right),$$
 (6)

where $\overline{u_s}$ is the time-average surface flow, $\frac{1}{2}\overline{\mathbf{u_b}'\mathbf{u_b}'}$ is the bottom EKE, and **G** indicates 638 a function of the bottom EKE, vertical viscosity, etc, consistent with the bottom no-slip 639 boundary condition. The growth of EKE with wind forcing is then a consequence of the fact 640 that the surface wind-work against the time-mean current must be balanced by mechanical 641 dissipation acting on the bottom EKE. This is consistent with the quasi-linear nature of 642 the dependence of EKE on the wind stress. Diagnostics confirm that this balance holds to 643 leading order (not shown); indeed, the average EKE in the bottom layer is little different in 644 magnitude to the values shown in Fig. 9a. 645

The time-mean EKE does not allow us to distinguish between larger eddies and stronger eddies, although inspection of sea surface height and temperature fields indicates that the eddies do indeed become both larger and stronger with stronger wind stress. The movement of these eddies then leads to the much larger variation in circumpolar current observed in Fig. 3a, and the stronger vertical momentum/southwards heat transport required to close the form drag balance of the circumpolar current (Olbers 1998).

⁶⁵² b. Residual meridional overturning circulation

Viebahn and Eden (2010) find that the sensitivity of the overturning to changes in wind forcing in their model is strongly dependent upon the size of the grid spacing, i.e. the presence of a resolved eddy field. Typically, at higher resolution (finer grid spacing), the

sensitivity is reduced. However, even at a 5km grid spacing, their model does not produce 656 total eddy compensation, i.e. the RMOC does still change in response to an altered wind 657 field. The eddy-resolving channel model of Abernathey et al. (2011) demonstrates that 658 sensitivity of the residual overturning circulation to changes in wind forcing depends crucially 659 on the formulation of the surface buoyancy forcing condition. In particular, the sensitivity 660 is enhanced for relaxation boundary conditions, as used by ourselves and Viebahn and Eden 661 (2010), with respect to fixed flux boundary conditions. This is due to changes in the implied 662 surface buoyancy flux under a restoring boundary condition, which the residual circulation 663 must match the surface buoyancy flux (Walin 1982), and so modification of the fluxes has a 664 significant impact upon the RMOC. The sensitivity of the overturning to surface buoyancy 665 forcing was recently investigated by Morrison et al. (2011). As with Viebahn and Eden 666 (2010), sensitivity to changes in the magnitude of the surface flux of buoyancy was reduced 667 at finer grid spacing. However, these three studies have all considered domains that were 668 truncated to the north, requiring that the northern boundary stratification be prescribed in 669 some way. In contrast, here the stratification to the north is allowed to freely vary. 670

The RMOC for the lowest (no wind) and highest (peak wind stress of 1.0Nm^{-2}) pertur-671 bation experiments are shown in Fig. 10 for all three grid spacings. The RMOCs have been 672 calculated in the same way as those for the basic state, as described in Section 4c. There 673 is a large qualitative change in the type of circulation that results in the absence of wind 674 (see Figs. 10a-c); the quasi-adiabatic inflow of the NADW cell no longer penetrates into 675 the re-entrant channel, which results in a circulation dominated by the combined AABW 676 and AAIW cells. This is due to the lack of Ekman transport in the surface model layer. 677 Removal of this transport removes the suction on the lower layers that results in the NADW 678 cell penetrating into the channel. As such, the eddy bolus transport, which does not go to 679 zero due to finite isopycnal slope and eddy generation, defines the resulting residual circula-680 tion. This regime change is independent of model resolution, as one might expect, although 681 quantitative differences between the resolutions still occur. 682

When the surface wind stress is increased, the residual overturning tends to strengthen, as 683 shown in Figs. 10d-f. The RMOC is still quasi-adiabatic, outside of the surface layers, but the 684 inflow into the channel and subsequent upwelling has increased. This general increase occurs 685 regardless of model resolution. However, visual inspection indicates that the RMOC of the 686 $1/2^{\circ}$ and $1/6^{\circ}$ experiments (Figs. 10e and 10f, respectively) has increased to a lesser degree 687 than the 2° experiment. As with the basic state RMOC of Section 4c, the bottom/AABW 688 cells noticeably increase in strength for these extreme wind forcing simulations. This is due 689 to the increase in convection at the southern boundary, rather than any direct wind-driving 690 effects. However, the integrated zonal momentum balance of the current remains of the form 691 in Eq. (4). 692

In Fig. 9b the change in the RMOC as surface wind stress is altered is quantified by taking the maximum streamfunction value north of the equator as a measure of the upper (NADW) cell and the minimum between 30°S and the equator as a measure of the lower (AABW) cell. In both calculations the surface layers, which may because noisy particularly at 2° grid spacing, are avoided. Qualitatively speaking, these two measures show broadly the same picture as other measures, for example the streamfunction at an appropriately chosen potential density and latitude.

Fig. 9b indicates that regardless of model resolution, the upper cell retains finite sensi-700 tivity to wind forcing. However, the $1/2^{\circ}$ and $1/6^{\circ}$ lines have a shallower gradient then the 701 2° line regardless of the wind stress. This is particularly noticeable for the $1/6^{\circ}$ experiments. 702 This points towards the possibility of total eddy compensation in the residual overturning, 703 just as total *eddy saturation* occurred for the circumpolar volume transport in Section 3. 704 Viebahn and Eden (2010) and Morrison and Hogg (2012) both see a similar loss of sensitiv-705 ity of the RMOC to wind stress changes in their model experiments, although not quite to 706 the same degree. This could be for a combination of reasons, including the cropping of their 707 model domains at the equator (both), the use of a linear equation of state (both), the use of 708 fixed flux surface buoyancy forcing (Morrison and Hogg 2012), the fundamentally different 709

momentum balance (Viebahn and Eden 2010), and the more moderate wind forcing perturbations they apply (both). The wind forcing perturbations considered here extend well beyond the range the cited papers consider.

In contrast to the upper cell, the lower cell (dashed lines in Fig. 9b) begins to show *in*-713 creased sensitivity to the wind stress at high wind stress multiples for the $1/6^{\circ}$ experiments. 714 Sensitivity of the bottom cell remains low in the 2° and $1/2^{\circ}$ experiments. We attribute this 715 to the same mechanism that gives rise to a slight increase in the bottom cell in the basic 716 state as the model grid is refined, i.e. the southwards eddy heat flux increases, warms the 717 water at the southern boundary, and feedbacks back on the restoring condition to give a 718 stronger heat flux. The sign of the change in the overturning of the bottom cell is in the 719 opposite sense to that of Abernathey et al. (2011, see their Fig. 5), who see an increasingly 720 positive streamfunction in their bottom cell as wind increases under restoring boundary con-721 ditions. This could be due to the large changes in experimental design, specifically the use 722 by Abernathev et al. of a meridionally-truncated domain with full-depth restoring zone on 723 the northern boundary. Anecdotally, we have found that such restoring at depth is consid-724 erably more effective at preventing changes in the abyssal stratification, when compared to 725 a surface restoring condition. As a result, our abyssal stratification can change to a larger 726 degree between both model resolutions and individual experiments at the same grid spacing. 727 Such changes could lead a considerably different residual flow, potentially allowing for the 728 increase in the bottom cell that we see here. 729

730 c. Pycnocline depth and stratification

To construct a quantitative measure of the model's stratification, we adopt the following definition of pycnocline depth due to G99 and Gnanadesikan et al. (2007):

$$D = 2 \frac{\int_{-H}^{0} z \left[\sigma_2 - \sigma_2 \left(-H\right)\right] \, \mathrm{d}z}{\int_{-H}^{0} \sigma_2 \, \mathrm{d}z}$$
(7)

where D is the pycnocline depth, σ_2 is the potential density referenced to the 30th model level 733 (the same reference level used for calculation of the RMOC), and z = -H is the depth of the 734 lowest model level. This is essentially a centre-of-mass calculation for the vertical coordinate. 735 After area-weighted averaging between 30°S and 30°N, this provides a quantitative measure 736 of how deep the pycnocline is. Averaging over a limited area of the domain in this way 737 avoids the northern and southern boundaries, where a deep mixed layer may develop and 738 bias the resulting diagnostic heavily towards these regions. The result of this calculation for 739 the wind experiments at all three grid spacings is shown in Fig. 9c. 740

Fig. 9c summarises the contents of Fig. 5, in as much as its shows that the pycnocline 741 depth in the $1/6^{\circ}$ basic state, characterised by the $\sigma_2 = 36.5 \text{kgm}^{-3}$ isopycnal in Fig. 5, is 742 shallower than both the 2° and $1/2^{\circ}$ pycnocline. It also confirms that the pycnocline depth 743 in the 2° and $1/2^{\circ}$ basic state calculations are effectively the same. Primarily, the figure 744 reinforces the close link between pychocline depth and circumpolar transport highlighted in 745 Section 1, i.e. that through thermal wind they are strongly coupled and, thus, the eddy sat-746 *uration* hypothesis is as much about pycnocline depth/stratification as it is about transport. 747 It indicates that whilst the pycnocline depth deepens considerably at 2° under increased 748 wind forcing, the extent of deepening at both $1/2^{\circ}$ and $1/6^{\circ}$ is much reduced. For example, 749 at 2° the difference between pychocline depth at zero wind and 5 multiples of the basic state 750 wind is ~ 1800m. However, at $1/6^{\circ}$ the difference between these most extreme pychocline 751 depths is only ~ 150 m. 752

753 6. Sensitivity to diapycnal diffusivity

As the numerical grid spacing is decreased in the narrow sector model, the circumpolar transport becomes less sensitive to changes in diapycnal diffusivity (see Section 3). In contrast to the wind experiments, the model does not reach a point of total insensitivity to further changes in diapycnal diffusivity at a grid spacing of $1/6^{\circ}$. Here we examine other aspects of the ocean circulation already discussed for the wind experiments in Section 5, for
experiments in which the diapycnal diffusivity has been both increased and decreased.

760 a. Eddy kinetic energy

The variation of EKE with diapycnal diffusivity coefficient is shown in Fig. 11a (as 761 with Fig. 9a, the 2° experiments are omitted for clarity due to the near-vanishing EKE). 762 As with increased wind forcing, an increase in the coefficient gives rise to an increase in 763 EKE, although not to the same extent. It also remains true that the more refined grid of 764 the $1/6^{\circ}$ experiments is more able to represent the mesoscale eddy field and the instability 765 that generates it. As a result, the EKE for the $1/6^{\circ}$ experiments is always higher than for 766 the equivalent $1/2^{\circ}$ experiment. Similarly, the western boundary currents themselves are 767 increasingly unstable, as is the flow close to the northern boundary. 768

With reference to the conceptual model of G99, an increased diapycnal diffusivity acts to deepen the pycnocline. Making the reasonable assumption that the pycnocline will outcrop within the confines of the channel, this will lead to a steepening of the isopycnals across the channel. Hence, the flow will become more susceptible to instability and higher EKE will result. At the grid spacings considered here, the increase in resolution is not sufficient to completely offset the increased diapycnal diffusivity. As a result, the pycnocline deepens (see Section 6c), as the increased diffusivity acts as a source of potential energy.

In terms of the approximate mechanical energy budget given by Eq. (6), both the surface wind work, due to increased surface current speed, and dissipation of bottom EKE increase with κ_v . A second term should also be added to the righthand-side of Eq. (6) to account for the rate at which diapycnal mixing converts potential energy to kinetic energy.

780 b. Residual meridional overturning circulation

The RMOCs that result from altering the diapycnal diffusivity coefficient are shown for 781 the extreme values of $\kappa_v = 1 \times 10^{-5} \text{m}^2 \text{s}^{-1}$ and $\kappa_v = 30 \times 10^{-5} \text{m}^2 \text{s}^{-1}$ at all three model reso-782 lutions in Fig. 12. As with the altered wind experiments, we find a qualitative change in the 783 regime of the overturning from an "Atlantic" type to a "Pacific" type, i.e. penetration of the 784 NADW cell into the channel region ceases and the AAIW and AABW cells expand and com-785 bine together. However, this regime change is in the opposite sense to that brought about 786 by wind forcing; as the "forcing" increases (κ_v increases) the upper cell becomes surface 787 trapped and the lower cell dominates the circulation. In the case of the wind experiment, as 788 "forcing" decreases (wind stress decreases) a qualitatively similar regime change occurred. 789 Whilst the change in regime in superficially similar to that with zero wind, the rate of over-790 turning increases as κ_v increases, just as it would with increased wind stress. Furthermore, 791 due to the way that diapychal diffusivity affects the flow, there is no way to increase the 792 rate of overturning whilst maintaining an adiabatic regime, as occurs with increased wind 793 forcing. 794

Reduction of the diapycnal diffusivity leads to an increasingly adiabatic flow, see Figs. 795 12a-c for the $\kappa_v = 1 \times 10^{-5} \text{m}^2 \text{s}^{-1}$ simulations, since the prescribed flow across isopycnals is 796 now reduced. This remains true regardless of the model grid spacing. At the coarsest grid 797 spacing used, 2°, numerical problems begin to occur, in which abyssal water masses can form 798 that are colder than the coldest surface water. This leads to a section of the domain that 799 is isolated from the surface, since convection cannot penetrate into this cold, dense water 800 mass. The net effect is that seen in Fig. 12a, that the bottom cell is substantially reduced 801 in size and magnitude. Care was taken to avoid this numerical issue in all other model runs, 802 although it was found to be unavoidable at this particular combination of low κ_v and coarse 803 model grid. 804

Quantification of the overturning in Fig. 11b, using the maximum/minimum of the RMOC streamfunction for the upper/lower (NADW/AABW) cell as described in Section ⁸⁰⁷ 5b, reveals that the model resolutions tend to diverge from each other at high diapycnal ⁸⁰⁸ diffusivities. The strength of the upper cell tends to increase with κ_v , even as it becomes ⁸⁰⁹ restricted in spatial extent at high diapycnal diffusivity. As with the wind experiments, the ⁸¹⁰ upper cell is less sensitive to changes in diapycnal diffusivity at 1/6° grid spacing. This ⁸¹¹ indicates that eddy processes continue to buffer the NADW cell against changes even when ⁸¹² the cell no longer extends into the re-entrant channel.

Fig. 11b also reveals that the bottom cell is indeed strengthening. In fact, it shows 813 that the $1/6^{\circ}$ experiments are actually *more* sensitive to changes in κ_v than the coarser 814 grid spacings. Essentially, the increase in diapychal mixing is able to supply more potential 815 energy to both cells, however, it does so to the lower cell preferentially, such that this cell 816 becomes dominant. The change in overturning that results from changes in potential energy 817 sources/sinks is dependent upon the spatial distribution of those sources and sinks (Oliver 818 and Edwards 2008). As a result, it is non-trivial to determine the changes in overturning that 819 might result from changes in diapychal diffusivity within the Southern Ocean, as opposed 820 to the global change in diffusivity used here. 821

In contrast to the case for wind changes, the AABW cell of the RMOC is *not* compensated 822 with regards to diapychal diffusivity changes, as shown in Figs. 12 and 11b. In fact, Fig. 11b 823 indicates exactly the opposite to *eddy compensation* behaviour, since an increase in model 824 resolution and EKE leads to a steeper slope, and thus increased sensitivity to changes in 825 diapycnal diffusivity. This is because the Eulerian and bolus components of the RMOC 826 are no longer in competition. Instead, the eddy bolus overturning reinforces the Eulerian 827 The increase in the bolus overturning due to changes in resolution/model overturning. 828 parameter/forcing can then act to increase the RMOC, rather than oppose the accompanying 829 change in the Eulerian overturning. Hence, the RMOC becomes stronger in an eddy-resolving 830 calculation once the overturning has switched to this diabatic regime. 831

33

As revealed by Fig. 11c, the range of pychocline depth achieved at all three grid spacings 833 is broadly comparable; the dramatic reduction in range seen between 2° and $1/2^{\circ}$ for the 834 wind experiments (Fig. 9c) is not evident. Across all of the values of κ_v considered, the 835 pycnocline depth in the 2° experiments varies by over 300m, as opposed to ~ 2700 m for the 836 wind experiments. For both $1/2^{\circ}$ and $1/6^{\circ}$, this decreases slightly; the change in stratification 837 and transport are far less dramatic than the change in the RMOC. Thus the changes in 838 stratification and transport are both consistent with arguments based on thermal wind, i.e. 839 that the changes to the transport must be reflected by, or reflect, changes in the stratification. 840

7. Discussion

Recent eddy-resolving numerical simulations of the ocean indicate that the circumpolar 842 transport of the Antarctic Circumpolar Current (ACC), or equivalently the global pycno-843 cline depth, may be remarkably insensitive to changes in wind forcing. Similarly, the residual 844 overturning of the Southern Ocean may also show a certain degree of insensitivity to wind 845 changes, or at least be less sensitive than its wind-driven Eulerian upwelling component. 846 These two phenomena have been christened eddy saturation and eddy compensation, respec-847 tively. The two phenomena may occur separately, and the inter-relationship between the 848 two remains a topic of research. 849

Using the MITgcm in an eddy-permitting configuration, we have investigated *eddy saturation* and *eddy compensation* under changes in wind forcing and the sensitivity of circumpolar transport/residual circulation to changes in diapycnal diffusivity. Our numerical simulations are, by necessity, idealised. However, there are several key differences to previously published work, such as that of Viebahn and Eden (2010), Abernathey et al. (2011), and Morrison et al. (2011). Firstly, the model domain extends across the equator, such that the low latitude stratification is not specified *a priori*. Secondly, the applied forcing/parameter perturbations
span a wide swath of parameter space, such that the robustness and asymptotic behaviour of the phenomena can be assessed. Thirdly, the equation of state of the ocean is nonlinear, such that we have made the first steps towards a full treatment of the impact of salinity on the ACC and Southern Ocean circulation.

At sufficiently high resolution, in this case an eddy-permitting grid spacing of $1/6^{\circ}$, the 861 model's time-mean circumpolar transport shows (almost) complete insensitivity to wind 862 forcing. Crucially, we have shown that this can occur in the limit of zero wind forcing, 863 implying that an ocean with a vigorous mesoscale eddy field may show no variation of its 864 long-term time-mean ACC transport regardless of the presence of wind. We emphasise that 865 eddy saturation is very much an argument about the time-mean state of the Southern Ocean. 866 Even though the time-mean circumpolar transport is almost invariant with changes to wind 867 forcing, we find significant changes to the variability as wind is altered. 868

As one would expect from thermal wind balance, for experiments in which the time-mean zonal transport shows little variation with wind, the global pycnocline depth is also roughly constant. Instantaneously, the mesoscale eddy field may cause individual isopycnals to heave up-and-down by hundreds of metres. However, away from the circumpolar channel, i.e. at low latitudes, the position of the pycnocline does not vary significantly.

In general, higher resolution models show stronger *eddy compensation*, with the degree of compensation that takes place increasing with wind stress. Finite sensitivity would always be expected to remain at "low" wind, since the eddy bolus component of the overturning will not go to zero at zero wind, even though the wind driven upwelling would. However, this implies that there may be an upper limit to this finite sensitivity.

Contrary to the results of Abernathey et al. (2011, see their Fig. 5, red circles) using a restoring surface boundary condition, we find that the Antarctic Bottom Water Cell (AABW)/downwelling cell becomes stronger with increased wind, i.e. the streamfunction at a particular point in latitude and density becomes more negative. We attribute this to the increasingly southwards eddy heat flux near the southern boundary, which leads to stronger

southern boundary cooling. Whilst this is not directly wind-driven, since the Ekman transport is northwards at every latitude and the details may well be model dependent, it may be a secondary effect of the changing wind forcing.

In the case of the set of experiments in which the diapycnal diffusivity is modified from the 887 basic state value, a rather different set of conclusions result. We find that for the three grid 888 spacings considered here, changes to diapychal diffusivity still result in significant changes 889 in the circumpolar transport and global pycnocline depth. However, there is a weakening of 890 the sensitivity of the transport at finer grid spacing. This suggests that a further refinement 891 in grid spacing might result in the achievement of insensitivity to diapychal diffusivity of the 892 zonal transport and stratification. The finest grid spacing used here is an eddy-permitting 893 $1/6^{\circ}$, and so we suggest that passing the poorly-defined eddy-resolving threshold might be 894 necessary. Based upon a deformation radius of $\sim 8 - 10$ km in the Southern Ocean, this 895 would require a model grid spacing of no coarser than $\sim 4-5$ km, roughly equivalent to 896 $1/12^{\circ}$. 897

In terms of the residual overturning, the diapycnal diffusivity experiments demonstrate 898 that an increase in model resolution leads to an *increase* in sensitivity to parameter changes. 899 This is just the opposite of that expected under *eddy compensation* for wind forcing changes. 900 In this case, the transition to a diabatic overturning regime creates a situation, within 901 the channel region, in which the Eulerian and bolus components are both acting in the 902 same direction. As a result, there is no chance of compensation between them. Rather, a 903 refinement in the model grid, and thus an increase in EKE and the vigour of the mesoscale 904 eddy field, leads to an increase in the bolus component, and thus in the total residual 905 overturning. From the perspective of which parameter value to use, this indicates that 906 it might be even more crucial for an eddy-resolving model to use the "right" diapycnal 907 diffusivity than one with parameterised eddies. 908

With reference to the contrast between the wind and diapycnal diffusivity experiments, there may be a global vs. local forcing dichotomy. The wind forcing is very localised to

within the channel, whereas diapycnal diffusivity also functions throughout the basin. It 911 remains an open question as to whether a localised region of high/low diffusivity within 912 the confines of the channel might have the same effect as the same magnitude of spatially 913 localised variation at the northern boundary. This is particularly relevant given the spatially 914 and temporally varying nature of diapycnal mixing that recent observations have revealed 915 (Polzin et al. 1997; Kunze et al. 2006; Damerell et al. 2012). Such observations indicate that 916 the Southern Ocean is a region of widespread turbulent mixing (Naveira Garabato et al. 917 2004). Given the trend we see when moving from $1/2^{\circ}$ to $1/6^{\circ}$, it might be possible for a 918 sufficiently high resolution numerical model to become insensitive to changes in diapycnal 919 diffusivity if the increased level of diapycnal diffusivity was only spatially localised to a few 920 specific latitudes, for example. 921

There are many limitations to the idealised approach that we have taken here. For 922 example, the inclusion of salinity and use of a nonlinear equation of state is certainly a step 923 forwards. However, we have adopted a restoring condition for salinity, which incorrectly 924 allows the local salinity to impact the virtual freshwater flux and eliminates the salinity 925 maximum in the model's NADW. In our case, this was done to aid the *a priori* determination 926 of the stratification and overturning. However, a rich range of multiple equilibria can exist 927 in an ocean model with a pure flux condition for salinity. Furthermore, the geometry has 928 been simplified to a single basin. Whether this has effectively condensed the whole global 929 ocean into a 20° sector, or whether it simply represents a narrow Atlantic ocean is debatable. 930 As with the use of flux forcing for salinity, the use of multiple basins increases the range of 931 available multiple equilibria and would give further insight into how eddy saturation and eddy 932 compensation relate to the complexity of the real world. Ideally, the model resolution would 933 be higher, as with Morrison and Hogg (2012), and the model would be global. However, this 934 would likely then prevent our goal of quasi-equilibria being reach. 935

The use of a carefully designed eddy parameterisation can allow a coarse-resolution climate/ocean general circulation model to reproduce aspects of the eddy-resolving result of

eddy compensation, e.g.. Hofmann and Morales-Maqueda (2011), Farneti and Gent (2011), 938 and Gent and Danabasoglu (2011). However, even these superior schemes calculate the 939 eddy transfer coefficient as a function of stratification. Thus, whilst eddy compensation can 940 be achieved, it comes at the expense of changing stratification and circumpolar transport, 941 i.e. *eddy saturation* is intrinsically neglected. The use of functions of higher powers of the 942 stratification to specify the coefficients may mitigate the loss of *eddy saturation* somewhat 943 (Jones et al. 2011). However, such schemes become increasingly arbitrary. Connecting the 944 coefficients used by parameterisations directly to the prognostic model fields, as opposed 945 to arbitrarily varying them with, for example, wind stress, is clearly a desirable end. As 946 suggested by Farneti and Gent (2011), it may be profitable to pursue schemes such as that 947 due to Eden and Greatbatch (2008) and Marshall and Adcroft (2010), which carries EKE 948 as a model variable and allow production terms to be tied directly to wind stress. 949

The conceptual model of the ocean's RMOC and stratification due to Nikurashin and 950 Vallis (2012) produces parametric scalings in-line with those of the algebraic model of G99. 951 In the limit of weak diapycnal mixing/strong wind, and neglecting the eddy contribution to 952 net Southern Ocean upwelling, the scaling for the overturning predicts that it varies $\sim \tau^1 \kappa_v^0,$ 953 whilst the depth-scale of the stratification is predict to vary as $\sim \tau^{1/2} \kappa_v^0$. Similar scalings 954 for the strong diapycnal mixing/weak wind limit suggests that the overturning scales as 955 $\sim \tau^0 \kappa_v^{2/3}$, with the depth-scale of the stratification going as $\sim \tau^0 \kappa_v^{1/3}$. Nikurashin and Vallis 956 (2012) support these scalings with the results of two different numerical models at coarse 957 grid spacing. 958

Our numerical results indicate that an eddying model is not bound by the scaling rules of Nikurashin and Vallis (2012). The wind stress experiments indicate that beyond the eddyresolving threshold, the stratification/circumpolar transport would more appropriately scale as $\sim \tau^0$ and that the overturning would also scale with a low power of the wind stress. This is superficially similar to the weak wind limit result of Nikurashin and Vallis (2012). The diapycnal diffusivity experiments demonstrate that these aspects of the circulation continue to vary with other parameter choices. This implies that a single scaling rule is unlikely to describe the behaviour of both coarse and eddy-resolving models. The results of our wind forcing experiments are actually a much better validation of the simple idea of Straub (1993); that beyond a threshold value of T_{ACC} , baroclinicity of the ocean prevents any further sensitivity to wind forcing.

In deriving their model, Nikurashin and Vallis (2012) invoke a constant eddy diffusivity, 970 in order to parameterise the transport affects of the eddy field. Clearly, such an assumption 971 does not hold once eddies have begun to appear in the flow; Abernathey et al. (2011) use 972 mixing length arguments to relate EKE and eddy diffusivity such that they both increase with 973 surface wind stress. Assuming that defining such a diffusivity is realistic, then the variation 974 of EKE with both wind stress and diapycnal diffusivity (Figs. 9a and 11a, respectively) 975 demonstrates that the resulting diffusivity would vary with the forcing/parameter choice 976 and model grid spacing. Hence, we have made no attempt to fit a particular power law to 977 our results, since we expect such a fit to change following subsequent refinement of the model 978 grid. Until numerical general circulation models have reached a resolution high enough to 979 demonstrate that their solutions are converged, we anticipate that such variations of power 980 law will continue. Even in the presence of a robust theory for what sets such eddy diffusivities, 981 numerical convergence would still be required for mutual validation to be achieved. 982

The climatic implications of the combination of eddy saturation and eddy compensation 983 are important. A totally *eddy saturated* circumpolar current would have close to invariant 984 mean zonal transport and stratification, i.e isopycnal slope and depth. If such a current 985 was also totally eddy compensated, the up-/down-welling along these isopycnals would also 986 vary only weakly. Hence, changing wind could do little to increase the rate of upwelling, 987 and subsequent outgassing of abyssal carbon reservoirs, deep ocean carbon in the Southern 988 Ocean. The combination of these phenomena therefore places doubt upon the extent to 980 which changes in Southern Ocean wind forcing could alter global climate. However, climatic aar insensitivity to Southern Ocean wind forcing is contingent upon the Earth currently being in 991

a "high" wind regime, such that the Ekman transport does not fall off rapidly with decreasing 992 wind, whilst the eddy bolus transport stays finitely high. The increased sensitivity of our 993 eddying model results to changes in diapychal diffusivity suggest that changing such mixing 994 might be a more reliable way in which to alter climate. However, good estimates of diapycnal 995 diffusivity throughout the global ocean, and more information on how an eddy-resolving 996 model responds to localised changes in diapychal diffusivity, are required to quantitatively 997 assess whether such changes could, for example, help explain the glacial/inter-glacial change 998 in atmospheric carbon dioxide concentration. 999

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 TABLE 1.
 Standard Model Parameters

TABLE 1. Standard Model Parameters					
Parameter	Symbol	Value	Units		
Biharmonic viscosity (momentum)	$ u_4 $	variable	m^4/s		
GM coefficient	$\kappa_{ m GM}$	variable	$\mathrm{m}^{2}\mathrm{s}^{-1}$		
Grid spacing	Δ	variable	degrees		
Reference diapycnal diffusivity (θ/S)	κ_v	3×10^{-5}	m^2/s		
Restoring timescale (S)	λ_S	30	days		
Restoring timescale (θ)	$\lambda_{ heta}$	10	days		
Vertical viscosity (momentum)	$\nu_{\rm v}$	10^{-3}	m^2/s		

TABLE 2. Resolution Dependent Model Parameters			
Grid Spacing	Harmonic $\operatorname{Re}_{\Delta}$	Biharmonic $\operatorname{Re}_{\Delta}$	$\kappa_{\rm GM} \ ({\rm m^2/s})$
2°	0.0075	-	1000
$1/2^{\circ}$	-	0.15	10
$1/6^{\circ}$	-	0.15	0.26

 TABLE 2. Resolution Dependent Model Parameters

Exporimont	Main		Tail	
Experiment	Mean	Standard Dev.	Mean	Standard Dev.
$w_f = 0$	96.68	1.05	99.64	1.43
$w_f = 1$	101.80	7.95	97.27	7.44
$w_f = 5$	101.04	35.21	93.91	34.74
$\kappa_v = 1 \times 10^{-5}$	88.52	6.97	87.66	5.70
$\kappa_v = 3 \times 10^{-5}$	101.80	7.95	97.27	6.42
$\kappa_v = 30 \times 10^{-5}$	151.15	16.23	150.26	16.88

TABLE 3. Comparison of Circumpolar Transport at 400 and 800 model years.

¹²⁶⁴ List of Figures

1 Schematic model domain. The total model depth is 5000m and spherical polar 1265 coordinates are used, so that the longitudinal width in km at the $60^{\circ}S/60^{\circ}N$ 1266 is half the equatorial width (of ~ 2000 km). The grey-shaded area (righthand 1267 panel) indicate where the depth is only 2500m. This region is 1 grid point 1268 (Δ) wide at a grid spacing of 2°, and 1° wide at grid spacings of $1/2^{\circ}$ and $1/6^{\circ}$. 59 1269 2(a) Surface wind stress; (b) Surface temperature restoring condition; (c) Sur-1270 face salinity restoring condition; and (d) Effective surface density restoring 1271 profiles. The density is the nonlinear combination of T and S. The dashed 1272 lines mark the northern edge of the circumpolar channel, and the dotted line 1273 marks the northern boundary density. 60 1274

¹²⁷⁵ 3 Sensitivity of the circumpolar transport to (a) the wind stress, and (b) the ¹²⁷⁶ diapycnal diffusivity. The "error bars" are two standard deviations around ¹²⁷⁷ the long-term mean, calculated from instantaneous monthly values throughout ¹²⁷⁸ the averaging period. The 2° (blue) experiments are averaged over 1000 years, ¹²⁷⁹ the $1/2^{\circ}$ (red) experiments over 100 years, and the $1/6^{\circ}$ (green) experiments ¹²⁸⁰ over 10 years.

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(a,b,c) Time-average barotropic streamfunction (Sv, black contours) overlaid 4 1281 on eddy kinetic energy $(m^2 s^{-2})$ on a logarithmic colour scale. Thick black 1282 contours are positive values of transport streamfunction every 20Sv starting 1283 at 0Sv. Thin black contours are negative transport streamfunction starting at 1284 -20Sv with a contour interval of 20Sv. The 2° experiment has been averaged 1285 over 1000 years, the $1/2^{\circ}$ experiment over 100 years, and the $1/6^{\circ}$ experiment 1286 over 10 years. (d,e,f) Instantaneous surface potential temperature (°C) at 20 1287 000 model years for 2° , 4000 models year for $1/2^{\circ}$, and 400 model years for 1288 $1/6^{\circ}$. The dashed white line highlights the edge of the re-entrant channel. 1289

1290	5	Time and zonal mean contours of potential density referenced to the 30th	
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10 Residual overturning for wind perturbation experiments, including the GM 1312 component for (a) zero wind, 2° averaged over 1000 years; (b) zero wind, 1313 $1/2^{\circ}$ averaged over 100 years; (c) zero wind, $1/6^{\circ}$ averaged over 10 years; 1314 (d) $5 \times$ wind, 2° averaged over 1000 years; (e) $5 \times$ wind, 1/2° averaged over 1315 100 years; (f) $5 \times$ wind, $1/6^{\circ}$ averaged over 10 years. Reds indicate clockwise 1316 circulation and blues indicate anti-clockwise circulation. Contours are drawn 1317 every 0.25Sv with the first positive/negative contour at ± 0.25 Sv. The solid 1318 grey line marks the time-zonal-mean surface potential density. The dashed 1319 black line marks the edge of the circumpolar channel. 1320

132111Time-averaged (a) basin-averaged Eddy Kinetic Energy; (b) minimum/maximum1322Residual Meridional Overturning Circulation as specified in the text; (c) Py-1323cnocline depth, as per Eq. 7. The 2° experiments have been averaged over13241000 years, the 1/2° experiments over 100 years, and the 1/6° experiments1325over 10 years.

12Residual overturning for diapycnal diffusivity perturbation experiments, in-1326 cluding the GM component for (a) $\kappa_v = 1 \times 10^{-5} \text{m}^2 \text{s}^{-1}$, 2° averaged over 1327 1000 years; (b) $\kappa_v = 1 \times 10^{-5} \text{m}^2 \text{s}^{-1}$, $1/2^{\circ}$ averaged over 100 years; (c) 1328 $\kappa_v = 1 \times 10^{-5} \text{m}^2 \text{s}^{-1}, \ 1/6^{\circ}$ averaged over 10 years; (d) $\kappa_v = 30 \times 10^{-5} \text{m}^2 \text{s}^{-1},$ 1329 2° averaged over 1000 years; (e) $\kappa_v = 30 \times 10^{-5} \mathrm{m}^2 \mathrm{s}^{-1}$, 1/2° averaged over 1330 100 years; (f) $\kappa_v=30\times 10^{-5}{\rm m^2s^{-1}},~1/6^\circ$ averaged over 10 years. Reds 1331 indicate clockwise circulation and blues indicate anti-clockwise circulation. 1332 Contours are drawn every 0.25Sv with the first positive/negative contour at 1333 ± 0.25 Sv. The solid grey line marks the time-zonal-mean surface potential den-1334 sity. The dashed black line marks the edge of the circumpolar channel. 1335

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FIG. 1. Schematic model domain. The total model depth is 5000m and spherical polar coordinates are used, so that the longitudinal width in km at the $60^{\circ}S/60^{\circ}N$ is half the equatorial width (of ~ 2000km). The grey-shaded area (righthand panel) indicate where the depth is only 2500m. This region is 1 grid point (Δ) wide at a grid spacing of 2°, and 1° wide at grid spacings of 1/2° and 1/6°.



FIG. 2. (a) Surface wind stress; (b) Surface temperature restoring condition; (c) Surface salinity restoring condition; and (d) Effective surface density restoring profiles. The density is the nonlinear combination of T and S. The dashed lines mark the northern edge of the circumpolar channel, and the dotted line marks the northern boundary density.



FIG. 3. Sensitivity of the circumpolar transport to (a) the wind stress, and (b) the diapycnal diffusivity. The "error bars" are two standard deviations around the long-term mean, calculated from instantaneous monthly values throughout the averaging period. The 2° (blue) experiments are averaged over 1000 years, the $1/2^{\circ}$ (red) experiments over 100 years, and the $1/6^{\circ}$ (green) experiments over 10 years.



FIG. 4. (a,b,c) Time-average barotropic streamfunction (Sv, black contours) overlaid on eddy kinetic energy (m^2s^{-2}) on a logarithmic colour scale. Thick black contours are positive values of transport streamfunction every 20Sv starting at 0Sv. Thin black contours are negative transport streamfunction starting at -20Sv with a contour interval of 20Sv. The 2° experiment has been averaged over 1000 years, the $1/2^{\circ}$ experiment over 100 years, and the $1/6^{\circ}$ experiment over 10 years. (d,e,f) Instantaneous surface potential temperature (°C) at 20 000 model years for 2°, 4000 models year for $1/2^{\circ}$, and 400 model years for $1/6^{\circ}$. The dashed white line highlights the edge of the re-entrant channel.



FIG. 5. Time and zonal mean contours of potential density referenced to the 30th model level, which is centred at a depth of 1994m. Two particular isopycnals are chosen to show the fairly constant surface stratification, but the varying depth of the deeper isopycnals between resolutions. The 2° experiments have been averaged over 1000 years, the $1/2^{\circ}$ experiments over 100 years, and the $1/6^{\circ}$ experiments over 10 years.



FIG. 6. Domain average temperature over the life-time of the experiment for (a) $1/2^{\circ}$ experiments; (b) $1/6^{\circ}$ experiments.



FIG. 7. Instantaneous monthly values of circumpolar transport for (a) $1/2^{\circ}$ (100 year sample after 4000 years); (b) $1/6^{\circ}$ (10 year sample after 400 years).



FIG. 8. Residual overturning of the basic state simulations, including the GM component for (a) 2° averaged over 1000 years; (b) 1/2° averaged over 100 years; (c) 1/6° averaged over 10 years. Reds indicate clockwise circulation and blues indicate anti-clockwise circulation. Contours are drawn every 0.25Sv with the first positive/negative contour at ± 0.25 Sv.The solid grey line marks the time-zonal-mean surface potential density. The dashed black line marks the edge of the circumpolar channel.



FIG. 9. Time-averaged (a) basin-averaged Eddy Kinetic Energy; (b) minmum/maximum Residual Meridional Overturning Circulation as specified in the text; (c) Pycnocline depth, as per Eq. 7. The 2° experiments have been averaged over 1000 years, the $1/2^{\circ}$ experiments over 100 years, and the $1/6^{\circ}$ experiments over 10 years.



FIG. 10. Residual overturning for wind perturbation experiments, including the GM component for (a) zero wind, 2° averaged over 1000 years; (b) zero wind, $1/2^{\circ}$ averaged over 100 years; (c) zero wind, $1/6^{\circ}$ averaged over 10 years; (d) 5× wind, 2° averaged over 1000 years; (e) 5× wind, $1/2^{\circ}$ averaged over 100 years; (f) 5× wind, $1/6^{\circ}$ averaged over 10 years. Reds indicate clockwise circulation and blues indicate anti-clockwise circulation. Contours are drawn every 0.25Sv with the first positive/negative contour at ±0.25Sv.The solid grey line marks the time-zonal-mean surface potential density. The dashed black line marks the edge of the circumpolar channel.



FIG. 11. Time-averaged (a) basin-averaged Eddy Kinetic Energy; (b) minimum/maximum Residual Meridional Overturning Circulation as specified in the text; (c) Pycnocline depth, as per Eq. 7. The 2° experiments have been averaged over 1000 years, the $1/2^{\circ}$ experiments over 100 years, and the $1/6^{\circ}$ experiments over 10 years.



FIG. 12. Residual overturning for diapycnal diffusivity perturbation experiments, including the GM component for (a) $\kappa_v = 1 \times 10^{-5} \text{m}^2 \text{s}^{-1}$, 2° averaged over 1000 years; (b) $\kappa_v = 1 \times 10^{-5} \text{m}^2 \text{s}^{-1}$, 1/2° averaged over 100 years; (c) $\kappa_v = 1 \times 10^{-5} \text{m}^2 \text{s}^{-1}$, 1/6° averaged over 10 years; (d) $\kappa_v = 30 \times 10^{-5} \text{m}^2 \text{s}^{-1}$, 2° averaged over 1000 years; (e) $\kappa_v = 30 \times 10^{-5} \text{m}^2 \text{s}^{-1}$, 1/2° averaged over 100 years; (f) $\kappa_v = 30 \times 10^{-5} \text{m}^2 \text{s}^{-1}$, 1/6° averaged over 10 years. Reds indicate clockwise circulation and blues indicate anti-clockwise circulation. Contours are drawn every 0.25Sv with the first positive/negative contour at ±0.25Sv.The solid grey line marks the time-zonal-mean surface potential density. The dashed black line marks the edge of the circumpolar channel.