

Organic "Paleothermometers": Long-chain ketones (alkenones) and glycerol dialkyl glycerol tetraethers (GDGTs) as SST indicators

General Reading:

- Eglinton T.I. and Eglinton G. (2008) Molecular proxies for paleoclimatology. *Earth Planet. Sci. Lett.* **275**, 1-16.

Targeted Reading:

Alkenones:

- Brassell S.C., Eglinton G., Marlowe I.T., Pflaumann U. and Samthein M. (1986) Molecular Stratigraphy: A new tool for climatic assessment. *Nature*, **320**, 129-133.
- F.G. Prahl and Wakeham S.G. (1987) Calibration of unsaturation patterns in long-chain ketone compositions for paleotemperature assessment. *Nature*, **330**, 367-369.
- Muller P.J., Kirst G., Ruhland G., von Storch I. And Rosell-Mele (1998) Calibration of the alkenone paleotemperature index UK37' based on core-tops from the eastern southern South Atlantic and the global ocean (60°N-60°S). *Geochim. Cosmochim. Acta* **62**, 1757-1772.
- Proceedings of a workshop on alkenone-based paleoceanographic indicators (www.g-cubed.org, 2000).

GDGTs

- Schouten S., Hopmans E.C., Schefuss E. and Sinninghe Damste J.S. (2002) Distributional variations in marine crenarchaeotal membrane lipids: a new tool for reconstructing ancient sea water temperatures? *EPSL* **204** 265-274.
- Weijers W.H., Schouten S., van den Donker J.C., Hopmans E.C. and Sinninghe Damste J.S. (2007) Environmental controls on bacterial tetraether membrane lipid distribution in soils. *GCA* **71** 703-713.

The alkenone story - birth of a novel paleoceanographic tool

1978

Boon et al., 1978

- First identification in sediments
- DSDP core from Walvis Ridge, SW Africa
- Technique: field desorption-MS of total lipid extract and TLC fractions
- Identified as ketones with elemental composition of $C_{37}H_{70}O$ (m/z 530) and $C_{38}H_{72}O$ (m/z 544)

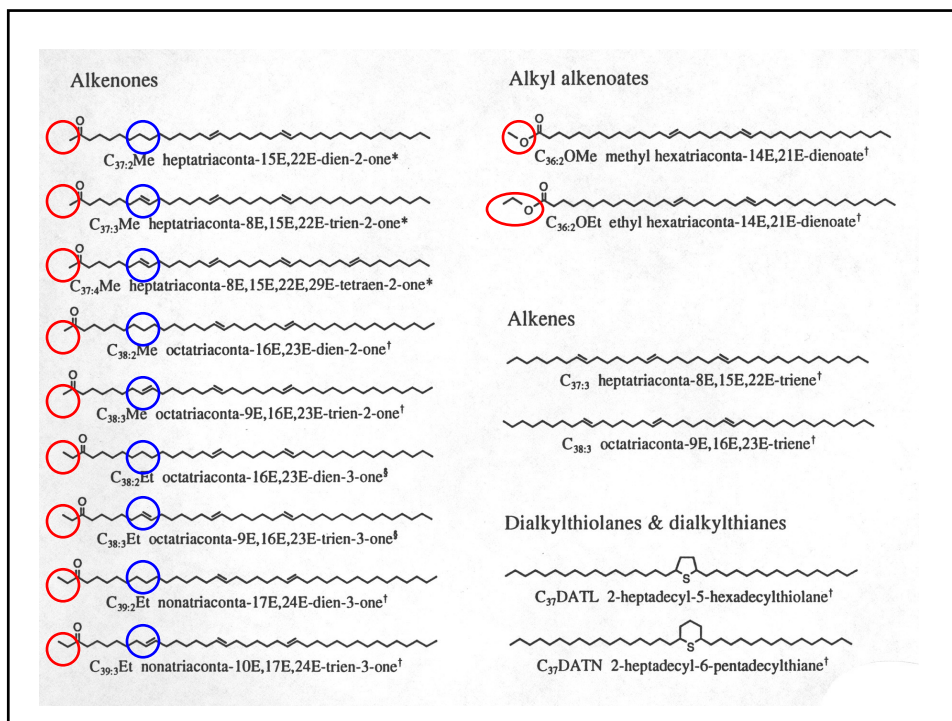
1980

de Leeuw et al. 1980

- Confirmation of structure as C_{37} - C_{39} methyl and ethyl ketones in sediments.

Volkman et al. 1980

- Identification of same compounds in *Emiliania huxleyi*
- Feeding experiments reveal conservative behavior on passage through gut of zooplankton and excretion as fecal pellets
- Identification of associated compounds (C_{31} - C_{38} odd-chain alkenes) in *E. huxleyi*
- Formed throughout growth cycle of *E. huxleyi*
- Proposed as markers for *E. hux.*



Emiliana huxleyi

Affiliation and Evolution

- Class: Haptophyta (Prymnesiophyta)
- Order: Isochrysidales
- Family: Gephyrocapsaceae
- *E. huxleyi* first appeared during late Pleistocene (ca. 250ka)

Distribution and Abundance

- Cosmopolitan eurythermal species (sub-polar to equatorial regions).
- Often found in high concentrations (up to 5×10^3 l⁻¹).
- Occasional development of dense blooms.
- Most widespread extant coccolithophoric species.
- Dominant in transitional and subarctic floral zones.
- Isochrysis/Chrysotila limited to coastal environments.
- *E. huxleyi* considered to be the dominant source of alkenones in the open ocean.
- Constitutes between 40-87% and 40-67% of coccoliths in surface sediments in the North Atlantic and Pacific oceans respectively.

Some Definitions:

Class:

- A taxonomic group containing one or more orders.

Order:

- A taxonomic group containing one or more families.

Family:

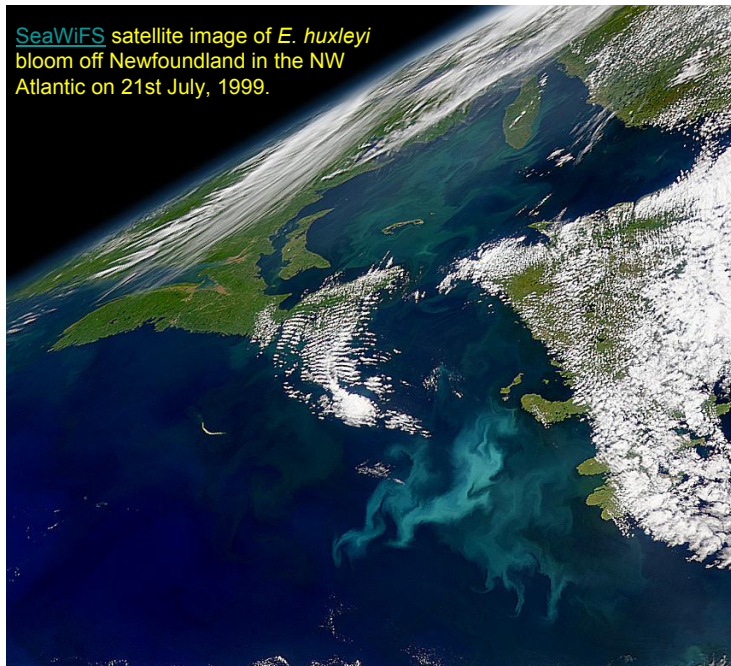
- A taxonomic group containing one or more genera.

Genus (pl. Genera):

- The **second** most **specific** **taxonomic** level, includes closely **related species**. Interbreeding between **organisms within** the **same genus** can occur.

Species:

- A **taxonomic** category subordinate to a **genus** (or **subgenus**) composed of **individuals** possessing common **characters** distinguishing them from other categories of individuals of the **same** taxonomic level. In taxonomic **nomenclature**, species are designated by the **genus name** followed by a **Latin** or Latinised adjective or noun.
- A taxonomic group whose members can interbreed.



Emiliania huxleyi

Morphology and Composition

- 2 distinct morphotypes
- warm water form and cold water form

Alkenone characteristics

- long chain-length (C_{37} - C_{39})
- spacing of positions of unsaturation (C-7 not C-2 and C-3)
- double-bond configuration (i.e. *E* not *Z*; *trans* not *cis*)
- major components of living cell carbon (5-11%)

Other features

- Co-occurring methyl and ethyl alkenoates
- C_{31} - C_{37} odd carbon number alkenes
- Carotenoid: 19'-hexanoyloxyfucoxanthin
- Unusual water-soluble acidic polysaccharide

Long-chain ketones

- Recognized in three genera of prymnesiophycean algae
- Emiliana
- Chrysotila
- Isochrysis

Biosynthesis and biological role

- Algae biosynthesize alkenones from CO₂ via a C₃₆ alkenoic acid precursor (Volkman et al., 1980)
- Precise biological role not known
- May play serve as membrane fluidity regulators (lipid bilayer)
- "margarine vs butter" analogy

Occurrence

- Identified in sediments from a wide variety of depositional environments (see table)
- Also identified in freshwater (lacustrine) sediments
- Occur in POM in Atlantic and Pacific oceans
- Found in remote marine aerosols collected on New Zealand (introduced into the atmosphere by bubble bursting - Sicre et al., 1990)

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1984

Marlowe et al 1984

- Alkenones found to be common to Prymnesiophyceae
- Alkyl alkenoates found as associated compounds
- Chemotaxonomic value confirmed
- Degree of unsaturation related to growth temperature

1985

Cranwell et al. 1985

- Alkenones identified in freshwater lake sediments

1986

Farrimond et al. 1986

- Alkenones reported in Cretaceous black shales
- Demonstrates additional biological precursor for alkenones since this pre-dates appearance of *E. huxleyi*.

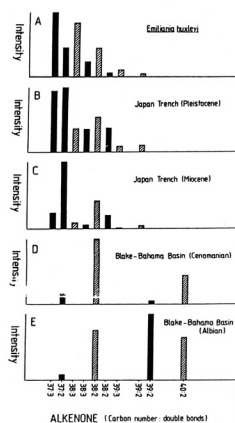
Brassell et al., 1986

- Relationship in degree of unsaturation and $\delta^{18}\text{O}$ observed
- Proposal as a molecular marker for sea-surface temperature
- Introduction of parameter, $U^{K_{37}}$
- Correlation between latitude, SST and $U^{K_{37}}$ in Quaternary sediments
- Introduction to the concept of **molecular stratigraphy**

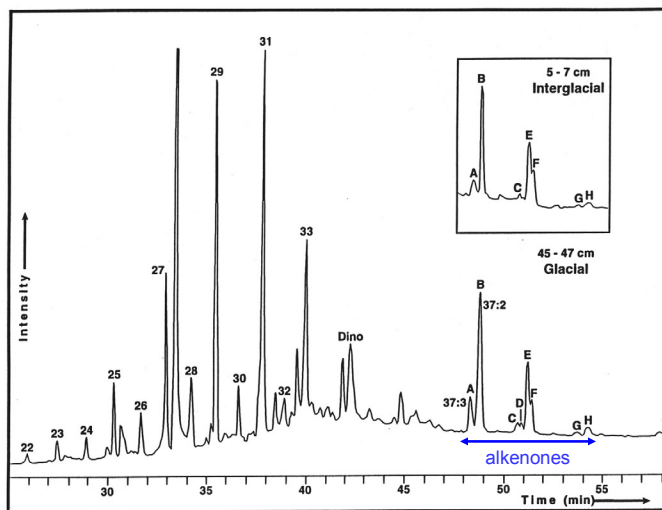
Farrimond et al. 1986

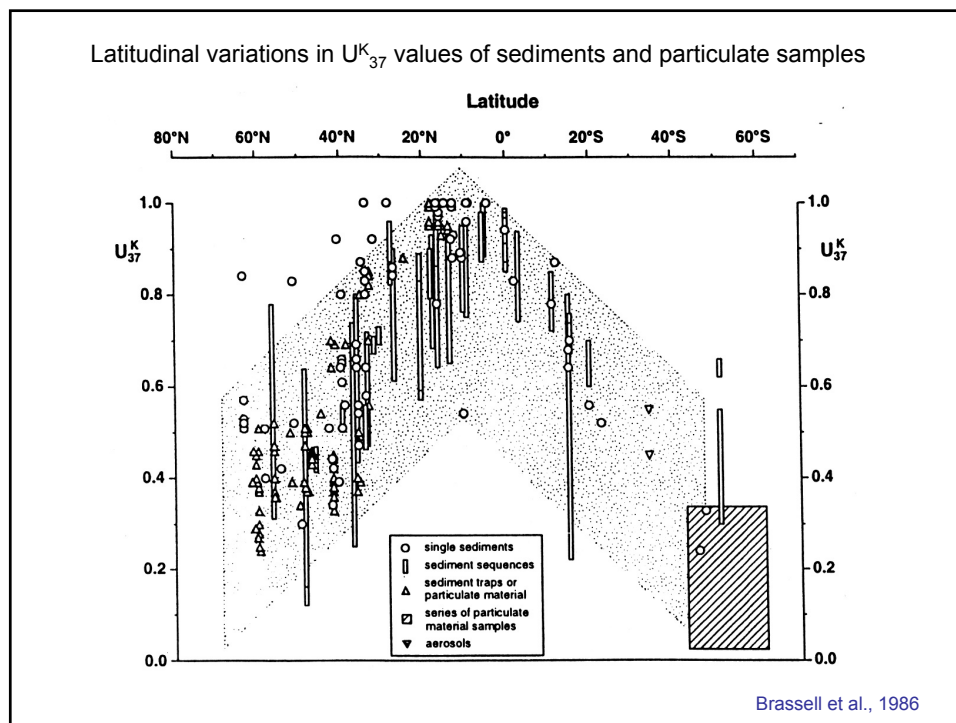
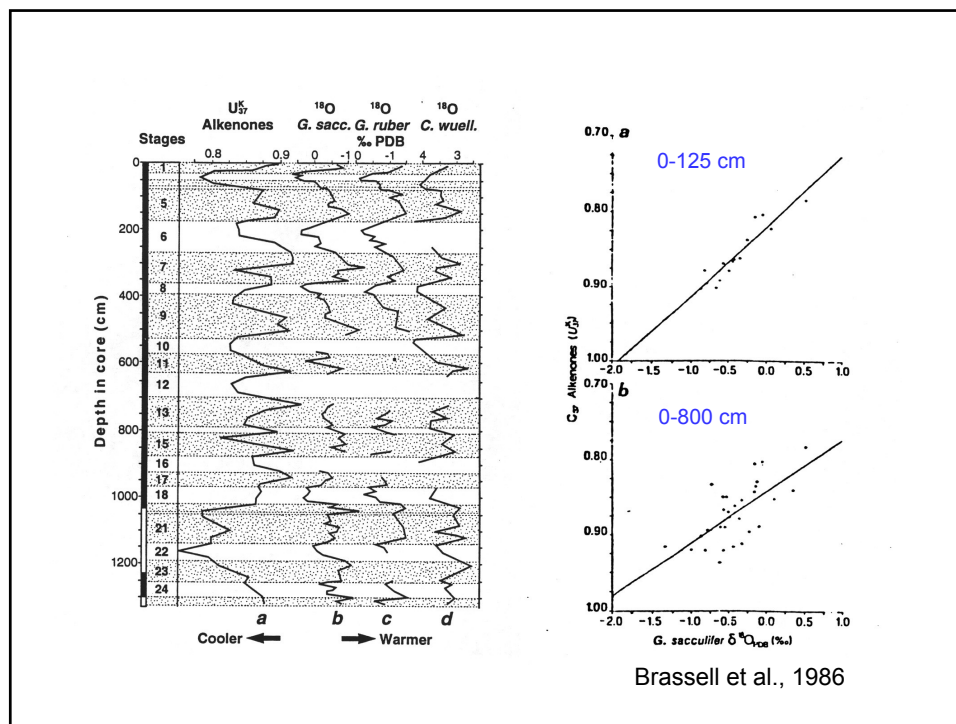
AGE	(Ma)	GEPHYROCAPSACEAE				ALKENONES
		Emiliania	Gephyrocapsa	Pseudoemiliania	Reticulofenestra	
Holocene	0 - 0.01					
Pleistocene	0.01 - 2.0					
Pliocene	2.0 - 5.1					
Miocene	5.1 - 24.6					
Oligocene	24.6 - 38.0					
Eocene	38.0 - 54.9					
Palaeocene	54.9 - 65.0					
Cretaceous	65.0 - 144.0					
Jurassic	144.0 - 213.0					

Fig. 5. Geological age ranges of genera belonging to the family Gephyrocapsaceae, and the reported sedimentary occurrence of long-chain alkenones. (Modified from Marlowe *et al.*, 1985). The dotted lines reflect age ranges where alkenones have not been reported from sediments.



Gas chromatograph of TLE of Kane Gap sediments





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1987

Prahl and Wakeham, 1987

- Calibration of Uk37' w.r.t. SST for natural POM populations (sinking and suspended) in Atlantic and Pacific oceans

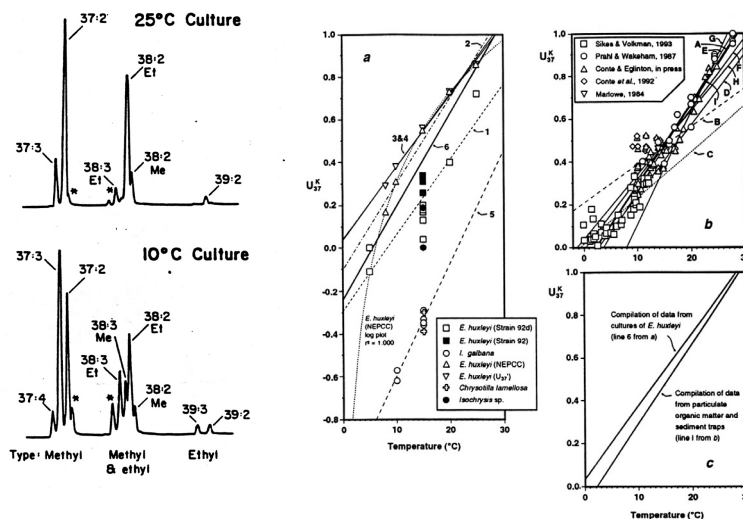
1988

Prahl et al, 1988

- Calibration of Uk37 vs laboratory cultures of *E. huxleyi* (commonly accepted calibration)
- Confirm systematic changes in
 - degree of unsaturation
 - overall chain length distribution
 - proportion in alkyl alkenoates/alkenones

Rechka and Maxwell, 1988

- Complete structural assignment of alkenones
- Found to be unusual all *E* (trans-) configuration
- Refractory nature postulated to be related to unusual double-bond configuration



Prahl & Wakeham, 1988

Alkenone Unsaturation as an Indicator of SST

Fundamental relationship

- A decrease in temperature leads to an increase in the degree of unsaturation
- Initial ratio:

$$U_{37}^K = [C37:2] - [C37:4] / [C37:2 + C37:3 + C37:4]$$

(Brassell et al., 1986)

- Modified to:

$$U_{37}^{K'} = [C37:2] / [C37:2 + C37:3]$$

(Prahl and Wakeham, 1987)

- *Ratio can be measured very precisely (GC-FID)*

Calibration

- Most commonly used:
 $U_{37}^{K'} = 0.033T + 0.043$ (Prahl and Wakeham, 1987)
 $U_{37}^{K'} = 0.033T + 0.044$ (core-top calibration of Muller et al. 1998).
- Accuracy of SST estimation: $\pm 1^\circ\text{C}$ (in open ocean, temperate and sub-polar waters)

Measurement of Alkenone Unsaturation

Conventional method

- Solvent extraction
- Column chromatography or Thin layer chromatography
- Gas Chromatography

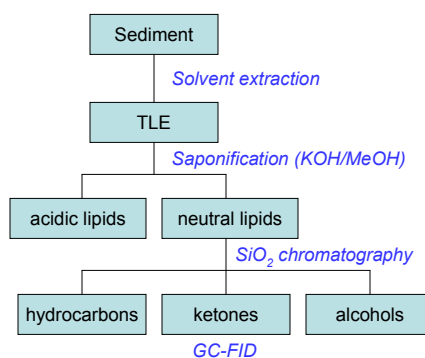
Purification methods

- Silylation
- Saponification
- Transesterification
- Solid phase extraction

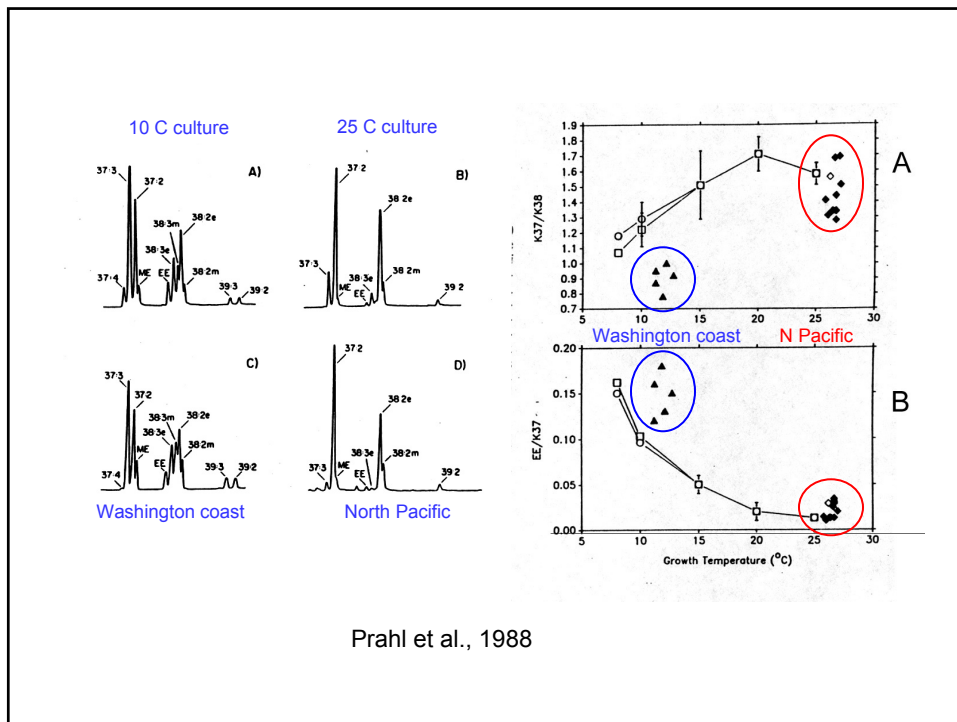
Novel detection methods

- Short-column gas chromatography-Cl mass spectrometry
- DEI-HRMS (DT-MS)
- GC/TOF-MS
- GCxGC

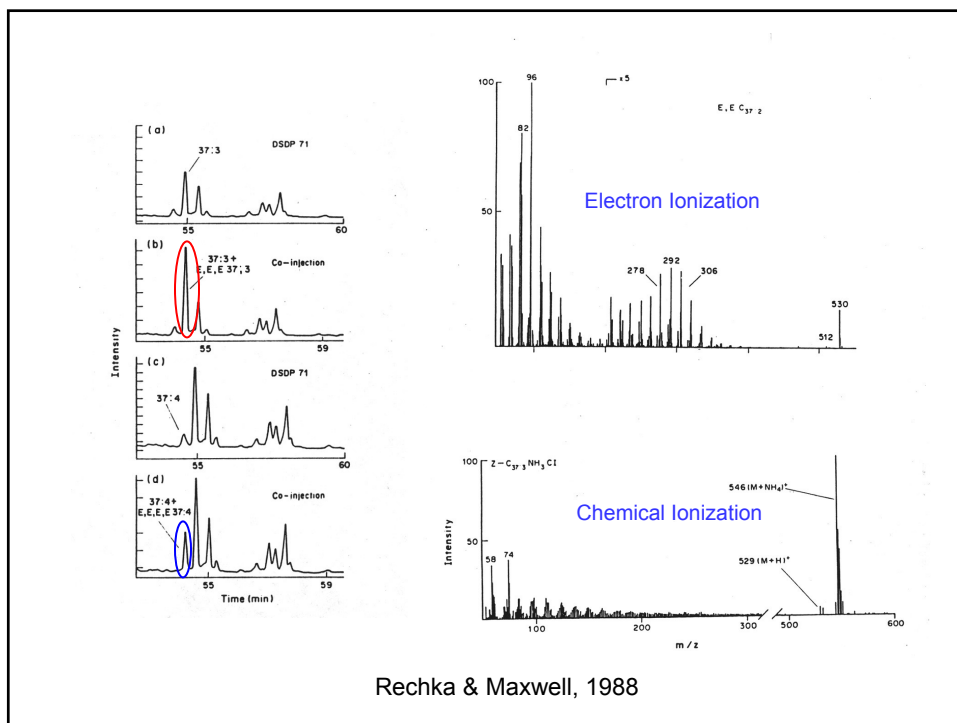
Typical analytical scheme



Selection of purification steps depends on whether there are additional target analytes or if isotopic information on alkenones is desired.



Prahl et al., 1988



Rechka & Maxwell, 1988

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1989

Poynter et al. 1989

- Analysis of "stacked" core records confirmed Uk37 vs d18O relationship

1990

Marlowe et al. (1990)

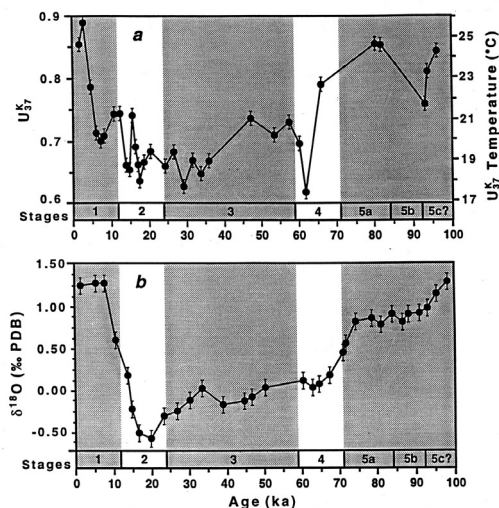
- Micropaleontological and molecular data suggests genera belonging to family Gephyrocapsaceae were all potential sources of alkenones in sediments deposited since Eocene (45Ma). Cretaceous samples - ancestors of this family

McCaffrey et al. (1990)

- Alkenone Uk37 found to record short-term climatic variations (El Nino events) in Peru margin sediments over last 300yrs.

Jasper and Hayes (1990)

- $\delta^{13}\text{C}$ of alkenones used for reconstruction of pCO₂ over last 70kyr from quaternary sediments (Pygmy basin, Gulf of Mexico) - correspondence with Vostok ice core record.



Molecular stratigraphy of Pigmy Basin sediments, Gulf of Mexico
(Jasper and Gagosian, 198?)

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1992

Conte et al. (1992)

- Calibration of alkenone and alkyl alkenoate distributions in Eastern North Atlantic (high latitude, cold water).
- Assessment of diagenetic alteration in water column and in sediments indicates SST signature preserved, despite significant compound loss
- Definition of new parameter based on alkyl alkenoate abundance, "AA36"

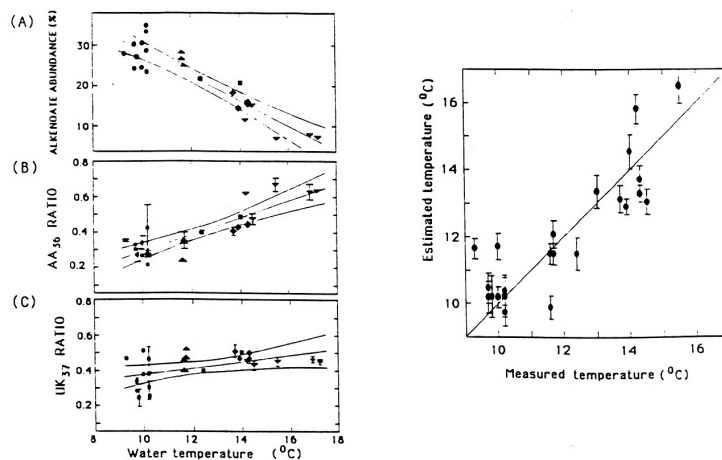
Kennedy and Brassell, (1992)

- Annual climatic variations over 20th century interpreted from Uk37 in Santa Barbara basin laminated sediments

Freeman and Wakeham, (1992)

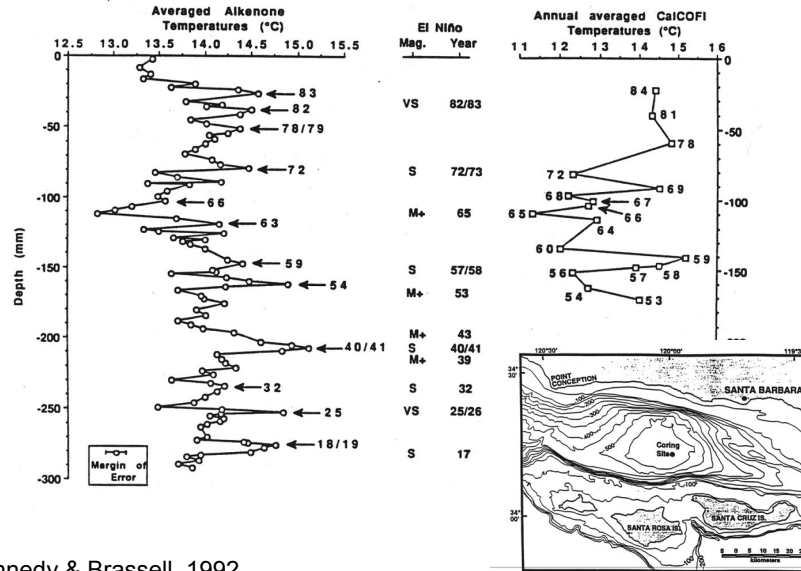
- Analysis of Uk37 in Black Sea sediments indicates a different calibration required.
- Different $\delta^{13}\text{C}$ values for C37:4 relative to C37:2 and C37:3 - different sources?

Water column-based SST calibration



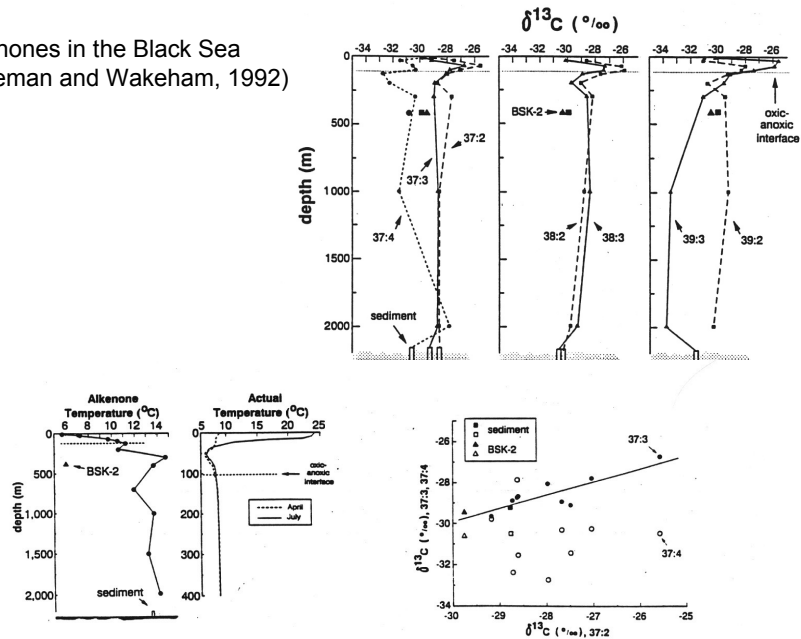
Conte et al., 1992

Alkenone-based SST records of El Niño



Kennedy & Brassell, 1992

Alkenones in the Black Sea (Freeman and Wakeham, 1992)



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1993

Jasper and Hayes, (1993)

- $\delta^{13}\text{C}$ of alkenones used to estimate fraction of marine carbon in Quaternary sediments.
- *Rostek et al. (1993)*
- Application of coupled Uk37 and $\delta^{18}\text{O}$ records to estimate salinity.
- *Sikes and Volkman (1993)*
- Extension of Uk37 temperature calibration below 11 deg C.

1995

Volkman et al. (1995)

- Identification of alkenones in *Gephyrocapsa oceanica*.

1998

Muller et al. (1998)

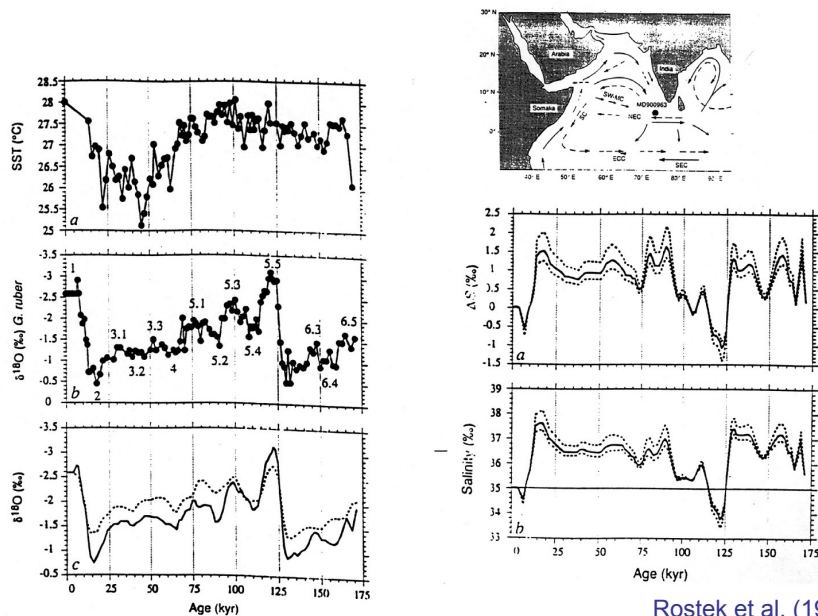
- "Global" core top Uk37 calibration.

1999

Sachs et al. (1999)

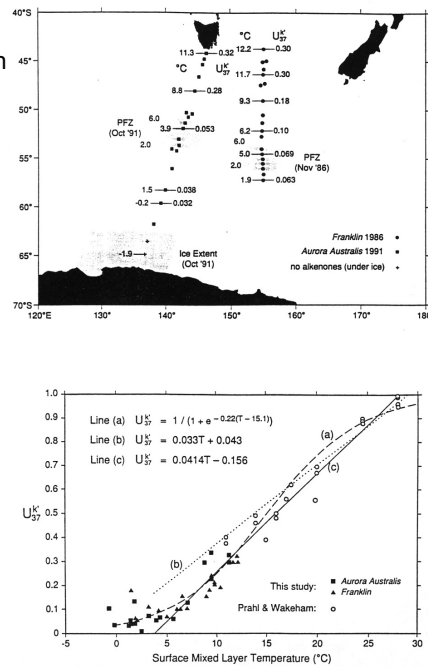
- Very high resolution Uk37 record for NW Atlantic across MIS-3.

Reconstructing sea surface temperature and salinity using alkenone and $\delta^{18}\text{O}$ records

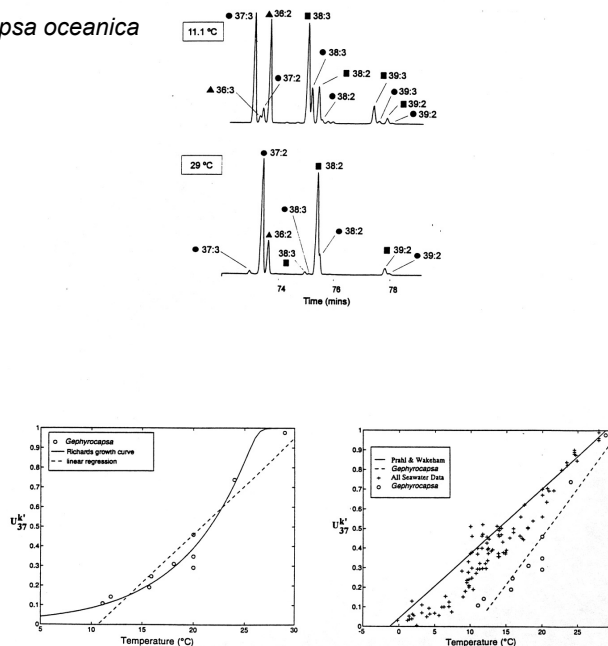


Calibration of alkenone unsaturation ratios for paleotemperature estimation in cold polar waters

Sikes & Volkman (1993)

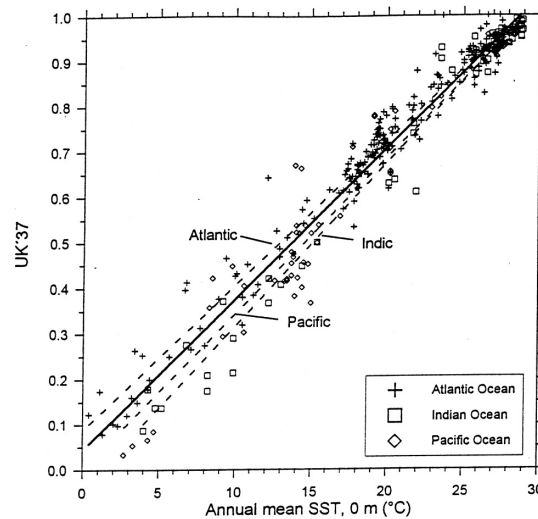


Alkenones in *Gephyrocapsa oceanica*



Global core-top calibration of U^{K}_{37} vs SST

(Muller et al., 1998)



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2000

Benthien and Muller 2000

- Evidence for lateral transport of alkenones.

2001

Zink et al.

- Temperature relationship observed in alkenones from freshwater lakes

2001

Xu et al.

- Identification of a novel ($C_{36:2}$) alkenone in Black Sea sediments

2002

Ohkouchi et al. (2002)

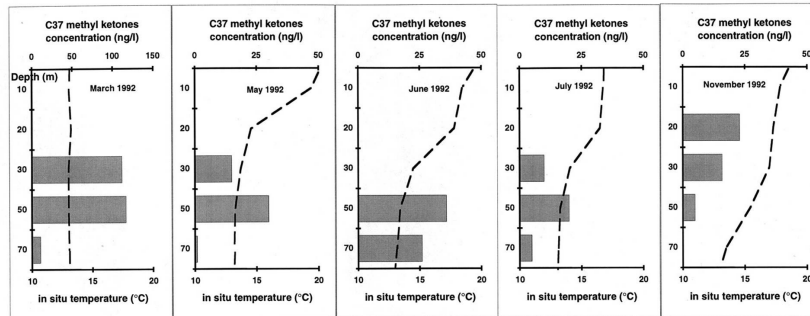
- Temporal offsets observed between alkenones and planktonic foraminifera in a marine sediment drift.

2005

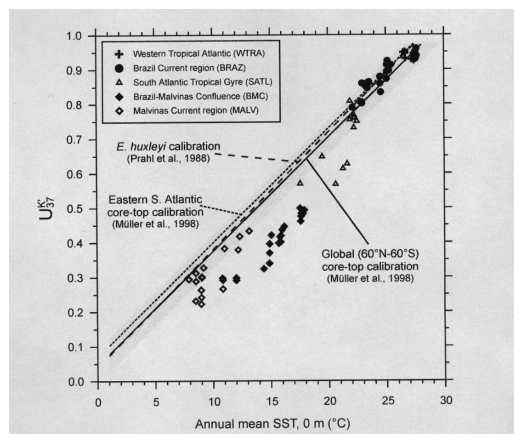
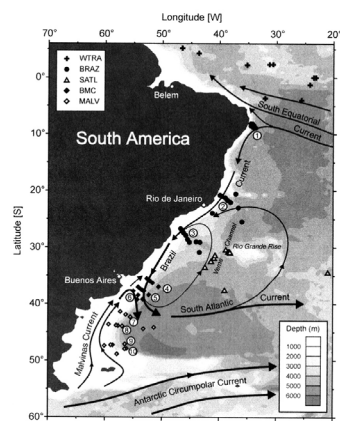
Englebrecht & Sachs (2005)

- Hydrogen isotopic measurements on alkenones -provenance, salinity indicators

Seasonal variations in depth of alkenone production

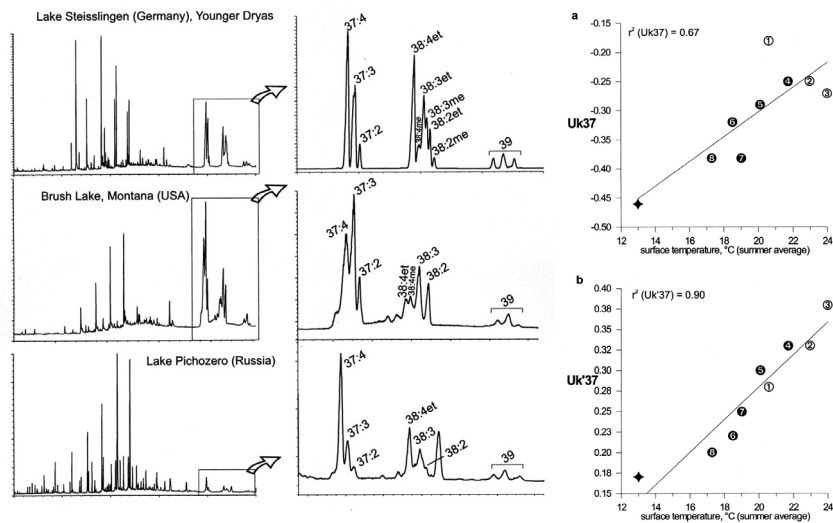


Lateral transport of alkenones to the Argentine Basin



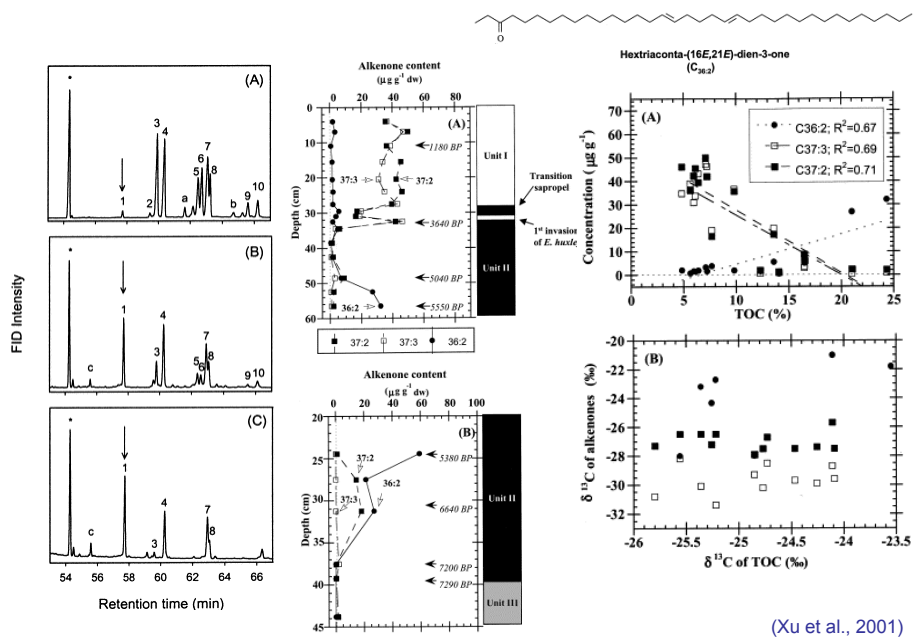
Benthien & Muller, 2000

Alkenones in freshwater lakes



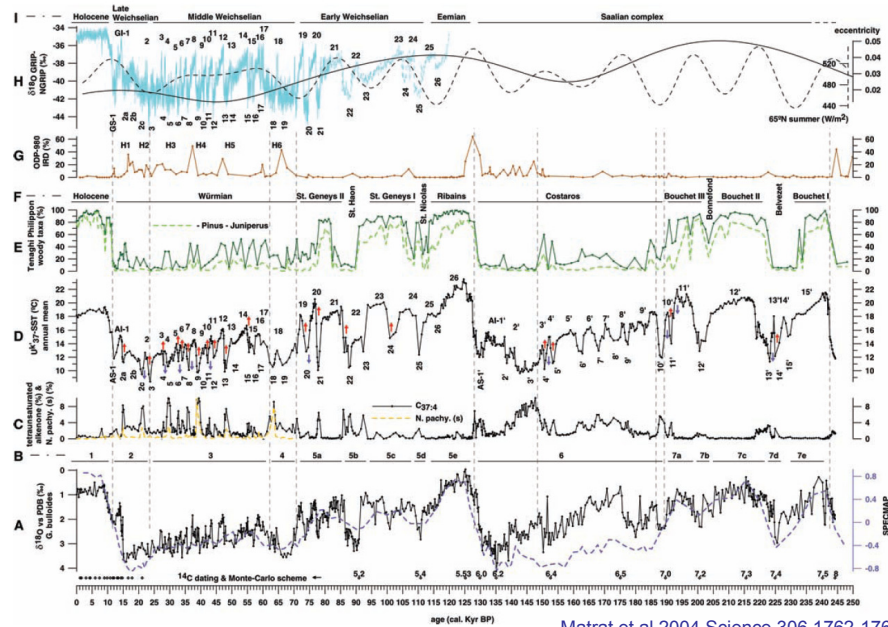
Zink et al. 2001

A novel alkenone in Black Sea sapropel (Unit II)



(Xu et al., 2001)

Molecular stratigraphic records from the Alboran Sea (western Mediterranean)



Important Remaining Questions

- How are alkenones biosynthesized and what their physiological role?.
- What are the spatial and temporal productivity patterns for alkenone producers.
 - Coastal vs. open ocean settings.
 - Vertical distribution in the water column.
- Time-periods pre-dating *E. hux*.
- What are the reaction pathways by which alkenones are degraded?
- Is the ketone group or the unsaturation the initial site of attack?
- Influences of preservation under oxic v anoxic conditions?
- Importance of sediment redistribution processes on alkenone/molecular records.
 - Lateral advection (drift deposits).
 - Differential bioturbation.

Emergence of a new molecular SST proxy – TEX₈₆

S. Schouten et al. / Earth and Planetary Science Letters 204 (2002) 265–274

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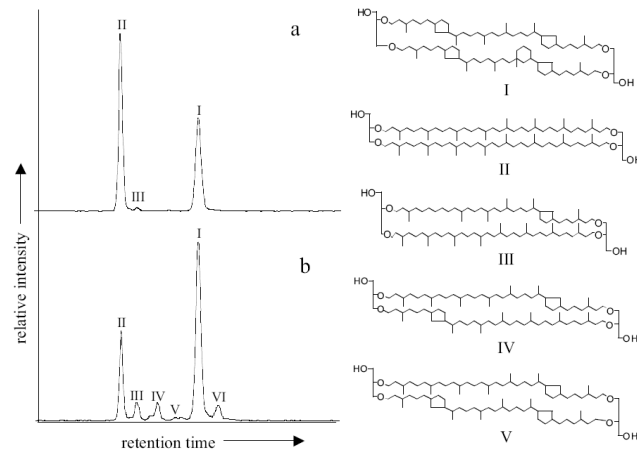
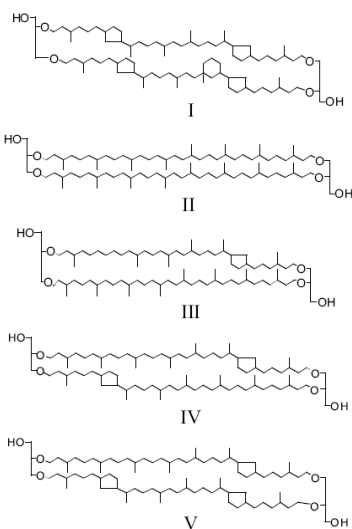
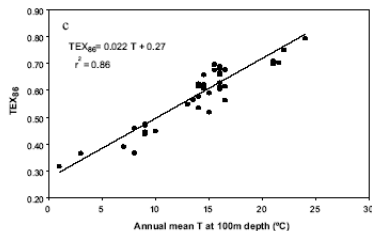
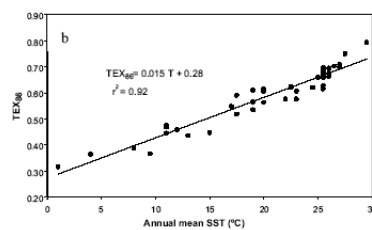


Fig. 1. HPLC/MS base peak chromatograms of (a) a surface sediment from Halley Bay Station (Antarctica), (b) a surface sediment from the Arabian Sea. Roman numerals indicate structures drawn besides. GDGT-II and GDGTs III-V were identified based on standards obtained from a lipid extract of *Sulfolobus solfataricus* with known composition [14]. Crenarchaeol I was identified by isolation and analysis by high-field ¹³C-NMR [15]. GDGT VI has an, as yet, unknown structure but contains five rings, as deduced from its positive ion APCI mass spectrum. Furthermore, HI cleavage of an isolated fraction of a sediment extract containing high amounts of VI released carbon skeletons with similar mass spectral features as those of carbon skeletons released from I. Thus, it is thought that VI is an isomer of I.



$$\text{TEX}_{86} = \frac{([\text{IV}] + [\text{V}] + [\text{VI}])}{([\text{III}] + [\text{IV}] + [\text{V}] + [\text{VI}])} \quad (1)$$

$$\text{TEX}_{86} = 0.015T + 0.28 \quad (r^2 = 0.92) \quad (2)$$



Schouten et al 2002 EPSL 265-274.

Emergence of a new molecular SST proxy – TEX₈₆

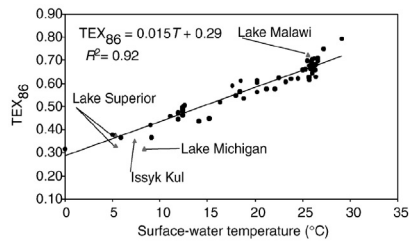


Figure 2. Correlation of TEX₈₆ with mean annual sea-surface temperatures (SSTs) in marine samples (solid dots) and mean annual lake-surface temperatures for lacustrine samples (gray triangles). There are two identical points for Lake Malawi.

Powers et al. 2004

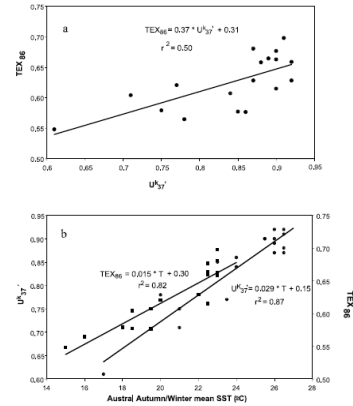


Fig. 4. Correlation of the geochemical proxies in core-top sediments with SST in the Angola Basin. Graph a shows the correlation of TEX₈₆ (defined as in Eq. 1) with U₃₇'. Graph b shows correlations of U₃₇' with austral autumn mean SST and TEX₈₆ with austral winter mean SST from Angola Basin core-top sediments with SSTs determined from [21] with a precision of 0.5°C.

Schouten et al. 2004

Potential advantages of TEX₈₆ proxy

- Applicable deeper in geologic record
- Applicable at higher end of temperature spectrum.
- Near ubiquitous signal
- Applicable in sediments depauperate in, or corrosive to, carbonate microfossils.
- Applicable in marine & freshwater environments.

Arctic Ocean temperatures during the Paleocene-Eocene thermal maximum (~ 55 Ma)

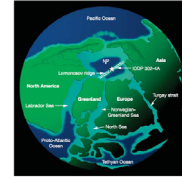


Figure 1. Location of IODP Hole 302-4A within the paleogeographic reconstruction of the Arctic Basin at late Paleocene-early Eocene time. The figure is modified from ref. 23, 249 North Pole.

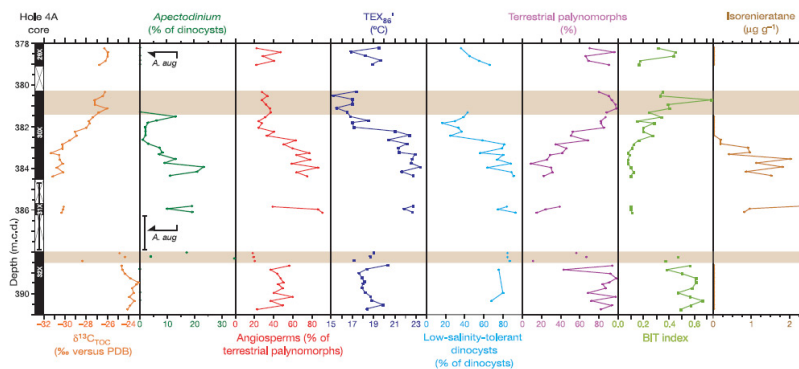


Figure 2 | Core recovery and palynological and geochemical results across the PETM of IODP Hole 302-4A. Core 31X was plotted 100 cm lower than m.c.d. for illustration purposes. Error bars connected to Core 31X in the recovery column indicate the uncertainty of its stratigraphic position (see Supplementary Information). Orange bars indicate intervals affected by drilling disturbance. Stable carbon isotopes are expressed relative to the

Pee Dee Belemnite standard. Low-salinity-tolerant dinocysts comprise *Senegalinium* spp., *Cerodinium* spp., and *Polysphaeridium* spp., while *Membrianusphaera* spp., *Sphaeriferites nanus* complex, and *Areoligera-Glyphocysta* cpx. represent the typical normal marine species²³ (Supplementary Fig. S-1). Arrows and *A. aug* indicate the first and last occurrences of dinocyst *Apectodinium augustum*.

Sluijs et al 2006 Nature 441 610-613

Pacific Ocean SSTs during Cretaceous Anoxic Events

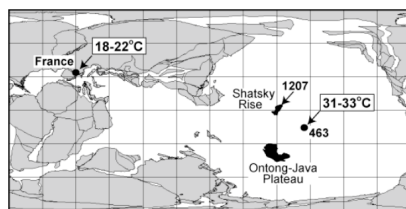


Figure 1. Paleogeographic map (after Schettino and Scotese, 2000) showing locations of the Early Aptian (~120Ma) sites for which temperature data are available (France: Pucéat et al., 2003; DSDP Site 463: Schouten et al., 2003). The range of temperatures from Site 463 excludes the sample reported with a temperature of 27 °C, a value now thought suspect because of maturity.

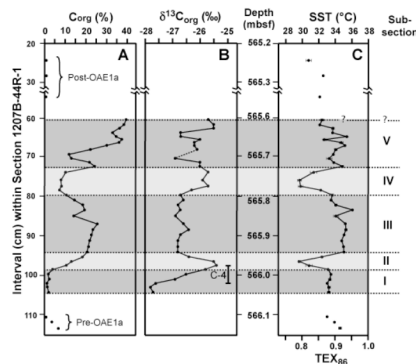


Figure 2. Depth profiles of biogeochemical and paleotemperature data for the OAE1a sequence recovered from ODP Site 1207. The recovered sequence corresponding to OAE1a is shaded, with subsections (I to V) discussed in text designated by the intensity of shading. A: organic carbon content (‰). B: carbon isotopic composition of the organic matter (‰ Vienna PDB), with the positive excursion assigned as C-4 (Dumitrescu and Brassell, in press; Menegatti et al., 1998). C: sea surface temperatures (SSTs) based on the TEX₈₆ proxy.

Potential complications to the TEX₈₆ proxy

- Origin (water depth) of temperature signal
- Species effects
- Mode of transmission of the signal to the sediments
- Interferences from terrestrial compounds
- Accuracy of temps recorded

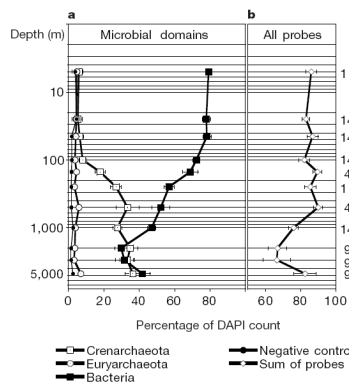


Figure 2 Mean annual depth profiles of microbial domains in the North Pacific subtropical gyre. Numbers are percentages of bacteria and archaea as compared to total microbial abundance at each depth. Total cell abundance was assessed using the DAPI nucleic acid stain. Bacteria and archaea were enumerated using whole-cell rRNA targeted fluorescent *in situ* hybridization with fluorescein-labelled polynucleotide probes. Data are averages of up to 14 roughly monthly samplings over a 1-yr period at the Hawai'i Ocean Time-series station, ALOHA. Error bars show standard error of mean; note column for total sample size at each depth. See also Supplementary Information. **a**, Depth profiles for bacteria (solid squares), pelagic crenarchaeota (open squares), pelagic euryarchaeota (open circles), and a non-specific control probe ('negative', solid circles). **b**, Depth profile of the sum of relative abundances of bacteria, pelagic crenarchaeota and pelagic euryarchaeota (open diamonds). Relative abundances of bacteria and both archaeal groups were summated at each depth and negative control data were subtracted.

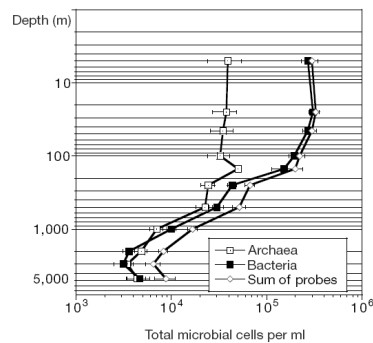
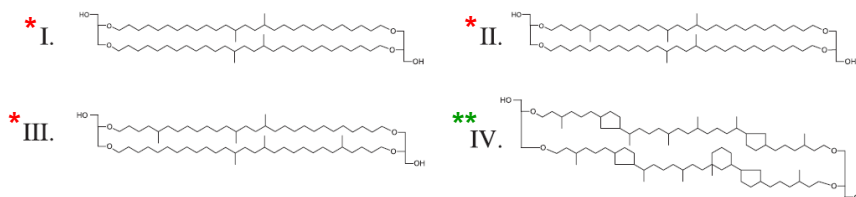


Figure 3 Mean annual depth profiles of microbial domains in the North Pacific subtropical gyre. Numbers are total cell abundances of bacteria and archaea (pelagic crenarchaeota and euryarchaeota combined). Bacteria and archaea were enumerated using whole-cell rRNA targeted fluorescent *in situ* hybridization with fluorescein-labelled polynucleotide probes. Data are averages of up to 14 roughly monthly samplings over a 1-yr period at the Hawai'i Ocean Time-series station, ALOHA. See also Supplementary Information.

Karner et al 2001 Nature

A novel proxy for terrestrial organic matter in sediments based on branched and isoprenoid tetraether lipids

E.C. Hopmans et al. / Earth and Planetary Science Letters 224 (2004) 107–116



The Branched and Isoprenoid Tetraether (“BIT”) index:

$$\text{BIT} = \frac{[\text{I} + \text{II} + \text{III}]}{[\text{I} + \text{II} + \text{III}] + [\text{IV}]}$$

* Derived from anaerobic soil bacteria

** Derived from derived from non-thermophilic crenarchaeota

Influence of terrestrially-derived GDGTs on TEX₈₆

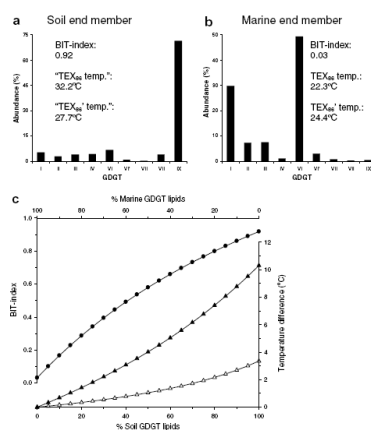


Fig. 5. Hypothetical binary mixing model for equatorial Atlantic region composed of (a) an end member representing average GDGT distribution in the African soils, and (b) an end member representing the GDGT distribution in a marine sediment sample from core GeoB 4901 (Niger deep sea fan). Graph (c) shows with different mixing ratios the positive temperature difference from the original marine end member value according to the TEX₈₆ proxy (black triangles) and the TEX₈₆ proxy (white triangles) with the accompanying BIT indices (black dots).

$$\text{BIT index} = \frac{[\text{VII} + \text{VIII} + \text{IX}]}{[\text{VII} + \text{VIII} + \text{IX}] + [\text{VI}]}$$

The TEX₈₆ was calculated as follows (Schouten et al., 2002):

$$\text{TEX}_{86} = \frac{[\text{III} + \text{IV} + \text{VI}]}{[\text{II} + \text{III} + \text{IV} + \text{VI}]}$$

The TEX₈₆ was converted to temperature according to the empirically derived formula given by Schouten et al. (2002):

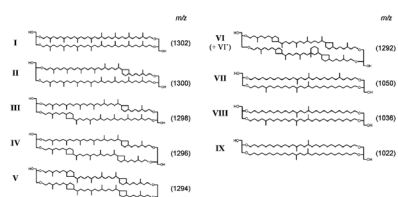
$$T (^{\circ}\text{C}) = \frac{\text{TEX}_{86} - 0.28}{0.015}$$

An alternative TEX₈₆ proxy, the TEX₈₆[′], applied by Sluijs et al. (2006) to reduce the influence of terrestrially derived isoprenoid GDGTs, is defined as:

$$\text{TEX}_{86}^{\prime} = \frac{[\text{III} + \text{VI}]}{[\text{II} + \text{III} + \text{VI}]}$$

The TEX₈₆[′] was converted to temperature with the formula given by Sluijs et al. (2006):

$$T (^{\circ}\text{C}) = \frac{\text{TEX}_{86}^{\prime} - 0.20}{0.016}$$



Weijers et al 2006 OG

Parameterizing compositional variability within branched GDGTs

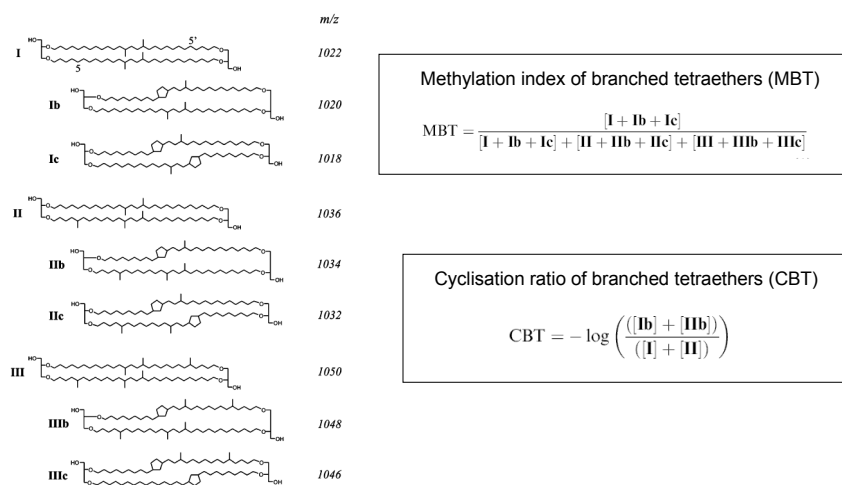


Fig. A1. Chemical structures of the branched glycerol dialkyl glycerol tetraether (GDGT) membrane lipids discussed in the text.

Weijers et al 2007 GCA 71 703–713

Calibration of branched GDGT indices

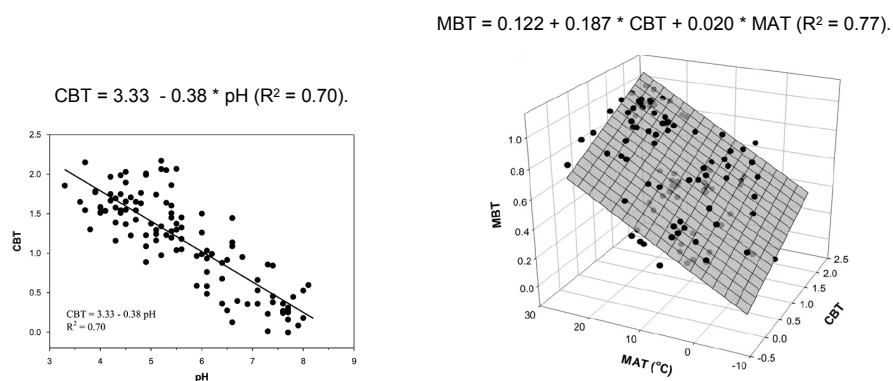
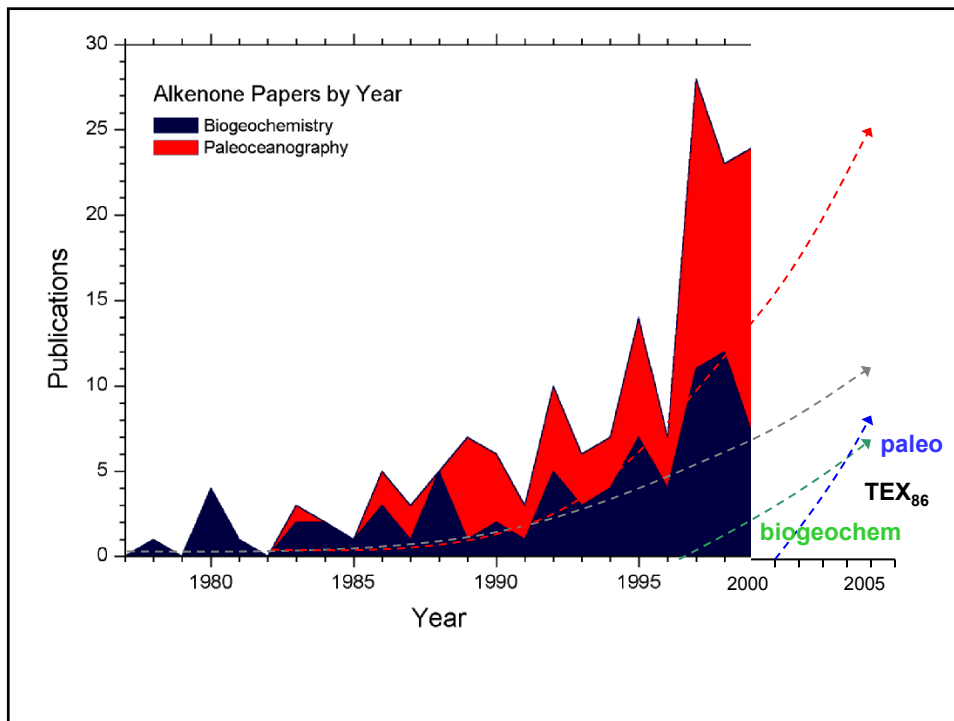
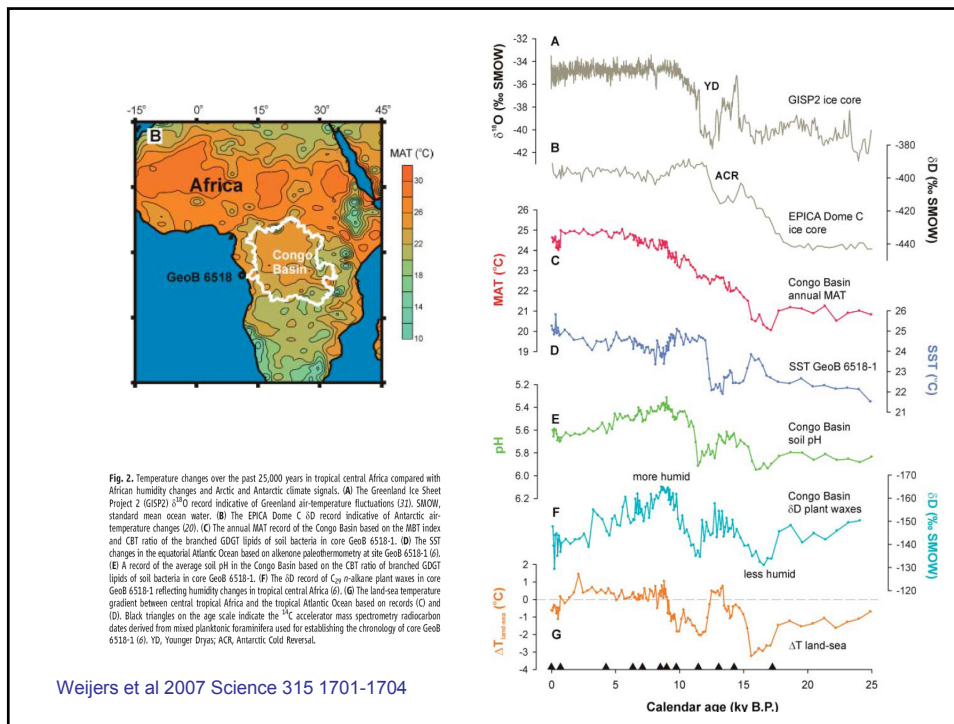


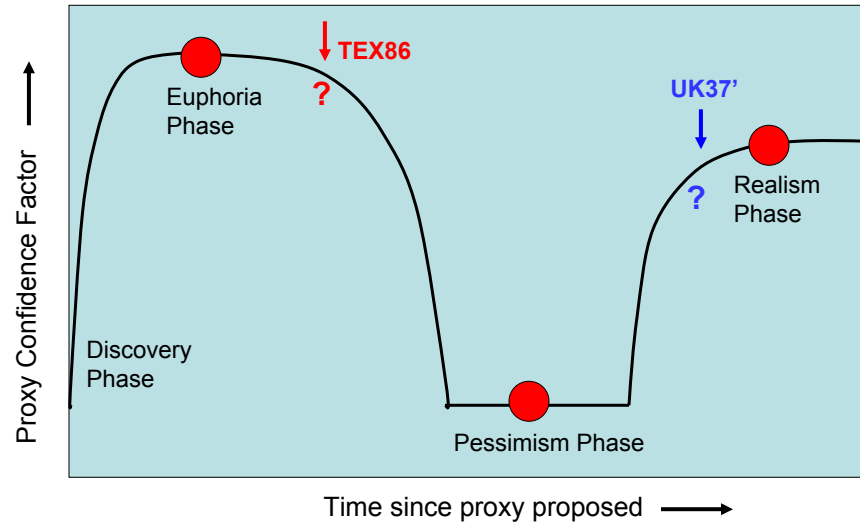
Fig. 8. Calibration plot of the cyclisation ratio of branched tetraethers (CBT) in soils vs. soil pH.

Fig. 9. 3-D calibration plot of the methylation index of branched tetraethers (MBT) in soils vs. the cyclisation ratio of branched tetraethers (CBT) in soils and annual mean air temperature (MAT).

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Paleoceanographic Proxy Confidence Factor Phase Chart



Modified after H. Elderfield