Response and impact of equatorial ocean dynamics and tropical instability waves in the tropical Atlantic under global warming: A regional coupled downscaling study

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[1] A regional coupled model is used for a dynamic downscaling over the tropical Atlantic based on a global warming simulation carried out with the Geophysical Fluid Dynamics Laboratory CM2.1. The regional coupled model features a realistic representation of equatorial ocean dynamical processes such as the tropical instability waves (TIWs) that are not adequately simulated in many global coupled climate models. The coupled downscaling hence provides a unique opportunity to assess their response and impact in a changing climate. Under global warming, both global and regional models exhibit an increased (decreased) rainfall in the tropical northeast (South) Atlantic. Given this asymmetric change in mean state, the regional model produces the intensified near-surface cross-equatorial southerly wind and zonal currents. The equatorial cold tongue exhibits a reduced surface warming due to the enhanced upwelling. It is mainly associated with the increased vertical velocities driven by cross-equatorial wind, in contrast to the equatorial Pacific, where thermal stratification is suggested to be more important under global warming. The strengthened upwelling and zonal currents in turn amplify the dynamic instability of the equatorial ocean, thereby intensifying TIWs. The increased eddy heat flux significantly warms the equator and counters the effect of enhanced upwelling. Zonal eddy heat flux makes the largest contribution, suggesting a need for sustained monitoring of TIWs with spatially denser observational arrays in the equatorial oceans. Overall, results suggest that eddy heat flux is an important factor that may impact the mean state warming of equatorial oceans, as it does in the current climate.

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1. Introduction

[2] The equatorial Atlantic variability is dominated by an intrinsic mode of coupled variability akin to the El Niño-Southern Oscillation (ENSO) in the tropical Pacific Ocean [Zebiak, 1993] with a pronounced meridional mode on interannual time scales [Carton et al., 1996; Ruiz-Barradas et al., 2003; Xie and Carton, 2004]. The tropical Atlantic variability influences the variability of the intertropical convergence zone (ITCZ) and hence rainfall in the neighboring continents, particularly, in the regions of Northeast-ern Brazil [Moura and Shukla, 1981; Hastenrath and Greischar, 1993], the Sahel and coastal regions of Gulf of Guinea [Giannini et al., 2003; Chang et al., 2006]. It is also known to influence climate variability in other regions through interaction with the extratropics [e.g.,

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Okumura et al., 2001; *Sutton et al.*, 2001]. Despite the climatic importance, it is still unclear how the equatorial Atlantic variability will respond to the increased anthropogenic greenhouse gas concentrations in a changing climate [e.g., *Breugem et al.*, 2007]. This is the focus of our study with an emphasis on the role played by dynamical processes and mesoscale eddies in the upper ocean.

[3] Like the Pacific, the equatorial Atlantic ocean's response to global warming relies heavily upon ocean dynamical processes and heat transport that generate the nonuniform warming pattern in the upper ocean in spite of the uniform radiative heating [*Xie et al.*, 2010]. Models adopted so far for climate projection omit some potentially important ocean processes. One example is tropical instability waves (TIWs). They are generated from instabilities of equatorial zonal currents and fronts [e.g., *Philander*, 1976; *Cox*, 1980; *Yu et al.*, 1995; *Masina et al.*, 1999], common to both the tropical Atlantic [*Düing et al.*, 1975] and Pacific [*Legeckis*, 1977; *Legeckis et al.*, 1983]. Observations reveal TIWs as westward propagating wave-like oscillations of sea surface temperature (SST), ocean color [*Yoder et al.*, 1994], upper ocean vortex [*Flament et al.*, 1996; *Kennan and*

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Flament, 2000], surface wind [Chelton et al., 2001], and other properties near the equator with a typical wavelength of ~10° longitude and a phase speed of ~0.5 m s⁻¹ [e.g., Weisberg and Weingartner, 1988; Qiao and Weisberg, 1995; Chelton et al., 2000]. Being active during the upwelling season, TIWs are recognized as key organizers in heat, salt and momentum fluxes in the equatorial oceans [Weisberg, 1984; Hansen and Paul, 1984; Bryden and Brady, 1989; Baturin and Niiler, 1997]. In particular, TIWs affect the lower troposphere including wind and cloud distribution [Hayes et al., 1989; Wallace et al., 1989; Deser et al., 1993; Liu et al., 2000; Hashizume et al., 2002; Wu and Bowman, 2007], inducing mesoscale air-sea interaction [Chelton and Freilich, 2005; Seo et al., 2007b; Small et al., 2008, 2009] and modulation of interannual variability in precipitation and wind in the tropics [Jochum et al., 2007]. They are typically characterized by subdaily time scale adjustment processes and intense upper ocean mixing [Lien et al., 2008; Moum et al., 2009], with cascades to larger scales [Seo et al., 2006; An, 2008; Nagura et al., 2008; Zhang and Busalacchi, 2008].

[4] Considering the multiscales and the air-sea interactions involved in TIWs, explicitly resolving them in the coupled climate models is important for simulation and prediction of tropical climate [e.g., *Jochum et al.*, 2008; *Roberts et al.*, 2009; *Shaffrey et al.*, 2009; *Ham and Kang*, 2011]. Most Intergovernmental Panel on Climate Change (IPCC) class models, however, do not produce TIWs, hence their potential impacts have been left unexplored in the current discussion of tropical oceans' response to global warming.

[5] To improve the projection of regional response to greenhouse forcing in the presence of complex feedbacks, climate models need to reduce their biases in mean state and should include a fuller spectrum of ocean-atmospheric processes such as TIWs as the mean state is important [Fedorov and Philander, 2001; Wittenberg, 2002; Dewitte et al., 2007]. While the coupled general circulation models (CGCMs) are rapidly improving in these aspects [e.g., Shaffrey et al., 2009], there is an alternative approach at present by downscaling such CGCM climate projections with high-resolution regional coupled models. The current study uses the Scripps Coupled Ocean-Atmosphere Regional (SCOAR) model [Seo et al., 2007a] to perform, for the first time, a coupled dynamic downscaling experiment of an ensemble climate change projection carried out by the Geophysical Fluid Dynamics Laboratory (GFDL) CM2.1. Note that global climate models still produce large errors in representation of the mean states, and many features tend to be more deficient in the tropical Atlantic than the tropical Pacific [Mechoso et al., 1995; Davey et al., 2002]. Richter and Xie [2008] showed that, CM2.1 is not an exception, but tends to produce a relatively better mean state climatology than other models that participated in the IPCC Fourth Assessment Report (AR4). With a more accurate representation of oceanic processes, local air-sea coupling, and smaller biases by using a regional model [Seo et al., 2006; Xie et al., 2007; de Szoeke and Xie, 2008], it is our goal to examine the adjustments of equatorial ocean processes under greenhouse gas forcing and assess their impact on ocean warming. We will mainly appeal to the current understanding of TIW effects on large-scale climate variability and

tropical ocean response to global warming. Therefore, results presented in this study should be regarded rather experimental than conclusive. Coordinated regional coupled downscaling efforts for climate change projections will help gain an estimate of the robustness of regional projections based on multiple downscaling models.

[6] The paper is organized as follows. Section 2 introduces the global and regional models, the experimental design and the data used for verification. Section 3 compares the downscaled climatology with observations, showing that key aspects of equatorial Atlantic climate, including the equatorial cold tongue and equatorial currents, are well captured in SCOAR. Section 4 investigates the change in mean state under global warming in SCOAR and CM2.1, which is important for the change in TIWs. Large-scale features such as the precipitation response in SCOAR are akin to those in CM2.1, indicating that lateral boundary conditions from CM.2.1 provide a reasonable constraint in atmospheric circulation in the regional coupled model. Important differences between SCOAR and CM2.1 arise in the equatorial regions, where air-sea coupling and oceanic internal variability are strong [Jochum et al., 2004b]. Section 5 shows an increased variability of TIWs as a result of such changes in mean state. The net eddy temperature advection is a major element that determines the mixed layer heat budget under global warming. Section 6 is a summary and discusses implications for the debate on tropical ocean response to global warming.

2. Models and Experiment

2.1. Global Coupled Model

[7] The global coupled model that provides large-scale ocean and atmospheric fields is the GFDL CM2.1. It consists of GFDL atmospheric model (AM2.1) and the Modular Ocean Model version 4 (MOM4). AM2.1 uses 2.5° horizontal grid with 24 vertical levels and MOM4 uses the 1° horizontal resolution that increases to 1/3° toward the equator with 50 vertical levels. Details of the model formulation are given by *Delworth et al.* [2006] and *Wittenberg* et al. [2006]. Note that the version of GFDL CM2.1 used in this study does not produce TIWs [*Wittenberg et al.*, 2006]. A 10-member ensemble-averaged simulation has been performed by GFDL for the A1B emission scenario up to 2050, and the monthly climatology fields of the atmosphere and oceanic variables for the period of 1996-2000 and 2046–2050 were provided to us to downscale. Since we have only monthly fields, it is not possible to directly downscale CM2.1. Hence we first compute the monthly differences between 1996 and 2000 for the 20th century (20C) climate and 2046–2050 for the A1B condition (A1B) to represent the mean warming signal (A1B-20C), and add it to the analysis products to downscale. Robustness of the warming pattern in CM2.1 from the 5 year ensemble mean (2046–2050) has been studied by Xie et al. [2010] in comparison to a simple model based on the gross moist instability and other CGCMs results [e.g., Vecchi and Soden, 2007]. The ensemble averaging reduces internal variability and thus the difference in 2 pentadecades can be identified as response to greenhouse forcing.



Figure 1. Evolution of annual mean temperature over the equatorial cold tongue $(3^{\circ}S-3^{\circ}N, 30^{\circ}W-10^{\circ}W)$ from 1980 to 2007. The black contour denotes the increase of temperature by $0.5^{\circ}C$.

2.2. Regional Coupled Model

[8] Regional coupled model used to downscale the GFDL global fields is the Scripps Coupled Ocean-Atmosphere Regional (SCOAR) model [Seo et al., 2007a]. The atmospheric component of SCOAR is the Regional Spectral Model (RSM) [Juang and Kanamitsu, 1994], which is the downscaled version of the Global Spectral Model used in the NCEP Reanalysis 2 (NCEP2) [Kanamitsu et al., 2002] procedure. Downscaling allows the deterministic low wave number and lateral boundary condition forcings of the regional domain that has dynamics consistent with the NCEP2 for the chosen period. The oceanic component is the Regional Ocean Modeling System (ROMS) [Haidvogel et al., 2000; Shchepetkin and McWilliams, 2005], which is a state-of-the-art, free surface hydrostatic primitive equation ocean circulation model with terrain-following, vertically stretched grids. These RSM and ROMS are coupled at daily frequency via bulk formula for wind stress and heat flux [Fairall et al., 1996]. More details can be found by Seo et al. [2007a]. SCOAR has been previously used to examine TIWs and various aspects of the mean climate of the tropical Atlantic [Seo et al., 2006, 2007b, 2008]. For this study, RSM uses $1/2^{\circ}$ horizontal resolution with 28 levels and ROMS uses 1/4° resolution with 30 sigma layers, which can capture the ocean mesoscale processes of interest [Seo et al., 2007b]. Since TIWs are associated with a large zonal advective process [Jochum and Murtugudde, 2006], a denser zonal resolution is important in capturing the change in TIW characteristics, and 1/4° zonal resolution in the regional model is more appropriate than 1° in CM2.1. The model domain covers the entire tropical Atlantic sector (30°S-30°N, 74°W-20°E), but this study focuses on the equatorial Atlantic Ocean (see Figure 2 for part of the model domain).

80 82 84 86 88 90 92 94 96 98 00 02 04 06

[9] In the control (CTL) simulation, RSM downscales the 6 hourly NCEP2 for the atmosphere and the Simple Ocean Data Assimilation (SODA) monthly analysis [*Carton et al.*, 2000] for the ocean as lateral boundary conditions for ROMS. The initial condition for ROMS in SCOAR is obtained from 50 year spin-up simulation forced by climatological atmospheric forcing and boundary conditions from SODA. RSM is initialized from 1 January 1980 0000 UT using NCEP2. The CO₂ concentration is set to 348 PPM and CH₄ and N₂O are fixed to the present-day values. The sea surface salinity (SSS) is restored to SODA monthly SSS

fields because of river discharges into the tropical Atlantic (e.g., from the Congo and the Amazon Rivers) are not included. With this setup, CTL run is performed for 28 years from 1980 to 2007, the period when both the NCEP2 and SODA are available.

[10] For the global warming (GW) simulation, we first compute the global warming signal by subtracting monthly fields of CM2.1 20C (1996-2000) from CM2.1 A1B (2046-2050). In the atmosphere, monthly differences in temperature, u and v velocities, and relative humidity at each pressure level are interpolated to 6 h intervals and added to the NCEP2. These pressure level fields are then converted to sigma level using NCEP2 surface pressure by the method described by Yoshimura and Kanamitsu [2009]. Hence the method assumes that the impact of atmospheric internal variability from the boundary conditions contained in NCEP2 is identical between two runs. In the ocean, monthly difference in temperature and salinity are added to monthly SODA analysis. Now that we are modifying the seasonal cycle only, the global warming forcing in the current experimental setup has a minimal impact on the interannual cold tongue variability (not shown), but produce a significant change in seasonal cycle (section 4.1). CO₂ concentration in GW run is increased to 521.75 PPM, representing an about 50% increase from the CTL run consistent with the background field. Other greenhouse gases are held to the present-day value. Since the temperature and large-scale circulation fields already contain the effect of elevated level of greenhouse gas concentrations, this will not change the conclusion of the results; but it may underestimate the warming amplitude in the regional model (M. Kanamitsu, personal communication, 2010, section 4.1). SSS in GW is restored to SODA monthly SSS plus differences inferred from 20C and A1B in CM2.1. With an identical setup otherwise, GW run is also performed for the same period of time.

[11] Adding global warming anomalies to the observed mean state is essentially the same in spirit as an anomaly nesting method used for seasonal prediction [e.g., *Misra and Kanamitsu*, 2004]. In the global warming literature, it is often referred to as a pseudo global warming method [e.g., *Kimura and Kitoh*, 2007; *Knutson et al.*, 2008; *Kawase et al.*, 2009; *Zahn and von Storch*, 2010]. An obvious advantage is to sidestep mean state errors in global models, a problem that is particularly severe in the tropical Atlantic sector. However, the method does not consider changes in submonthly dis-



Figure 2. (a, c, e) Annual mean SST (°C, color shade) and the 10 m winds and (b, d, f) precipitation (mm d^{-1} , color shade) and the surface net heat flux (N m⁻², black contour with interval 20 N m⁻²) for observations (NOAA OI SST Analysis and NCEP2 10 m winds, both from 1998 to 2007, the precipitation from TRMM 3B43 product (1998–2007) and the OAFlux from 1998 to 2004, Figures 2a and 2b), CM2.1 20C simulation (1996–2000, Figures 2c and 2d), and SCOAR CTL run (1998–2007, Figures 2e and 2f).

turbances of low wave numbers under global warming, as it needs the reanalyses as background forcing. Note that TIWs are of high wave numbers that are not constrained by high wave number forcing in the reanalysis boundary conditions. Hence, in order to highlight the importance of the missing TIWs in the global models, we explore their effect in the regional model by downscaling the low wave number response under global warming. Uncertainty due to change in high-frequency oceanic variability and the atmospheric internal variability in boundary conditions will be explored in future studies.

[12] It should be also noted that the length of the downscaling simulation is limited to the period of the available background flows (i.e., 28 years of NCEP2 and SODA), which may be not long enough for the coupled system to reach a new steady state, particularly in the ocean. Figure 1 shows the evolution of the annual mean temperature difference (GW-CTL) averaged over the cold tongue region $(1^{\circ}S-1^{\circ}N, 30^{\circ}W-10^{\circ}W)$. The surface intensified warming signal slowly propagates downward after the initialization. Being far from the lateral boundaries, it takes roughly 20 years before the upper equatorial warming trend exhibits a quasi-steady evolution. For the thermocline, the equilibrium may take more than several decades [e.g., *Liu*, 1998]. The analyses presented here are based on the period of the final 10 years (1998–2007), which is close to a quasi-steady state for the upper thermocline. This is perhaps appropriate because the A1B projection itself is not in full equilibrium in 2046–2050. A different choice of period (e.g., 20 years), however, does not change the results discussed below.

2.3. Observational Data

[13] The observed SST data are obtained from the daily NOAA Optimum Interpolation (NOAA OI) SST Analysis



Figure 3. (a–c) Meridional and (d–f) zonal transects of annual mean (1998–2007) zonal current speed (cm s⁻¹) from SODA (Figures 3a and 3d), SCOAR (Figures 3b and 3e), and CM2.1 (Figures 3c and 3f). The zonal currents are averaged 30°W–10°W for Figures 3a–3c and 1°S–1°N for Figures 3d–3f. The Equatorial Undercurrent is shown in red shades that flow eastward at a depth of ~75 m. The South Equatorial Current is separated into northern and southern branches across the equatorial and flows westward near the surface.

version 2 on a 0.25° grid [*Reynolds et al.*, 2007] and the observed rainfall is from the monthly Tropical Rainfall Measuring Mission (TRMM) precipitation product 3B43 Version 6 [*Huffman et al.*, 2007] that combines the TRMM data with an estimate from the global gridded rain gauge data on a 0.25° grid, both from the period of 1998–2007. The 10 m winds are obtained from NCEP2 for the same period. The observed net surface heat flux is obtained from the estimate from the global Objectively Analyzed Air-Sea Fluxes [*Yu and Weller*, 2007] from the period of 1998–2004. These observations are interpolated to model's horizontal grid.

3. Present-Day Climate Simulation

[14] This section examines the simulation on the annual mean and seasonal cycle of equatorial Atlantic climate from SCOAR (CTL) in comparison to observations (OBS) and CM2.1 (20C). In observations (Figure 2a), the annual mean equatorial Atlantic shows a zonal gradient in SST with warm west and cold east. Annual mean winds are cross equatorial with an easterly component on the equator, which is important for the development and maintenance of this east-east contrast. In CM2.1, as in many CGCMs [*Richter and Xie*, 2008], this east-west gradient is reversed, with the cold tongue too weak and shifted to the western equatorial Atlantic (Figure 2c). The SCOAR CTL displays a strong cold tongue at the central/eastern equatorial Atlantic. A stronger cold tongue in SCOAR appears to be associated

with stronger easterly winds at the equator than in CM2.1 and observations. The maximum net heat flux (contours in Figures 2b, 2d, and 2f) is into the ocean over the cold tongue, both in the observations and the models. It is noteworthy that SCOAR produces generally colder SSTs and underestimates the rainfall amount throughout the domain compared to the observations. It is perhaps due to the underestimation of the net heat flux into the ocean as seen in Figures 2 associated with the errors in cloudiness and shortwave radiation flux. The strong winds in SCOAR could have also contributed to strong mixing and evaporation.

[15] Figure 3 shows the simulated annual mean zonal equatorial currents. Both in the zonal and meridional transects, the Equatorial Undercurrent (EUC) in SCOAR is found at the depth of 75 m with a narrow meridional scale and the speed exceeding 80 cm/s, features that are similar to SODA as well as the estimates derived from the in situ measurement [e.g., Schott et al., 2003; Brandt et al., 2006]. Both the observed northern and southern branches of the South Equatorial Current (SEC) at the surface are also well captured in the downscaled model (Figure 3b). CM2.1 generally underestimates the amplitudes of EUC and SEC, with much broader scales of EUC core and no distinction of two branches of SEC. Overall, downscaling produces a quite realistic simulation of equatorial currents and the cold tongue structure, which is a critical requirement for studying dynamic instability and energetics of the equatorial ocean as discussed in sections 4 and 5.



Figure 4. Seasonal cycle of equatorial cold tongue $(1^{\circ}S-1^{\circ}N)$ of (a) CM2.1 20C, (b) SCOAR CTL, (c) OBS, (d) CM2.1 A1B-20C, and (e) SCOAR GW-CTL. (f) The annual cycles of SST $(30^{\circ}W-10^{\circ}W, 1^{\circ}S-1^{\circ}N)$ in observations (black), CM2.1 20C (blue solid line with circles), CM2.1 A1B (red solid line with circles), SCOAR CTL (blue dashed line with crosses), and SCOAR GW (red dashed line with crosses).

[16] The annual mean SST in SCOAR is generally colder than observations throughout the domain, which may be responsible for the underestimation of precipitation in the marine ITCZ (Figure 2f). Generally, SCOAR and CM2.1 share similar spatial patterns of annual rainfall climatology, including a hint of the secondary ITCZ south of the equator. This indicates that the downscaled large-scale atmospheric circulation, unlike the oceanic features that are distinct from those of global model, is largely determined by the boundary conditions.

[17] Figures 4a–4c show the annual cycle of equatorial SST as a function of longitude and calendar month. CM2.1 underestimates the cold tongue temperature during the upwelling season and overestimates it during the boreal spring. The SCOAR captures realistic cold tongue temperature and its evolution, although the boreal spring SST is too cold. The amplitudes of the equatorial annual cycle (Figure 4f) are somewhat overestimated in CM2.1 (solid blue line) [*Breugem et al.*, 2006] and underestimated in SCOAR (shaded blue line).

4. Global Warming Response

[18] In order to examine the change in TIWs under global warming, one needs to consider the change in large-scale annual mean state first, as this change drives the adjustment in equatorial ocean variability and, in particular, TIWs.

4.1. Annual Mean and Seasonal Cycle

[19] Under global warming, the annual mean changes in both CM2.1 (A1B-20C) and SCOAR (GW-CTL) show a

basin-wide warming pattern (Figures 5a and 5c). Generally, SCOAR exhibits smaller warming in surface temperature than CM2.1 partly due to the fixed concentration of greenhouse gases other than CO_2 in the GW run and the stronger wind speed. Note that, despite the basin-wide warming, there are important spatial patterns both in the global and regional models. For example, there is a reduced warming near the equator and the coasts where ocean dynamics likely play a role in modulating SSTs. The reduced warming pattern is more pronounced in the regional model, due to a more accurate representation of such ocean dynamical processes as upwelling (section 4.2).

[20] In the equatorial Atlantic west of 30°W, both SCOAR and CM2.1 show that the change in net surface heat flux is weakly negative (cooling the ocean). The negative net surface heat flux in this region is dominated by a reduction of shortwave radiation and increased cloudiness (Figure 6), suggesting that the increased convective clouds are partially responsible for the reduced warming in the western equatorial ocean. In the central and eastern Atlantic $(30-10^{\circ}W)$, the change in net surface heat flux is positive in CM2.1 (Figure 5b), where the enhanced equatorial upwelling balances the increase in surface heat flux into the ocean [Xie et al., 2010]. On the other hand, SCOAR features a weak negative heat flux change. This different pattern of surface heat flux change is due to the oceanic heat flux by TIWs that are energized in SCOAR, adding heat to the cold tongue, an important feature that is not resolved in CM2.1 (sections 4.3 and 5).

[21] Rainfall generally increases (decreases) in the tropical Northeast (South) Atlantic (Figures 5b and 5d). This



Figure 5. Annual mean changes in (a, c) SST (°C, color shade) and the 10 m winds and (b, d) precipitation (mm d^{-1} , color shade) and the surface net heat flux (N m⁻², black contour with interval 5 N m⁻²) for CM2.1 (Figures 5a and 5b) and SCOAR (Figures 5c and 5d). Gray contours in Figures 5c and 5d denote that the differences are statistically significant at 95% based on t test.

large-scale asymmetric convective response would drive the cross-equatorial southerly flows [*Lindzen and Nigam*, 1987]. Note that the regional model produces a stronger response in this near-surface cross-equatorial meridional wind than the global model because of its stronger coupling to the equatorial SST of the regional model. This acceleration of the cross-equatorial wind would further enhance the magnitude of asymmetric change in atmospheric convection in the regional model (Figure 5d). The increase in cross-equatorial near-surface southerly wind has important consequences to the changes in vertical motions on the equator, as is further discussed in section 4.3.

[22] The change in the annual cycle of cold tongue SST in CM2.1 is characterized by two peaks in reduced warming in



Figure 6. Annual mean changes (GW-CTL) in (a) downward shortwave radiation (SWRAD, W/m², contour interval (CI) = 5 W/m²) and (b) total cloud amount (CLOUD, %, CI = 2%) from SCOAR. Negative values are shown as dashed contours.



Figure 7. Decomposition of the 10 year annual mean vertical temperature advection (°C month⁻¹) in SCOAR averaged over 3°S–3°N based on monthly climatology for (a) $-\langle w \rangle \langle T_z \rangle$, (b) $-\langle w \rangle T^*_z$, (c) $-w^* \langle T \rangle_z$, and (d) the sum of Figures 7b and 7c, $-(\langle w \rangle T^*_z + w^* \langle T \rangle_z)$. Ocean temperature in CTL run is superimposed in black contours with contour interval of 1°C. Note the different scales between Figure 7a and Figures 7b–7d.



Figure 8. Annual mean (1998–2007) difference (δ , shade) in zonal currents (cm s⁻¹) from (a, b) SCOAR and (c, d) CM2.1 averaged over 30°W–10°W (Figures 8a and 8c) and 1°S–1°N (Figures 8b and 8d). The present-day climatological values are superimposed in black contours with CI = 10 cm s⁻¹.



Figure 9. (a) Barotropic conversion $(10^{-6} \text{ kg m}^{-1} \text{ s}^{-3})$, $-\rho_o \vec{u}' \cdot (\vec{u}' \cdot \nabla \vec{U})$ for CTL (solid line) and GW (dashed line), averaged for upper 100 m over 30°W–10°W for June–August of 10 years. (b) Same as Figure 9a except for baroclinic conversion, $-g\rho'w'$. Calculations based on annual mean show similar results.

boreal spring (March) and boreal fall (August) (Figure 4d). A strengthening of the equatorial southeasterlies during the boreal spring in CM2.1 A1B appears to correspond to the reduced warming there, while little change in wind during the boreal fall suggests that enhanced oceanic vertical heat transport is locally responsible for the reduced warming over the cold tongue. Note also the cross-equatorial wind anomaly in advance of this reduced warming of SSTs during the cold season, suggesting the importance of meridional winds for the equatorial annual cycle [*Mitchell and Wallace*, 1992; *Xie*, 1994; *Chang*, 1994]. In SCOAR, the reduction in SST warming takes place from March to October (Figure 4e), associated with the intensified southeasterlies followed by the southerly wind anomalies.

4.2. Why Is There a Reduced Warming Over the Equatorial Cold Tongue?

[23] An important ocean process for SST in the equatorial cold tongue is the vertical advection of temperature (upwelling). Vertical mixing (diffusion) also changes in the model, but its magnitude is smaller than vertical temperature advection (see black line in Figure 11b). Change in horizontal temperature advection is discussed using a full three-dimensional heat budget analysis in section 5. The vertical temperature advection, $-wT_z$, under global warming can be simply decomposed into the four terms as follows:

$$-wT_z = -\langle w \rangle \langle T_z \rangle - \langle w \rangle T_z^* - w^* \langle T \rangle_z - w^* T_z^*, \qquad (1)$$

where the temperature (*T*) and vertical velocity (*w*) are decomposed into the present-day condition ($\langle \rangle$) and the

global warming perturbation (*, GW-CTL). The subscripts denote partial derivatives. The first term on the right hand side is the climatological upwelling in CTL, and the second term denotes the advection due to the climatological vertical velocities acting on the anomalous temperature gradient, representing an ocean dynamical thermostat mechanism proposed in the equatorial Pacific [Clement et al., 1996; Cane et al., 1997]. The third term denotes the advection due to the anomalous vertical velocities acting on the climatological temperature gradient. The last term is negligible. Figure 7 compares the annual mean magnitudes of the first three terms of (1). Climatological upwelling is the largest cooling term throughout the equatorial Atlantic as expected (Figure 7a). The second term in Figure 7b shows a weak warming (cooling) in the western (eastern) equatorial Atlantic. The weak warming in the west (40-20°W) is due to the reversed vertical temperature gradient in the upper ocean (dT^*/dz) associated with the reduced surface warming in response to a decrease in shortwave radiation flux (Figure 6a), while the weak cooling in the eastern equatorial Atlantic (20-0°W) is due to the strengthened vertical temperature gradient associated with the surface-intensified warming. Overall the second term is smaller than the third (Figure 7c), which features strong cooling in the thermocline because of the increase in upwelling velocity (w^*) associated with the divergence of the surface wind and current anomalies [Chang et al., 2006]. The effect of increased w* in the third term dominates, leading to the reduced surface warming in the cold tongue.

[24] *DiNezio et al.* [2009] showed in the Pacific that the enhanced vertical temperature advection, the second term in (1), is largely due to the strengthened thermal stratification



Figure 10. June–August averaged eddy kinetic energy (EKE, cm² s⁻²) defined as EKE = $1/2 (u'^2 + v'^2)$, where ' denotes 20–40 day band-pass filtering for (a) CTL and (b) GW from 1998 to 2007. (c, d) As in Figures 10a and 10b except for the variance of 20–40 day filtered SST. Annual cycle of (e) EKE and (f) SST variance as a function of calendar month for CTL (blue) and GW (red).

 (dT^*/dz) [Clement et al., 1996]. This is in contrast to the Atlantic where local surface divergence in wind due to the intensified cross-equatorial southerly increases the advection of mean vertical temperature gradient by the anomalous upwelling (w^*). This difference is closely related to the distinct equatorial processes between the Pacific and Atlantic [Chang et al., 2006]. In the Pacific, low-frequency variability of SST in the cold tongue is largely due to the basin-scale thermocline adjustment that connects the western Pacific warm pool to the eastern Pacific cold tongue through equatorial ocean dynamics that sustains this contrast. In the Atlantic, on the other hand, mainly due to the narrow zonal width, local wind-induced upwelling plays a more important role [Zebiak, 1993; Carton and Zhou, 1997].

4.3. Changes in Ocean Currents, Dynamic Instability, and TIWs

[25] Figure 8 shows the zonal and meridional transects of the changes in zonal currents (shades) with the present-day climatology superposed (contours) as in Figure 3. Both CM2.1 and SCOAR tend to suggest a spin-up of the SEC and EUC, largely driven by change in winds, but SCOAR shows a much greater strengthening of currents. The increased SEC and EUC can be viewed as a nonlinear response of the equatorial zonal currents to the intensified cross-equatorial southerlies. Consider an idealized solution by Philander and Delecluse [1983] where the zonal flows are forced by cross-equatorial meridional wind. The linear response of the ocean to this cross-equatorial southerly is to generate the northward (southward) surface (subsurface) currents, with the downwelling (upwelling) at 3°N (3°S), forming a closed meridional circulation. The southerly wind also introduces zonal currents through Ekman drift, generating westward (eastward) surface current in the south (north) of the equator with weak opposite flows below the surface, where winds are of secondary impact and the Coriolis force causes a weak equatorial current at right angles to the southward pressure force. When the effect of nonlinear advection is taken into account, the surface meridional current advects the westward surface momentum from the south to the north of the equator, hence generating stronger westward flows in the north. This is accompanied by a large meridional shear of the zonal currents at 3°N, indicative of the increased meridional shear between SEC and North Equatorial Counter Current



Figure 11. (a) Zonally averaged $(30^{\circ}W-10^{\circ}W)$ annual mean heat budget (°C month⁻¹, 1998–2007) as a function of latitude. Solid lines are for CTL and dashed lines are for GW. Upwelling and entrainment (black), atmospheric neat heat flux into the ocean (red), mean horizontal advection of temperature (blue), and three-dimensional eddy temperature advection (magenta). (b) Difference (GW-CTL) for upwelling and entrainment (black), net eddy heat flux (magenta), zonal eddy heat flux (red), meridional eddy heat flux (green), and vertical eddy heat flux (blue). (c) Difference (GW-CTL) for atmospheric heat flux (red), net horizontal advection (blue), and horizontal advection with zonal (black) and meridional (magenta) component.

(NECC). A weak eastward subsurface current develops into a strong eastward jet in the south of equator, which shifts the center of the EUC slightly southward and strengthens the EUC [see also *Yu et al.*, 1997]. The response to idealized wind forcing is similar to what is illustrated in Figures 8a and 9. The greater increase in SCOAR currents is due to greater anomalies in crossequatorial wind associated with the change in large-scale atmospheric circulation. It is also possible that a strong TIW variability in SCOAR strengthens the SEC and EUC through the vorticity flux convergence, a positive feedback via eddy mean flow interaction [*Kug et al.*, 2010]. CM2.1 lacks this positive feedback without TIWs, leading to a weak low-frequency zonal current on the equator.

[26] The strengthening of SEC/EUC and the increased shear in SEC/NECC by the meridional wind affects dynamical instabilities that energize TIWs. Figure 9 shows the two main sources of eddy kinetic energy (EKE): the barotropic conversion, $-\rho_o \vec{u'} \cdot (\vec{u'} \cdot \nabla \vec{U})$ and the baroclinic conversion, $-g\rho'w'$, where ρ is the density, primes denote eddy variability and U is the mean zonal current [*Masina et al.*, 1999; *Jochum et al.*, 2004a]. These EKE source terms increase significantly under global warming in SCOAR. Two peaks in barotropic conversion at 0.5°N and 3°N correspond to the regions of maximum shear in EUC/SEC and SEC/NECC. Both increase in GW, contributing

to increased amplitude of $-\rho_o u'v' U_y$. Also the increased vertical shear between SEC and EUC and the strengthened SST front substantially elevate the baroclinc conversion in a broad region north of the equator [*von Schuckmann et al.*, 2008].

[27] The increase in these two types of energy source terms in the EKE equation leads to the intensified variability of TIWs. Figures 10a and 10b (Figures 10c and 10d) show the maps of EKE (SST variance) defined as $EKE = 1/2(u'^2 + v'^2)$, where the prime denotes a 20–40 day band-pass filtered field. Over the region where TIWs are energetic, both the dynamic and thermodynamic measures of TIWs are enhanced by 31% and 36%, respectively, during JJA. In the annual cycle of EKE and SST variance (Figures 10e and 10f), it is during the upwelling season that eddy variability is most enhanced (May–September), when the cross-equatorial winds are strong and cold tongue variability is tightly coupled to wind variability.

5. Upper Ocean Heat Budget

[28] TIWs significantly contribute to the heat budget of the equatorial ocean through eddy temperature advection. Therefore, strengthened TIWs variability may play an important role in the equatorial heat budget under global



Figure 12. Each component of the annual mean eddy temperature advections ($^{\circ}C \mod^{-1}$) in (a–d) CTL and (e–h) GW averaged from 1998 to 2007: zonal (Figures 12a and 12e), meridional (Figures 12b and 12f), vertical (Figures 12c and 12g), and the sum (Figures 12d and 12h). The contour intervals are 0.2 $^{\circ}C \mod^{-1}$.

warming. This section diagnoses the change in eddy temperature advection by TIWs and assesses its contribution to the heat balance within the cold tongue.

[29] The governing equation for mixed layer temperature in the advective form is

$$T_t = -\vec{u}_H \cdot \vec{\nabla}_H T - wT_z + \frac{Q}{\rho c_p H} + R, \qquad (2)$$

where T is the temperature, \vec{u}_H is the horizontal velocity vector and w the vertical velocity. $\vec{\nabla}_H$ is the horizontal gradient operator, Q the net surface heat flux (positive into the ocean), ρ the density of seawater, c_p its heat capacity and H the monthly varying mixed layer depth (MLD). The subscripts t and z denote partial derivatives in time and depth, respectively. Using an advective form, it is easier to separate the eddy part from the mean current without having



Figure 13. Snapshots from CTL of SST and surface currents on 7 September 1990 showing (a) full fields and (b) zonally high-pass filtered fields (10° longitudes). (c) High-pass filtered SST (thick line) and wind speed (thin line) averaged over the equator to 2°N.

to carry the variable mixed layer depth. Temperature tendency in (2) is determined by horizontal and vertical advections of temperature as well as the atmospheric heat flux into the ocean. The residual *R* includes entrainment, horizontal and vertical diffusion and other unresolved processes. Note that ROMS uses no explicit horizontal diffusion, but employs an implicit scale-selective smoothing in the thirdorder upstream biased advection scheme [*Haidvogel et al.*, 2000]. However, horizontal diffusion is small in the heat budget of the high-resolution model [*Jochum et al.*, 2005]. Other unresolved processes are likely to be nonnegligible [*Wang and McPhaden*, 1999]. On the equator, *R* predominantly represents entrainment via vertical diffusion (not shown).

[30] The horizontal and vertical advection terms in (2) can be further decomposed as

$$-\vec{u}_H \cdot \vec{\nabla}_H T - wT_z = -\overline{uT_x} - \overline{vT_y} - \overline{wT_z} - u'T'_x - v'T'_y - w'T'_z,$$
(3)

where overbars denote the mean plus seasonal cycle (monthly averages) and the prime denotes the deviations representing TIW variability. The first two terms on the right hand side is the contribution of the mean horizontal advection to the heat budget and the third term is the mean vertical advection. This vertical advection, $-wT_z$ in (3) and the entrainment via vertical diffusion (*R*) in (2) are not cleanly separable at the bottom of the mixed layer with varying depth [*Wang and McPhaden*, 1999; *Jochum and Murtugudde*, 2006]. For this reason, we combine $-wT_z$ and *R* collectively as upwelling and entrainment.

[31] The last three terms in (3) are contributions from TIWs. In particular, the last term, $-w'T'_z$, is the TIW-induced vertical mixing. Because the model does not save the vertical diffusion term at every model time step, in principle it is not possible to distinguish vertical diffusion due to eddies from that due to the mean shear [Schudlich and Price, 1992; Jochum and Murtugudde, 2006; Menkes et al., 2006]. However, since TIW-induced vertical mixing is known to be an important element that may offset horizontal eddy heat flux [Jochum et al., 2004a; Menkes et al., 2006; Moum et al., 2009], it needs to be included in assessment of the TIW contribution to the equatorial heat budget.

[32] Figure 11a shows the annual mean heat budget zonally averaged over the cold tongue (30°W-10°W), where the eddy activity is largest. In CTL (solid lines), the upwelling and entrainment (black line) are symmetric about the equator with an equatorial peak. The mean horizontal advection (blue line) is dominated by the poleward Ekman divergence, which is not very pronounced north of the equator because of the eastward turning of the wind vectors. Weak latent heat flux leads to a maximum in net atmospheric heat flux on the equator (red line). TIWs significantly warm the equator by $-v'T'_y$ (Figure 12b) [e.g., Hansen and Paul, 1984]. TIW also warm the region from the equator to 2°N through $-u'T'_x$ (Figure 12a), which is comparable in magnitude to $-v'T'_y$. This sizable warming by TIW zonal advection is primarily due to the spatial patterns of anomalies in SST and current associated with the TIWs. This is demonstrated in Figure 13a (Figure 13b) with the total (spatially high-pass filtered) fields from the CTL simulation. SST anomalies are more meridionally stretched with narrower zonal width. As a result, T'_x tends to be greater than T'_{ν} . Note also that there is an obvious correlation between the eastward SST gradient and westward currents in the anomaly fields, which leads to a stronger zonal advection of temperature by the anticyclonic vortices of the waves [Jochum and Murtugudde, 2006].

[33] The net warming by eddy horizontal temperature advection tends to be offset by $-w'T'_z$ (Figure 12c). This cooling by $-w'T'_z$ occurs over the regions of strong shear

Table 1. Summary of the Heat Budget for Annual Mean and the Cold Season (June–August) for CTL and GW-CTL Averaged Over $1^{\circ}S-3^{\circ}N$ for $1998-2007^{a}$

	Annual (°C month ⁻¹)	JJA (°C month ⁻¹)
Net surface heat flux	0.80 (-0.05)	0.22 (-0.15)
Mean vertical heat flux	-0.91(-0.05)	-0.86 (-0.02)
Eddy heat flux	0.15 (0.03)	0.30 (0.12)
$-u'T'_x$	0.40 (0.08)	0.46 (0.20)
$-\nu'T'_{\nu}$	0.06 (0)	0.24 (0)
$-w'T'_z$	-0.30 (-0.05)	-0.40(-0.08)
Mean horizontal advection	-0.05 (0.07)	0.06 (0.09)
Temperature tendency	0 (0)	-0.29 (-0.04)

^aCTL values are given outside of the parentheses and GW-CTL values are given inside the parentheses. Mean vertical heat flux includes vertical temperature advection $(-\overline{wT_z})$ and the residual (*R*) in equation (2) that represents entrainment.

due to TIW current anomalies, where the turbulent mixing/ entrainment is large [Kennan and Flament, 2000; Menkes et al., 2006; Lien et al., 2008; Moum et al., 2009]. Furthermore, in the warm phase of TIWs, the perturbation wind speed increases (Figure 13c) [Liu et al., 2000; Chelton et al., 2001; Hashizume et al., 2002], enhancing the mechanical energy for turbulent mixing at the center of TIW vortices having large vertical motion [Menkes et al., 2006]. Overall, the total three-dimensional eddy temperature advection leads to a net warming of the equator (Figure 12d and magenta line in Figure 11a).

[34] Figure 11b show the GW-CTL changes in major components of the heat budget. In GW, equatorial upwelling increases slightly north of the equator (black line), and so does the eddy temperature advection (magenta line). One important difference is that the former is driven by the largescale circulation that connects the increased meridional surface wind to equatorial upwelling velocities, while the latter is generated by downscaling and counteracts the net impact of the former. Indeed this increase in net eddy heat flux is the largest term that balances the cooling due to increased upwelling north of the equator. Advection by mean current changes also exerts a warming effect (blue line in Figure 11c) while surface heat flux change (red line in Figure 11c) is weakly negative due to the reduced shortwave radiation and heating by TIWs.

[35] Table 1 summarizes the changes in heat budget terms for 10 year mean over $1^{\circ}S-3^{\circ}N$. Also included are separate heat budget results for upwelling season (JJA) when TIWs develop and their effects are large. The annual mean increase in upwelling cools the equator by $0.05^{\circ}C$ month⁻¹, with an additional cooling of $0.05^{\circ}C$ month⁻¹ by net negative heat flux. The combined cooling is opposed by the warming caused by mean horizontal advection ($0.07^{\circ}C$ month⁻¹) and eddy heat flux by TIWs ($0.03^{\circ}C$ month⁻¹). During the cold season, the warming by eddy advection substantially intensifies ($0.12^{\circ}C$ month⁻¹), becoming the most important warming agent for the equatorial cold tongue.

[36] As TIWs are energized under global warming, each element of the eddy temperature advection also strengthens. Note that the zonal advection shows the largest warming (red line in Figure 11b and also Figure 12). This is primarily due to the aforementioned structure of the SST gradient and the current anomalies of TIWs in the presentday condition, which are both more strengthened under global warming due to the stronger front and the westward SEC. The stronger currents, shears, and SST anomalies associated with TIWs also increase the vertical temperature advection by TIWs, which tend to offset the warming effect by the horizontal eddy advection. Overall, the change in net eddy advection significantly warms the equatorial mixed layer with a peak displaced slightly to the north. Note also that it is this warming of cold tongue by TIWs that reduces the surface heat flux into the cold tongue in SCOAR (Figure 5d), while in CM2.1, without TIWs, the cooling effect by equatorial upwelling induces greater net surface heat flux anomalies into the ocean (Figure 5b).

6. Conclusions and Discussion

[37] Response of equatorial SST to global warming is affected by a number of processes, such as equatorial upwelling [*Clement et al.*, 1996], subtropical-tropical interaction [*Seager and Murtugudde*, 1997; *Liu*, 1998; *Liu et al.*, 2005], a weakened Walker circulation and westerly wind-induced thermocline feedback [*Vecchi et al.*, 2006; *Vecchi and Soden*, 2007], temperature-evaporation feedback [*Knutson and Manabe*, 1995; *Liu et al.*, 2005; *Xie et al.*, 2010], and shortwave cloud forcing [*Graham and Barnett*, 1987; *Ramanathan and Collins*, 1991; *Klein and Hartmann*, 1993; *Meehl and Washington*, 1996; *Miller*, 1997; *Li et al.*, 2000; *Clement et al.*, 2009]. Understanding these processes and their adjustments is important as they shape the SST response pattern to global warming.

[38] The main goal of this paper is to demonstrate that having TIWs in the equatorial oceans may bring advantage to the interpretation of warming patterns since they produce sizable eddy heat flux that interacts with these climate feedback processes. In order to better resolve TIWs, it is necessary to have the realistic equatorial wind and currents, the sharp thermocline, and the strong air-sea interactions, which are underrepresented in the climate models with high ocean viscosity and coarse atmospheric resolution. In this study, we deliver a new regional coupled dynamical downscaling technique to the climate modeling community for assessing the regional aspects of global climate change. With better representation of the equatorial oceanic processes including explicitly resolving TIWs, the regional coupled models can provide a useful guidance to improving parameterization of the effect of TIWs in the GCMs and simulation of the equatorial climate thereof.

[39] In this first coupled downscaling of climate change projections over the tropical Atlantic, SST warming is reduced on the equator owing to the vertical temperature advection. The increased ocean upwelling in the cold tongue is associated with the locally increased ocean vertical velocities on the equator $(w^*$, the third term in (1)), which are driven by the strengthening of the cross-equatorial surface winds. This is in contrast to the tropical eastern Pacific, where the increased vertical heat transport is largely due to the enhanced thermal stratification (dT^*/dz) , the second term in (1)) [DiNezio et al., 2009]. The anomalous cross-equatorial surface wind intensifies the zonal currents [Philander and Delecluse, 1983; Yu et al., 1997] that amplify the dynamic instability associated with the horizontal and vertical shear of these currents. Studies show that most of the EUC water upwells in the equatorial cold tongue, suggesting a negative correlation between EUC transport and the cold tongue temperature [*Hazeleger and de Vries*, 2003; *Hormann and Brandt*, 2007]. This is in line with the fact that CM2.1 is characterized by weak EUC and warm cold tongue, as opposed to the SCOAR with stronger EUC and colder cold tongue. The increased baroclinic and barotropic instability of the ocean intensifies EKE in the ocean and hence TIWs. The sizable net eddy heat advection is important in the anomalous heat budget, acting to warm the equatorial ocean and counter the effect of enhanced upwelling. If TIWs were not part of the adjustment, the enhanced upwelling would lead to a greater reduction of SST warming on the equator, causing larger surface heat flux anomalies into the ocean, which is happening in CM2.1.

[40] The changes in mean state under global warming modify TIWs, which in turn feeds back to the upper ocean heat balance through eddy temperature advection. Zonal advection by TIWs dominates this change in eddy heat flux, suggesting a need for sustained monitoring of TIW variability with zonally dense observational arrays. TIWinduced entrainment tends to offset the increase in the zonal eddy heat flux, because the warmer SST and increased zonal SST gradients would lead to stronger turbulent mixing through the stronger fronts and wind energy. Moreover, increased thermal stratification strengthens the upwelling effect on SST on the equator.

[41] It has been well recognized that TIWs may act as natural iron fertilizers, as illustrated by the enhanced primary productivity in a "line in the sea" along the SST fronts of the TIWs [Yoder et al., 1994]. TIWs strongly modulate plankton biomass and carbon export in the eastern Pacific through vertical turbulent mixing and horizontal advection [e.g., Chavez et al., 1999; Strutton et al., 2001; Gorgues et al., 2005; Evans et al., 2009]. In an eddy-permitting coupled physical-biological model, Löptien et al. [2009] have shown that biological feedbacks increase the vertical shear of the equatorial currents, thereby leading to a significant intensification of TIWs. It is yet to be examined whether and how the changes in external forcing under global warming interact with the ocean biology and contribute to the equatorial heat balance through TIWs and biological feedbacks, especially in relation to other complex feedbacks involved in forming SST warming response pattern.

[42] The CGCMs that we use for projection of the future climate change still exhibit a wide range of errors in reproducing the observed present-day climate of the tropical Atlantic [Richter and Xie, 2008]. Downscaling such global model simulations improves some aspect of the climatic processes, as exemplified by the EUC, cold tongue, and TIW in this study. However, the large-scale circulation in the regional model is inevitably influenced by the boundary condition provided from such CGCMs. A thorough validation of the global model simulations with the multiple instrumental measurements is an essential step toward the robust assessment of the regional climate change in a regional coupled model. Alternatively, idealized experiments with the prescribed climate change forcing (such as the intensified interhemispheric winds discussed in this study) would allow an identification of the regional climate change signals without the issues due to such biases in global models.

[43] Finally, TIWs are more energetic in the tropical Pacific with stronger mixing and eddy heat flux. They are intimately coupled to the mean state of the deep tropical Pacific and the evolution of ENSO [Yu and Liu, 2003], with the global impact on climate and weather [e.g., Alexander et al., 2002]. Therefore, its potential impact on the mean and low-frequency variability of the tropical Pacific in a changing climate should be addressed in more detail by explicitly resolving them in a long-term climate simulation. It is our future goal to extend the current study into the equatorial Pacific Ocean based on the multiple CGCMs simulations from the upcoming IPCC Fifth Assessment Report (AR5) and the Coupled Model Intercomparison Project Phase 5 (CMIP5) in order to explore their role in climate on broader scales. The current study is the first attempt at the coupled dynamical downscaling of climate change projections. We hope that this exploratory research will stimulate more coordinated regional coupled downscaling efforts for climate change scenarios using the CMIP5 simulations to resolve spatial scales important for climate change projection and adaptation.

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